

# MA/AMA 514

## Today: Matroid Intersection (§10.4, §10.5)

Recall: Independent set matroid axioms  $M = (X, \mathcal{I})$

- (1)  $\mathcal{I}$  nonempty collection of subsets of  $X$
- (2) closed under containment ( $T \in \mathcal{I}, S \subseteq T \Rightarrow S \in \mathcal{I}$ )
- (3)  $S, T \in \mathcal{I}, |T| > |S| \Rightarrow \exists t \in T \setminus S \text{ with } S \cup \{t\} \in \mathcal{I}$

Ex (graphic)  $G = (V, E) \quad \mathcal{I} = \{F \subseteq E : (V, F) \text{ acyclic}\}$

Ex (linear)  $v_1, \dots, v_n \in \mathbb{R}^d \quad \mathcal{I} = \{S \subseteq [n] : \{v_i : i \in S\} \text{ lin. indep}\}$

Partition Matroids ground set  $X$ , partition  $X = A_1 \uplus \dots \uplus A_m$

$M = (X, \mathcal{I}) \quad \mathcal{I} = \{S \subseteq X \text{ s.t. } |S \cap A_i| \leq 1 \text{ for all } i\}$

= transversal matroid with sets  $A_1, \dots, A_m$

$\Leftrightarrow \exists \text{ bijection } f: S \rightarrow [m]$   
with  $s \in A_{f(s)} \quad \forall s \in S$

## Matroid intersection

Given two matroids on the same groundset,  
find the largest common indep. set.

Input:  $M_1 = (X, \mathcal{I}_1)$  and  $M_2 = (X, \mathcal{I}_2)$

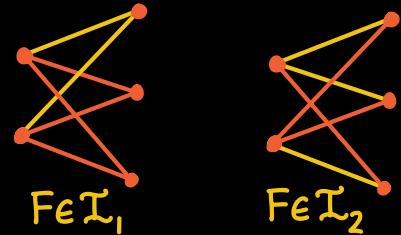
Goal:  $\max \{|\mathcal{I}| : \mathcal{I} \in \mathcal{I}_1 \cap \mathcal{I}_2\}$

Ex (bipartite matching)  $G = (U \uplus W, E)$  bipartite graph  
ground set:  $X = E$

$M_1$  = transversal matroid with sets  $A_u = \delta(u)$  for  $u \in U$

$M_2$  = " " "  $A_w = \delta(w)$  for  $w \in W$

$F \in \mathcal{I}_1 \Leftrightarrow |F \cap \delta(u)| \leq 1$  for all  $u \in U$   
 $F \in \mathcal{I}_2 \Leftrightarrow |F \cap \delta(w)| \leq 1$  for all  $w \in W$   
 $F \in \mathcal{I}_1 \cap \mathcal{I}_2 \Leftrightarrow F \text{ matching}$



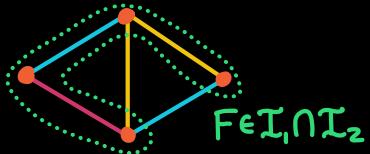
Ex (rainbow forests)  $G = (V, E)$  connected graph

Edges  $E$  colored with  $|V| - 1$  colors

$M_1 = (E, \mathcal{I}_1)$  graphic matroid of  $G$  ( $\mathcal{I}_1 = \{\text{forests}\}$ )

$M_2 = (E, \mathcal{I}_2)$  trans. matroid with  $A_i = \{\text{edges with color } i\}$

$F \in \mathcal{I}_2 \Leftrightarrow F \text{ has at most one edge of each color}$



$F \in \mathcal{I}_1 \cap \mathcal{I}_2 \Leftrightarrow F \text{ is a 'rainbow' forest}$

Ex (disjoint spanning trees)  $G = (V, E)$  connected graph

$M_1 = (E, \mathcal{I}_1)$  graphic matroid of  $G$  ( $\mathcal{I}_1 = \{\text{forests}\}$ )

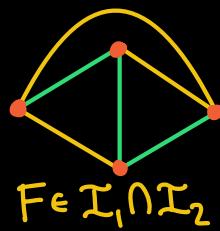
$M_2 = (E, \mathcal{I}_2)$  cographic matroid of  $G$  ( $\mathcal{I}_2 = \{S \subseteq E : S \subseteq E \setminus T \text{ for some spanning tree } T\}$ )

$G$  has two edge-disjoint spanning trees

$\Leftrightarrow \max\{|\mathcal{I}| : \mathcal{I} \in \mathcal{I}_1 \cap \mathcal{I}_2\} = |V| - 1$

$= \{S \subseteq E : (V, E \setminus S) \text{ connected}\}$

Ex.



We will give a polynomial time algorithm for matroid intersection. First we need two technical lemmas:

## Two exchange lemmas (§10.4)

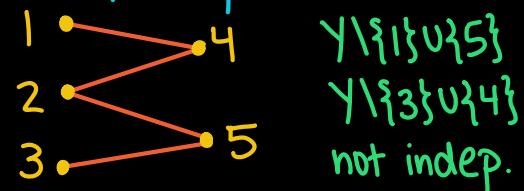
Let  $M=(X, \mathcal{I})$  be a matroid and  $Y \in \mathcal{I}$ .

Define  $H_M(Y)$  to be the bipartite graph with vertices  $Y \cup (X \setminus Y)$  and edges  $\{y, x\}$  for  $y \in Y, x \in X \setminus Y$  with  $Y \setminus \{y\} \cup \{x\} \in \mathcal{I}$

Ex:

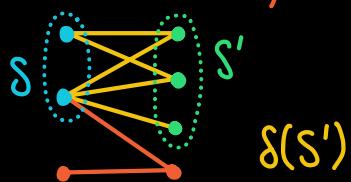
$M = \text{graphic matroid}$

$$Y = \{1, 2, 3\}$$



Lemma 10.1 If  $Y, Z \in \mathcal{I}$  with  $|Y|=|Z|$ , then  $H_M(Y)$  has a perfect matching on  $Y \Delta Z$ .

(Proof) Suppose not. By Hall's marriage theorem,  $\exists S \subseteq Y \setminus Z$  and  $S' \subseteq Z \setminus Y$  with  $|S| < |S'|$  and  $\delta(S') \subseteq S$ . Since  $(Y \setminus Z) \cup S \subseteq Y$  and  $(Y \setminus Z) \cup S' \subseteq Z$ , both are in  $\mathcal{I}$  and  $\exists z \in S'$  s.t.



$$T := (Y \setminus Z) \cup S \cup \{z\} \in \mathcal{I}.$$

Similarly, we can add elts of  $Z$  to  $T$  until we get  $\exists U \in \mathcal{I}$  with  $T \subseteq U \subseteq T \cup Y$  and  $|U| = |Y|$ .

$\Rightarrow$  for some  $y \in Y \setminus T$ ,  $U = (Y \setminus \{y\}) \cup \{z\} \Rightarrow \{y, z\}$  an edge.  $\ast$   
( $y \notin S$ ,  $z \in S'$ )

Lemma 10.2 Let  $Y \in \mathcal{I}$  and  $Z \subseteq X$  with  $|Y|=|Z|$ . If  $H_M(Y)$  contains a unique perfect matching on  $Y \Delta Z$  then  $Z \in \mathcal{I}$ .

(Proof) By induction on  $k = |\mathcal{Z} \setminus \mathcal{Y}|$ . ( $k=0$ )  $\mathcal{Z} = \mathcal{Y} \in \mathcal{I}$ .

$(k \geq 1)$  Let  $N$  be the unique perfect matching on  $\mathcal{Y} \Delta \mathcal{Z}$ .

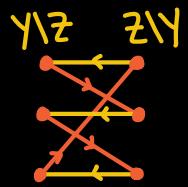
Claim: the restriction of  $H_M(\mathcal{Y})$  to  $\mathcal{Y} \Delta \mathcal{Z}$  has a leaf  $y \in \mathcal{Y} \setminus \mathcal{Z}$ .

That is  $\exists y \in \mathcal{Y} \setminus \mathcal{Z}$  s.t.  $|\{z \in \mathcal{Z} \setminus \mathcal{Y} : \mathcal{Y} \setminus \{y\} \cup \{z\} \in \mathcal{I}\}| = 1$ .

(Proof) Define walk on restriction. Start at any  $w \in \mathcal{Y} \Delta \mathcal{Z}$ .

At  $z \in \mathcal{Z} \setminus \mathcal{Y}$ , walk along edge  $\{z, y\} \in N$ .

At  $y \in \mathcal{Y} \setminus \mathcal{Z}$ , walk along edge  $\{y, z\} \notin N$ , if it exists.

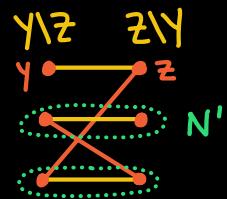


Either walk terminates at a leaf  $y \in \mathcal{Y} \setminus \mathcal{Z}$  or results in an even cycle  $C \Rightarrow N \Delta C$  is another perfect matching on  $\mathcal{Y} \Delta \mathcal{Z}$ .  $\square_{\text{Claim}}$

Let  $y \in \mathcal{Y} \setminus \mathcal{Z}$  be a leaf and  $z \in \mathcal{Z} \setminus \mathcal{Y}$  with  $\{y, z\} \in N$ .

Take  $\mathcal{Z}' = (\mathcal{Z} \setminus \{z\}) \cup \{y\}$  and  $N' = N \setminus \{\{y, z\}\}$ .

Then  $|\mathcal{Y} \Delta \mathcal{Z}'| < |\mathcal{Y} \Delta \mathcal{Z}|$  and  $N'$  is unique matching on  $\mathcal{Y} \Delta \mathcal{Z}' \Rightarrow \mathcal{Z}' \in \mathcal{I}$  (by induction).



Know:  $(\mathcal{Y} \setminus \{y\}) \cup \{z\} \in \mathcal{I}$  since  $\{y, z\} \in H_M(\mathcal{Y})$ .

We can add an elt.  $w \in \mathcal{Y} \setminus \{y\} \cup \{z\}$  to  $\mathcal{Z}' \setminus \{y\} \in \mathcal{I}$  s.t.

$S = (\mathcal{Z}' \setminus \{y\}) \cup \{w\} \in \mathcal{I}$ . If  $w = z$ ,  $\mathcal{Z} = S \in \mathcal{I}$ .  $\checkmark$

Otherwise  $w \in \mathcal{Y} \setminus \{y\}$ . Since  $S \in \mathcal{I}$  with  $|S| > |\mathcal{Y} \setminus \{y\}|$ ,  $\exists z' \in S \setminus \mathcal{Y}$  s.t.  $(\mathcal{Y} \setminus \{y\}) \cup \{z'\} \in \mathcal{I}$ , contradicting  $y$  a leaf!

## Edmond's Alg for matroid intersection

Given  $M_1 = (X, \mathcal{I}_1)$ ,  $M_2 = (X, \mathcal{I}_2)$  and  $Y \in \mathcal{I}_1 \cap \mathcal{I}_2$  define directed bipartite graph  $H_{M_1, M_2}(Y)$  with vertices  $X = Y \cup X \setminus Y$ , arcs

$(y, x)$  if  $(Y \setminus \{y\}) \cup \{x\} \in \mathcal{I}_1$  ( $\Leftrightarrow \{y, x\} \in H_{M_1}(Y)$ )

$(x, y)$  if  $(Y \setminus \{y\}) \cup \{x\} \in \mathcal{I}_2$  ( $\Leftrightarrow \{y, x\} \in H_{M_2}(Y)$ )

Idea: look for directed path in  $H_{M_1, M_2}(Y)$ , use it to "augment"  $Y$  to a larger set  $Y' \in \mathcal{I}_1 \cap \mathcal{I}_2$ .