

A Logarithmic Additive Integrality Gap for Bin Packing

Rebecca Hoberg and **Thomas Rothvoss**

Dep. of Mathematics

Dep. of Mathematics & CSE

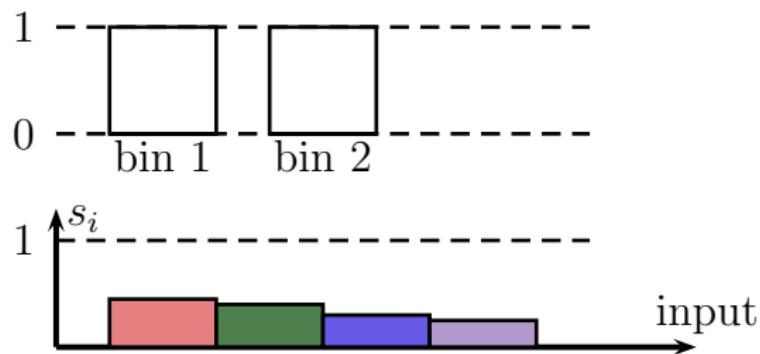
Barbados 2015



Bin Packing

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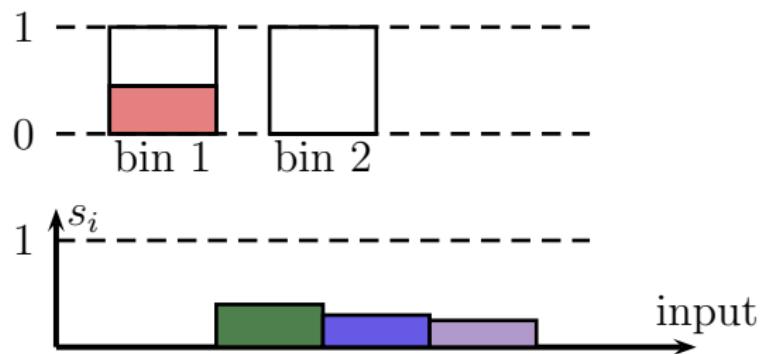
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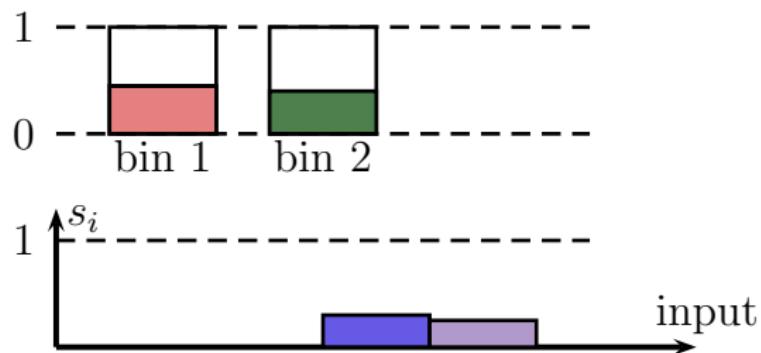
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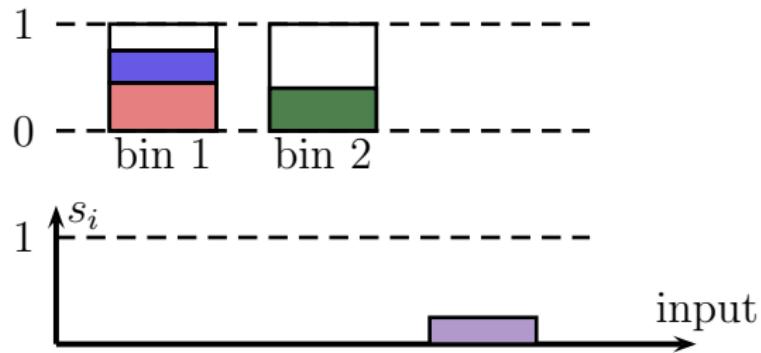
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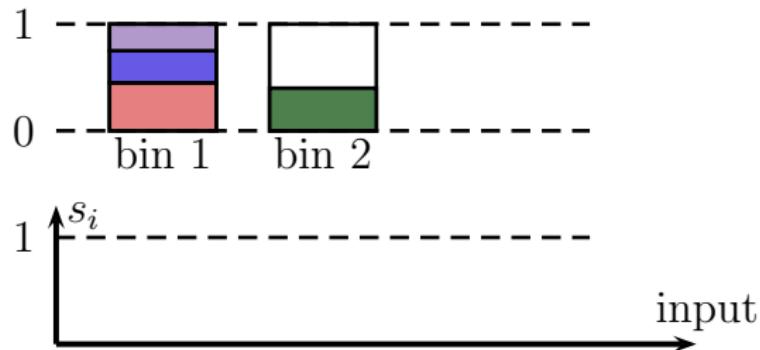
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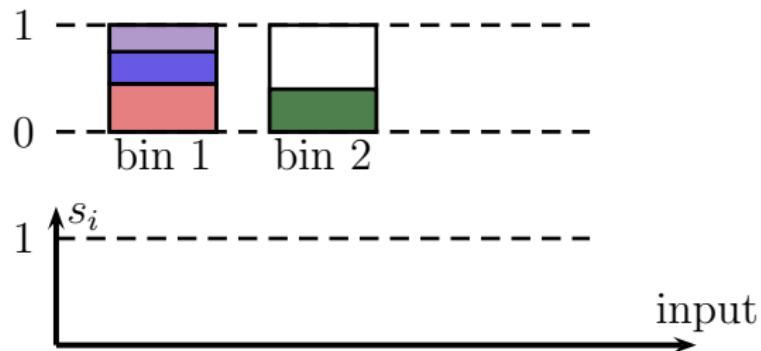
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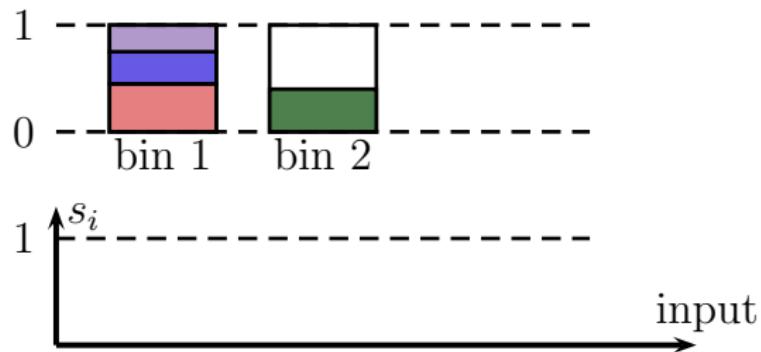


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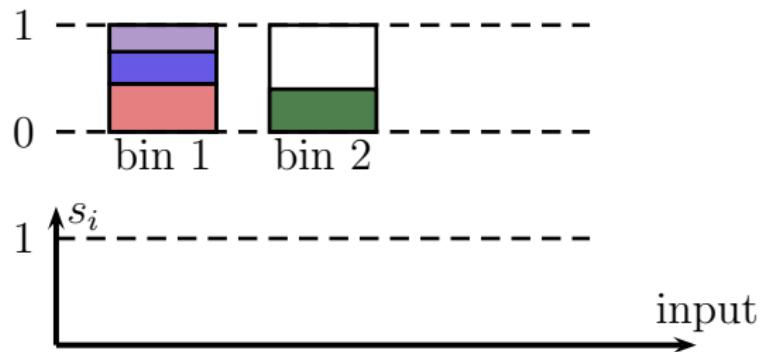


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- ▶ First Fit Decreasing [Johnson '73]: $APX \leq \frac{11}{9}OPT + 4$
- ▶ [de la Vega & Lücker '81] :
 $APX \leq (1 + \varepsilon)OPT + O(1/\varepsilon^2)$ in time $O(n) \cdot f(\varepsilon)$

The Gilmore Gomory LP relaxation

- ▶ $b_i = \#\text{items with size } s_i$
- ▶ **Feasible patterns:**

$$\mathcal{P} = \left\{ p \in \mathbb{Z}_{\geq 0}^n \mid \sum_{i=1}^n s_i p_i \leq 1 \right\}$$

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- ▶ **Gilmore Gomory LP relaxation:**

$$\begin{aligned} & \min \sum_{p \in \mathcal{P}} x_p \\ & \sum_{p \in \mathcal{P}} p_i \cdot x_p \geq b_i \quad \forall i \in [n] \\ & x_p \geq 0 \quad \forall p \in \mathcal{P} \end{aligned}$$

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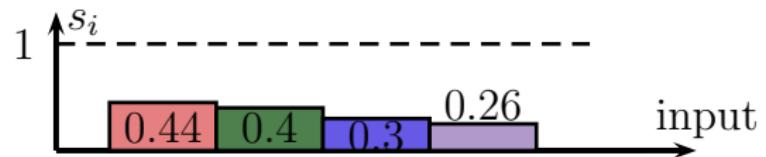
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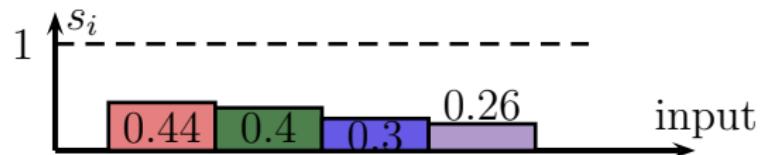
$$x_p \geq 0 \quad \forall p \in \mathcal{P}$$

- ▶ Can find x with $\mathbf{1}^T x \leq OPT_f + \delta$ in time $\text{poly}(\|b\|_1, \frac{1}{\delta})$

The Gilmore Gomory LP - Example

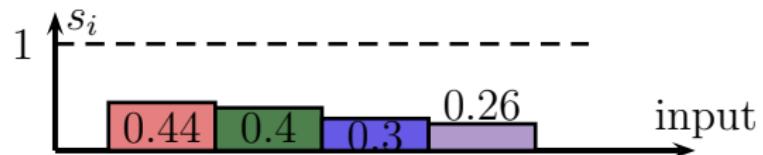


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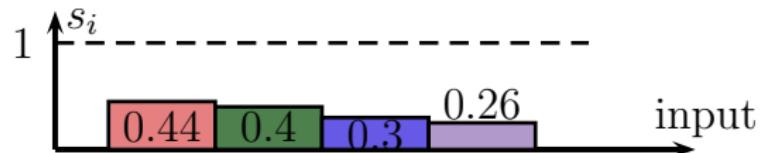
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Below the matrix, three arrows point upwards from three smaller rectangles to the corresponding columns of the matrix. The first rectangle contains a red and green stack. The second contains a red, blue, and purple stack. The third contains a green, blue, and purple stack. Each arrow is labeled $1/2 \times$.

Main result

- ▶ [Karmarkar & Karp '82]: $APX \leq OPT + O(\log^2 OPT)$
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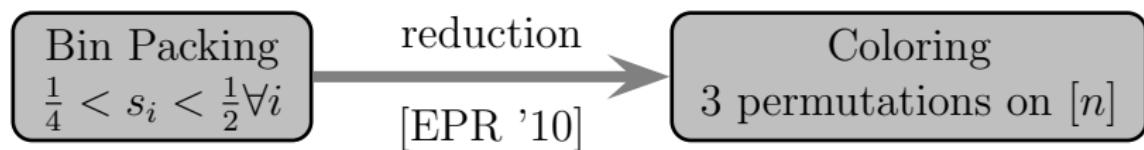
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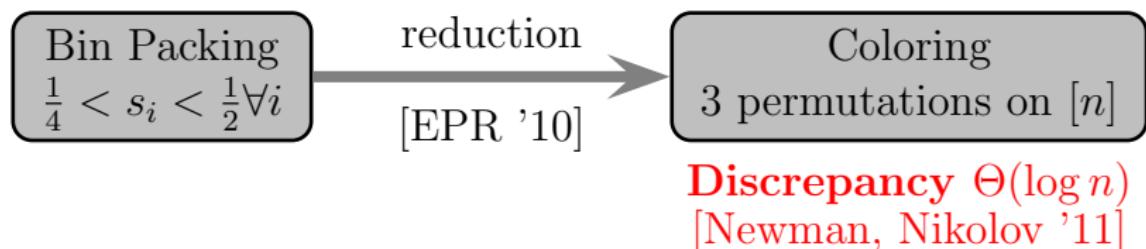


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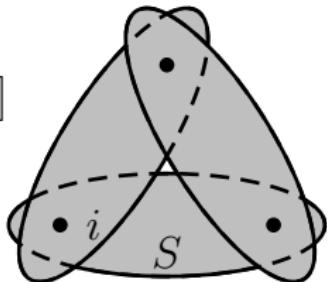
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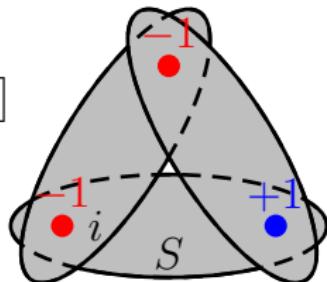
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- ▶ Set system $\mathcal{S} = \{S_1, \dots, S_m\}, S_i \subseteq [n]$



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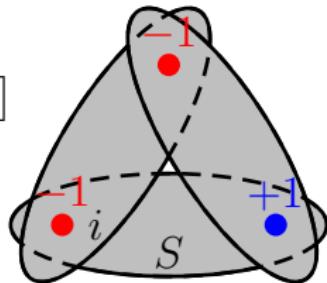
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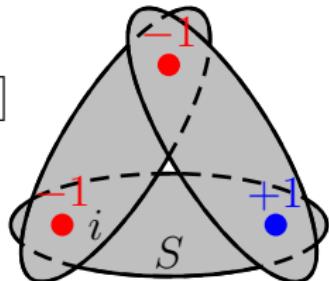
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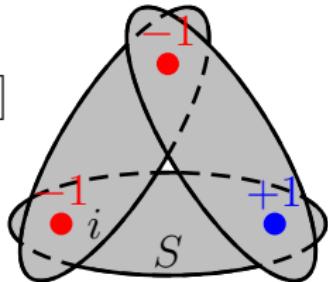
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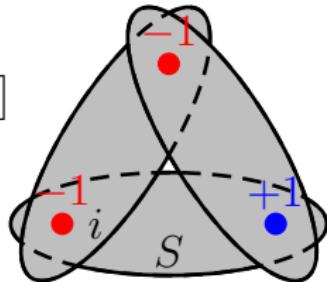
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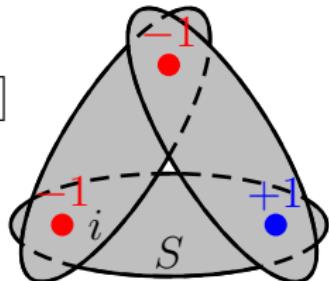
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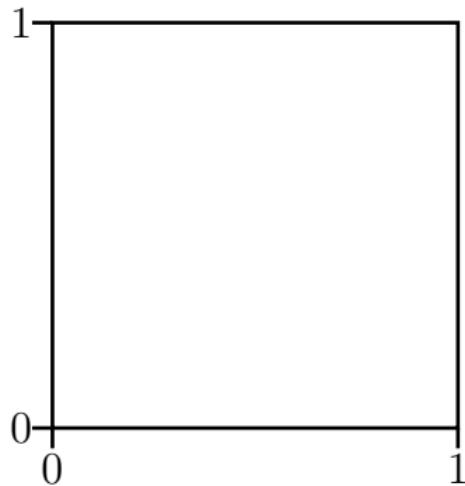
⇒ Partial coloring method

- ▶ Initially **non-constructive!**

Algorithms by [Bansal '10, Lovett-Meka '12, R. '14, ES'14]

Constructive Partial Coloring Lemma

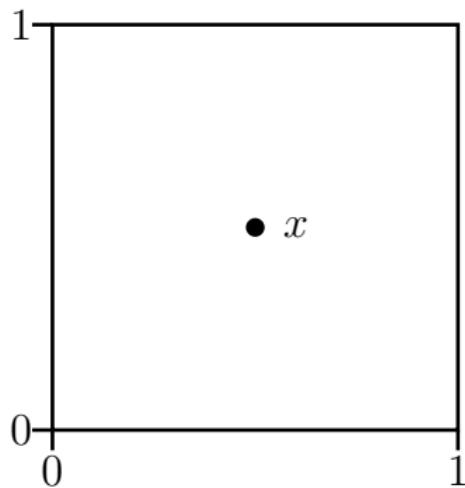
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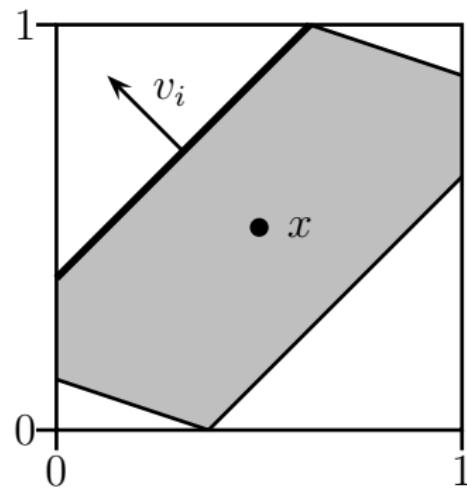
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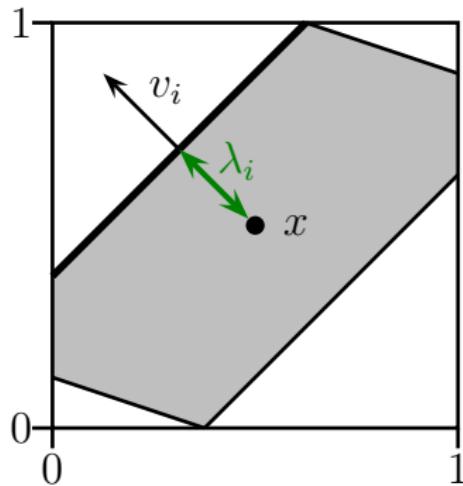


Constructive Partial Coloring Lemma

Lemma [Lovett-Meka '12]

Given $x \in [0, 1]^m$, unit vectors v_i , parameters $\lambda_i \geq 0$

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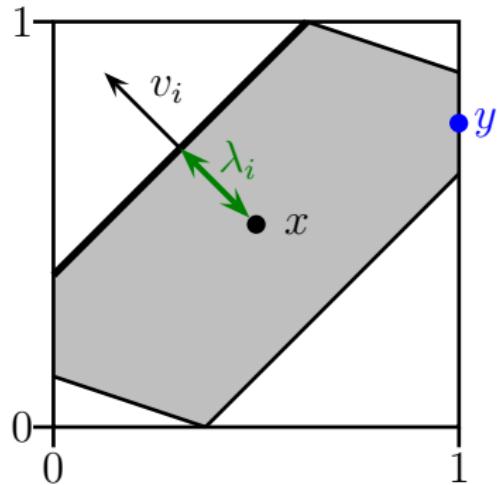
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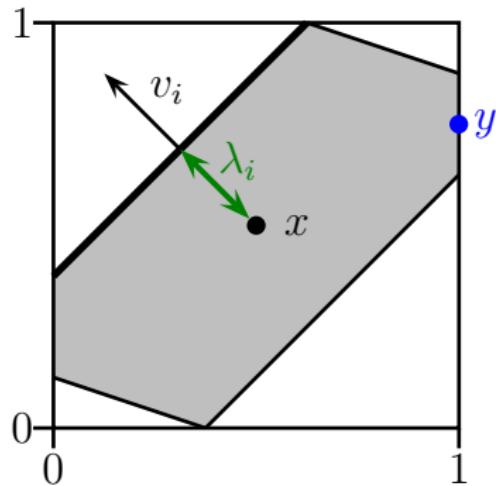
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$\sum_i e^{-\lambda_i^2/16} \leq \frac{m}{16}$. Then one can find $y \in [0, 1]^m$ with

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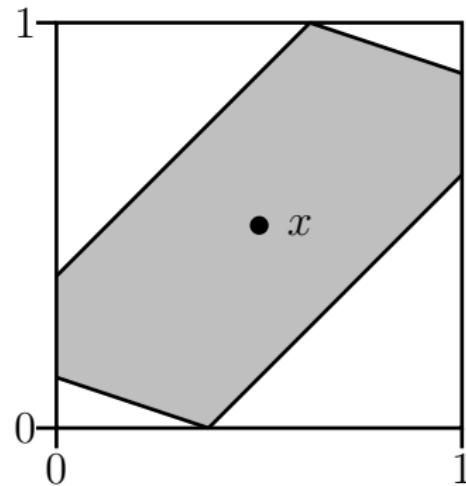
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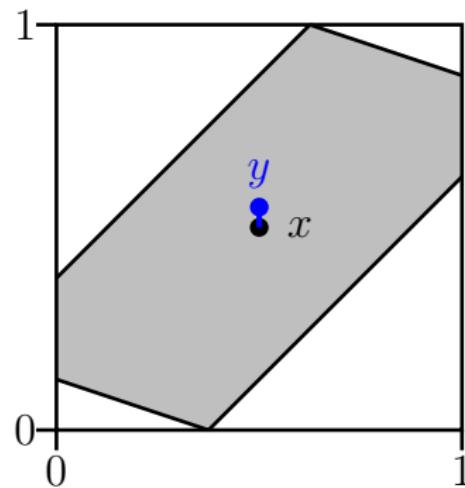
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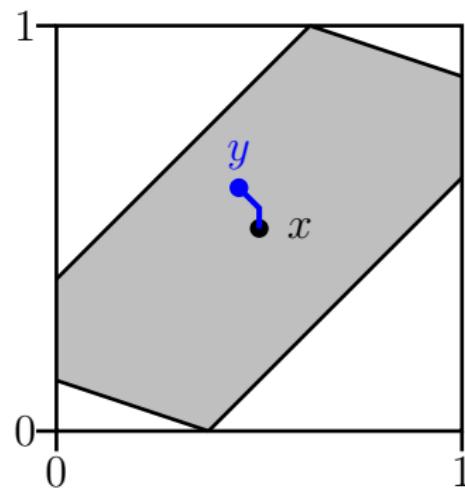
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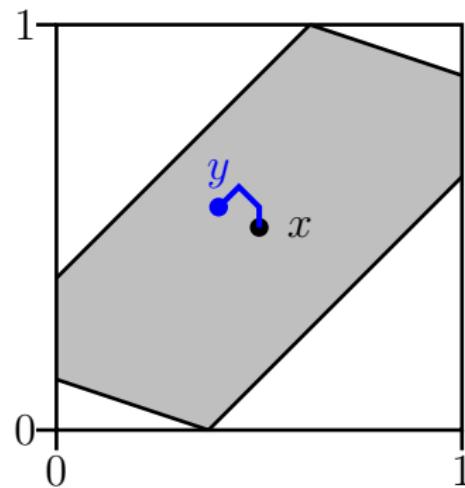
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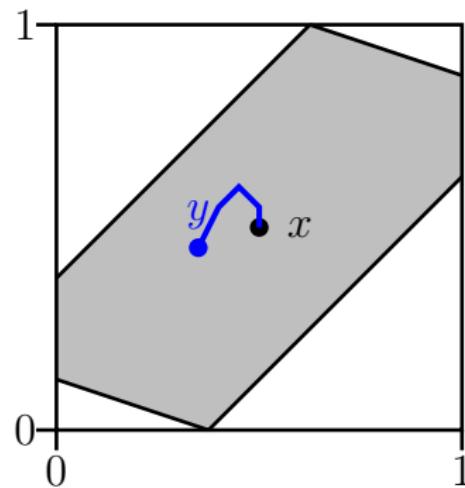
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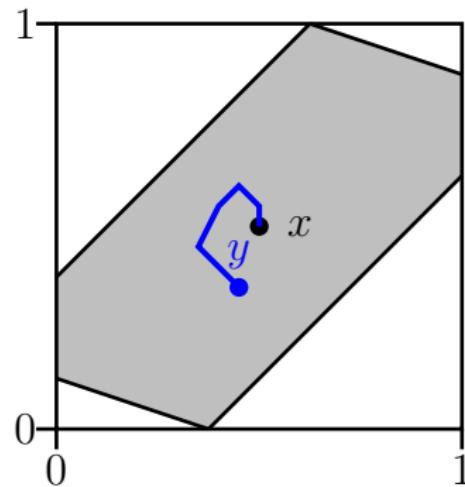
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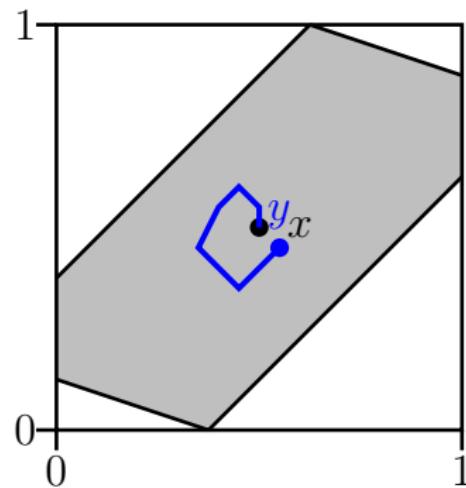
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- (1) Perform Brownian motion
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Constructive Partial Coloring Lemma

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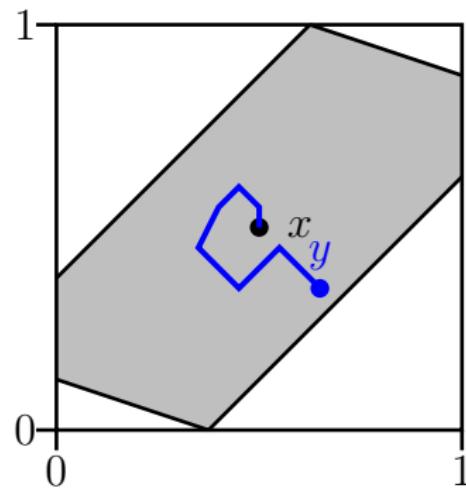
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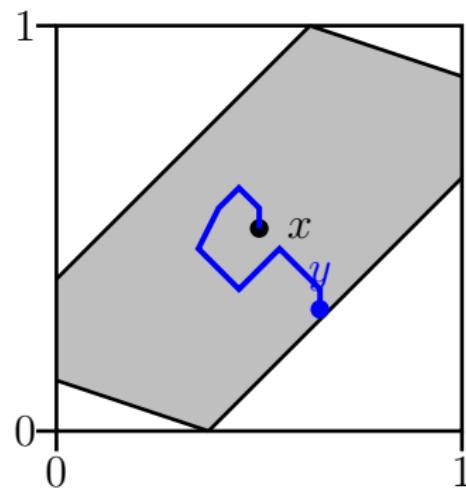
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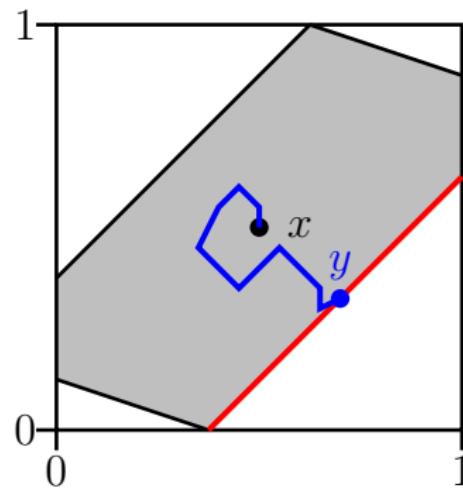
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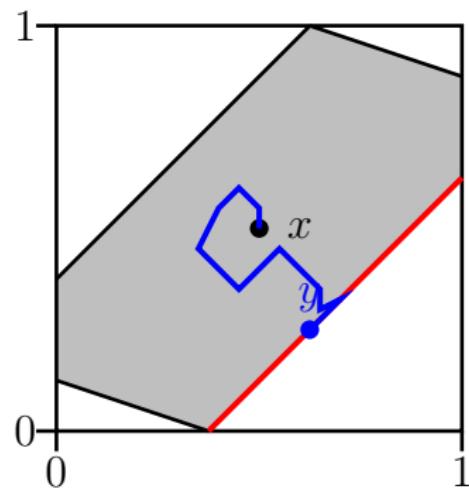
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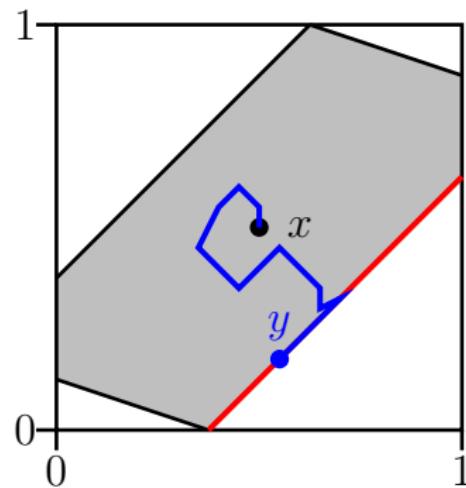
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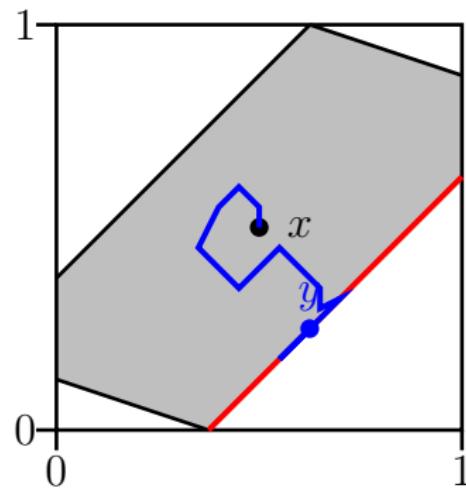
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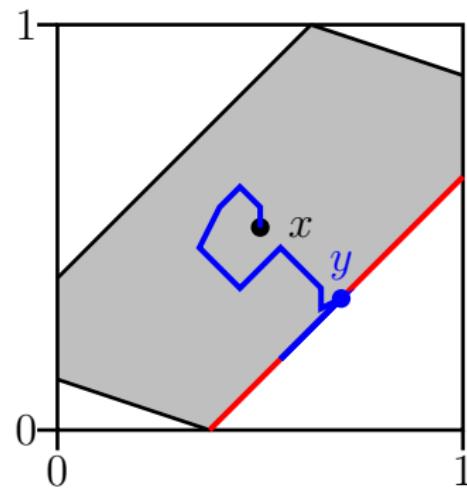
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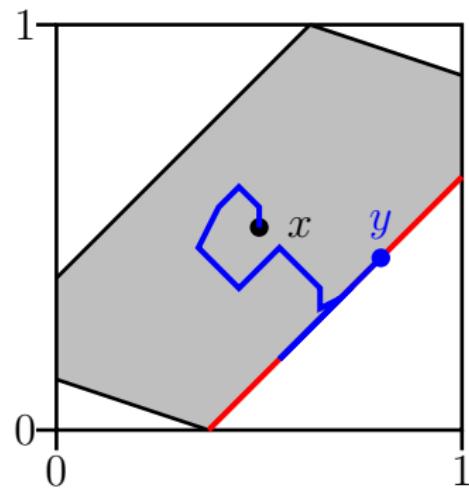
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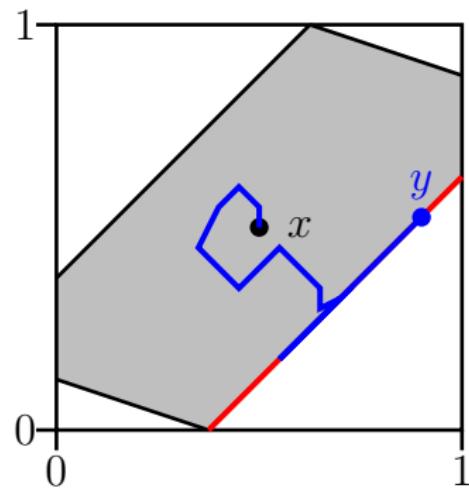
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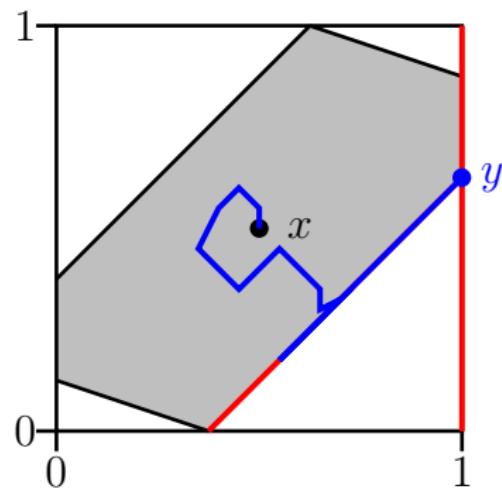
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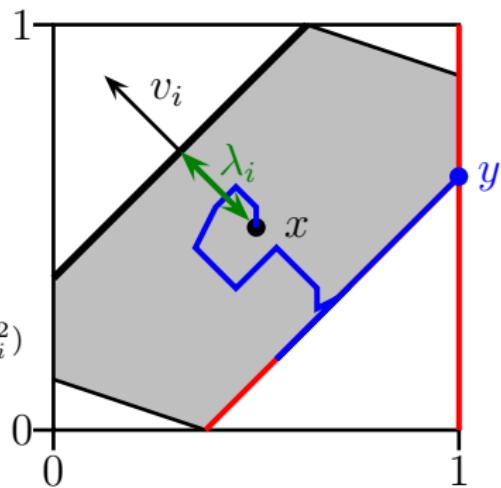
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- ▶ $\Pr[\text{hit } \langle v_i, y - x \rangle = \lambda_i] \leq e^{-\Omega(\lambda_i^2)}$



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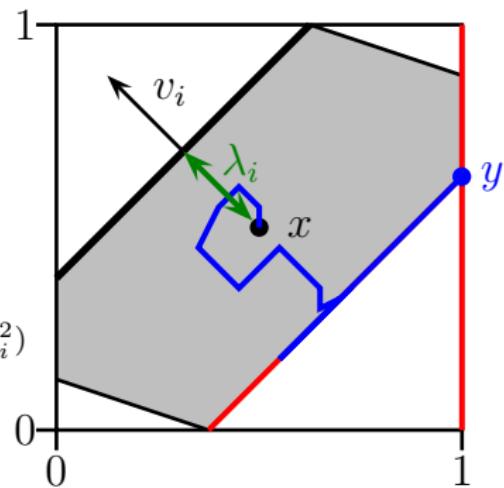
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Constructive Partial Coloring Lemma

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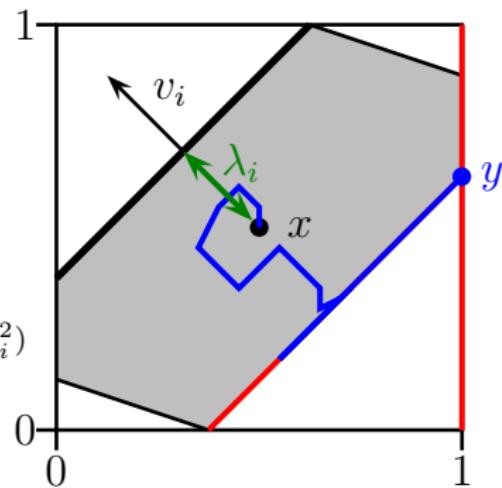
- ▶ $y_j \in \{0, 1\}$ for at least half of the indices j
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The algorithm

- (1) Compute a fractional LP solution x
- (2) FOR $\log n$ iterations DO
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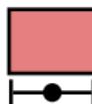
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 - $|\text{frac}(x')| \leq \frac{1}{2} \cdot |\text{frac}(x)|$
 - $\text{cost}(x') \leq \text{cost}(x) + O(1)$

Assigning items to patterns

Items:

$$b_1 = 2$$



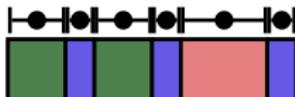
$$b_2 = 1$$



$$b_3 = 7$$



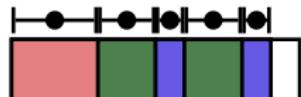
Bins:



$$x_{p_1} = \frac{1}{2}$$

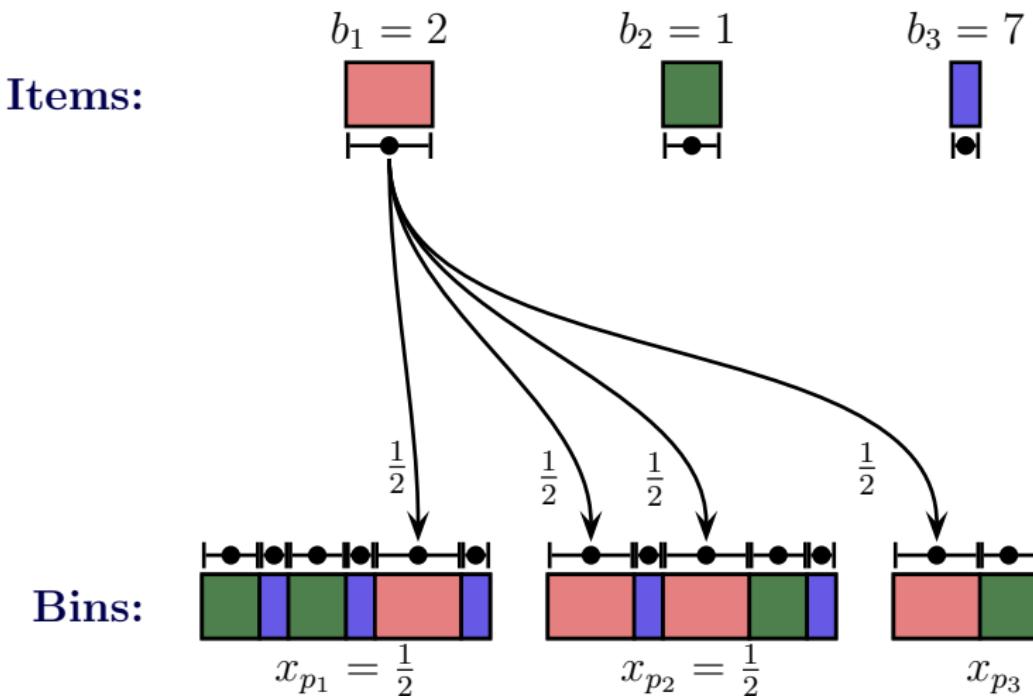


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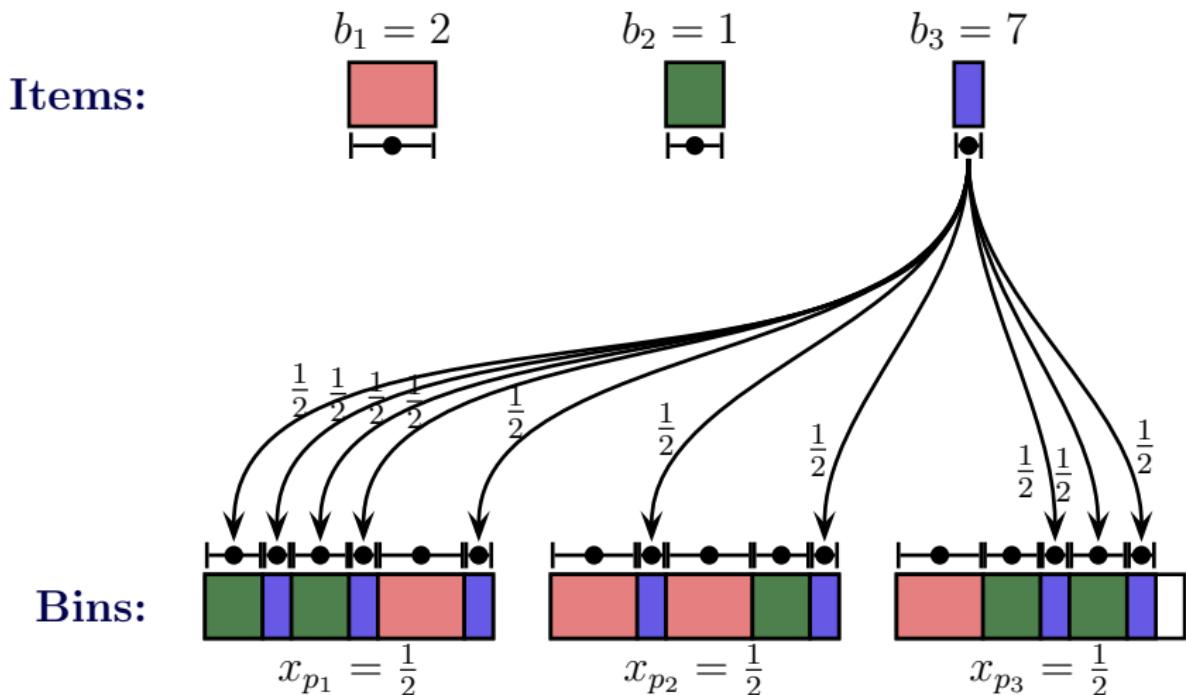


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Assigning items to patterns



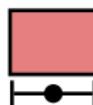
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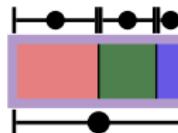
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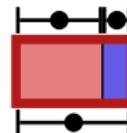
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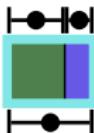
Containers:



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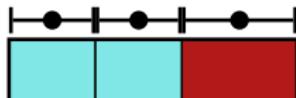


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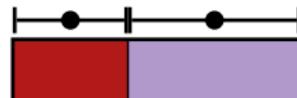


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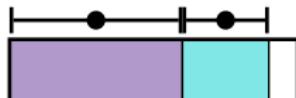
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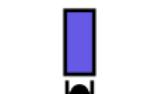
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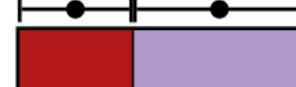
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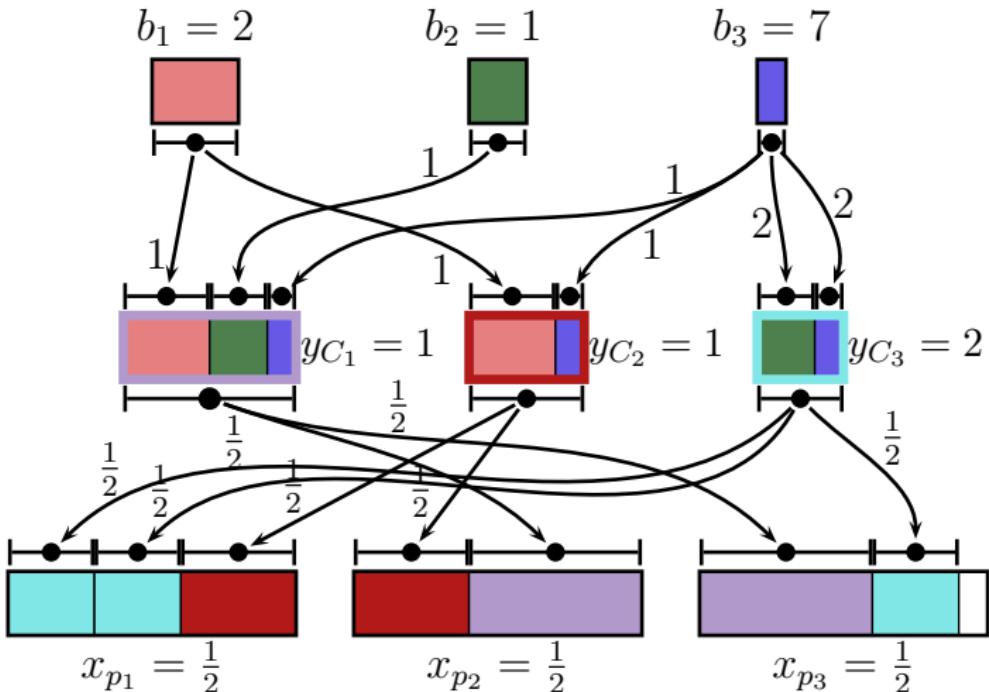
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- deficiency = total size of non-assignable items+containers

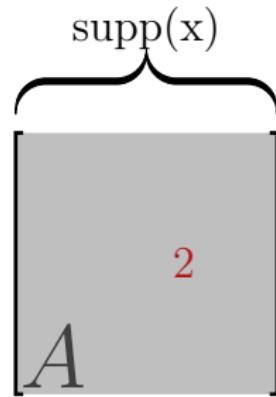
Container building

- ▶ Consider fract. solution x at beginning of iteration

$$\begin{bmatrix} & \\ & 2 \\ A & \end{bmatrix}$$

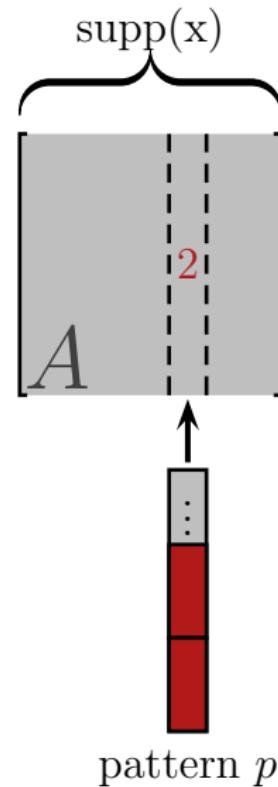
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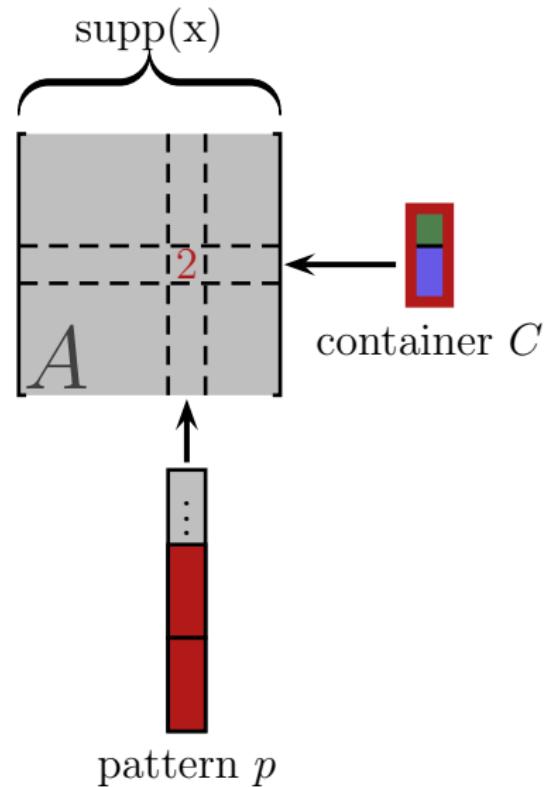
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Lemma

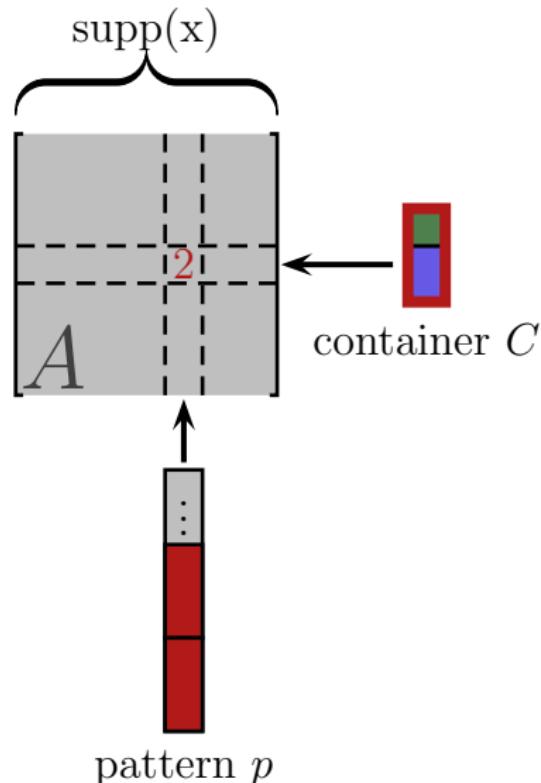
Can reassign containers so that for container C of size

$$s_C \in [\frac{1}{k}, \frac{2}{k}]:$$

- Each row has^{*} $\|A_C\|_1 \geq k^{1/2}$
- All entries $\leq k^{1/4}$

Deficiency increase is $O(1)$.

^{*} modulo technicalities

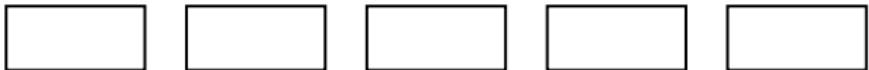


Container building (2)

container:



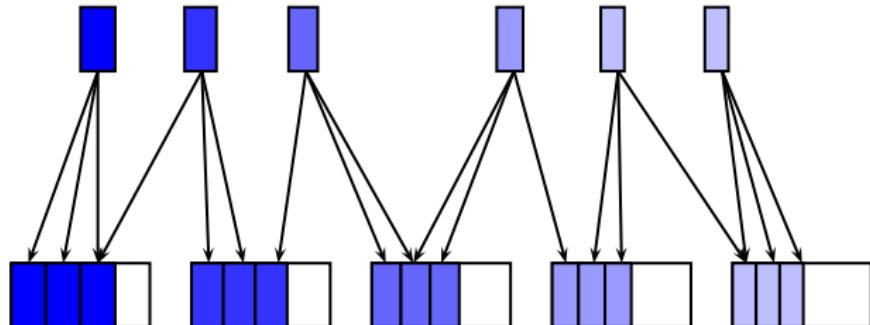
patterns:



For each size class $[\frac{1}{k}, \frac{2}{k}]$ (starting with smallest items) do:

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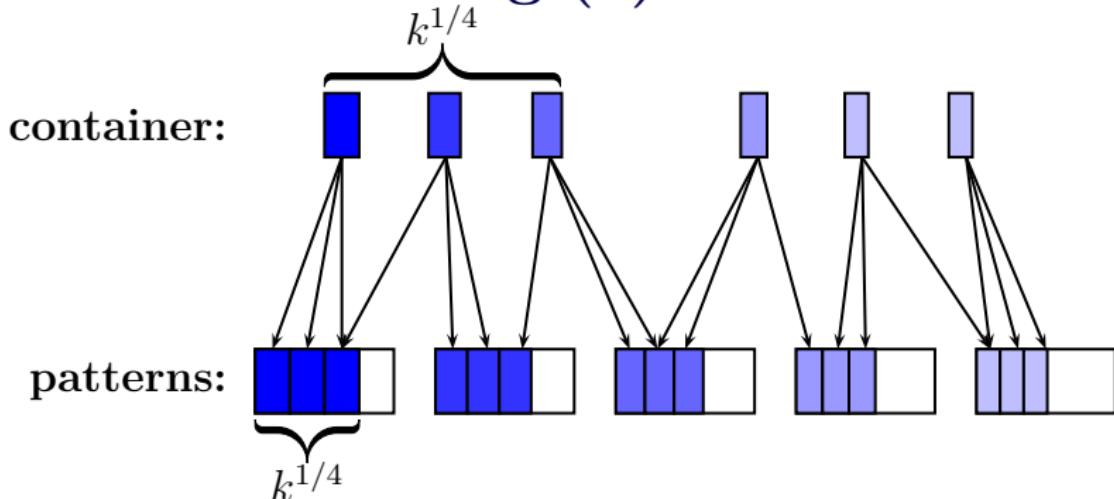


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For each size class $[\frac{1}{k}, \frac{2}{k}]$ (starting with smallest items) do:

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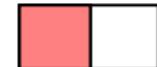
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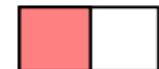
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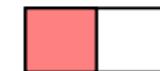
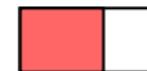
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- ▶ **Deficiency increase:** $O(k^{1/2} \cdot \frac{1}{k}) = \Theta(\frac{1}{k^{1/2}})$ for class $\frac{1}{k}$.
 - ▶ Over all k : $\sum_k \Theta(k^{-1/2}) = O(1)$

Applying the Partial Coloring Lemma

$$\left[\begin{array}{ccccccccc} \vdots & \vdots \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ \vdots & \vdots \end{array} \right]$$

A large letter 'A' is drawn over the first four columns of the matrix, indicating a specific submatrix or constraint.

Applying the Partial Coloring Lemma

$$\begin{bmatrix} \vdots & \vdots \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ \vdots & \vdots \end{bmatrix}$$

A large letter 'A' is drawn over the first four columns of the matrix.

- **Given:** x . **Find:** y with $|(\sum_{j \leq i} A_j)(x - y)|$ small

Applying the Partial Coloring Lemma

$$\begin{bmatrix} \vdots & \vdots \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & \\ \vdots & \vdots \end{bmatrix}$$

- ▶ **Given:** x . **Find:** y with $|(\sum_{j \leq i} A_j)(x - y)|$ small
- ▶ Suppose $\frac{1}{k} \leq s_i \leq \frac{2}{k}$

Applying the Partial Coloring Lemma

$$\left[\begin{array}{cccccccc} \vdots & \vdots \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ \vdots & \vdots \end{array} \right] \quad \boxed{I}$$

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- ▶ Suppose $\frac{1}{k} \leq s_i \leq \frac{2}{k}$
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Applying the Partial Coloring Lemma

$$\begin{bmatrix} \vdots & \vdots \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ \vdots & \vdots \end{bmatrix}$$

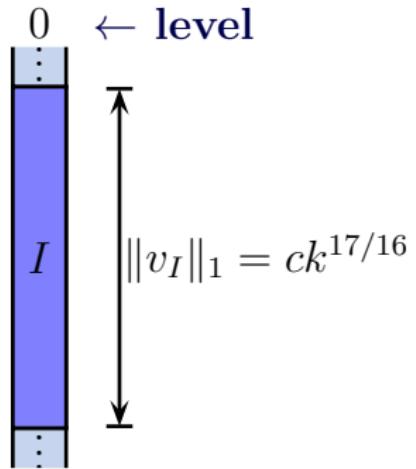
$v_I = (1 \ 0 \ 2 \ 1 \ 1 \ 1 \ 1 \ 1)$

I

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- ▶ Suppose $\frac{1}{k} \leq s_i \leq \frac{2}{k}$
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Applying the Partial Coloring Lemma

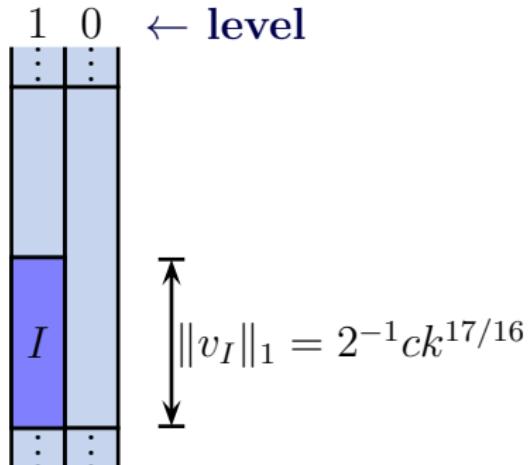
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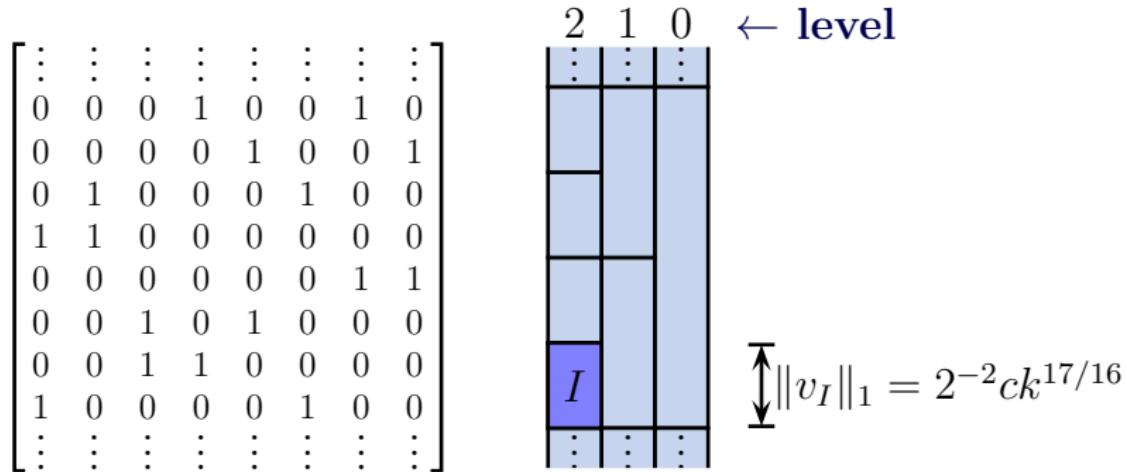
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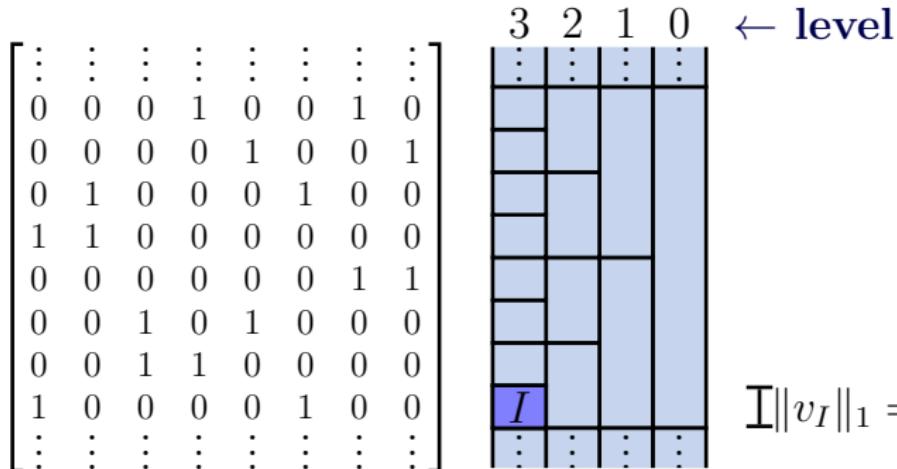
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Applying the Partial Coloring Lemma



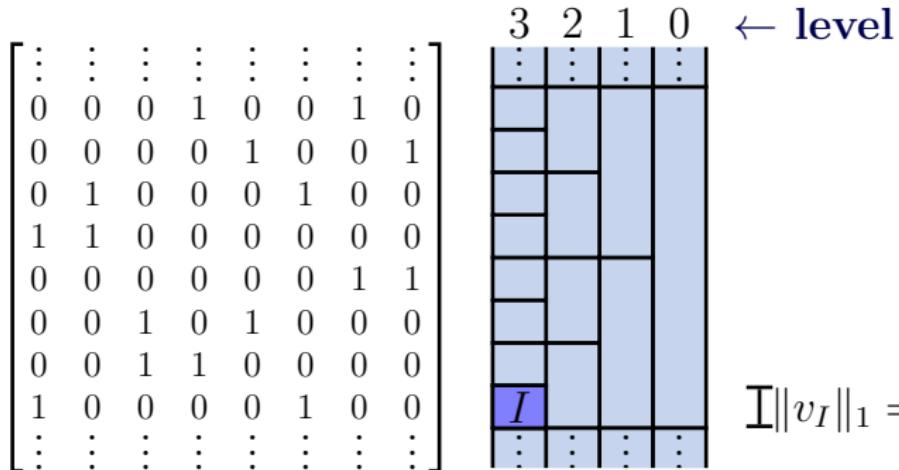
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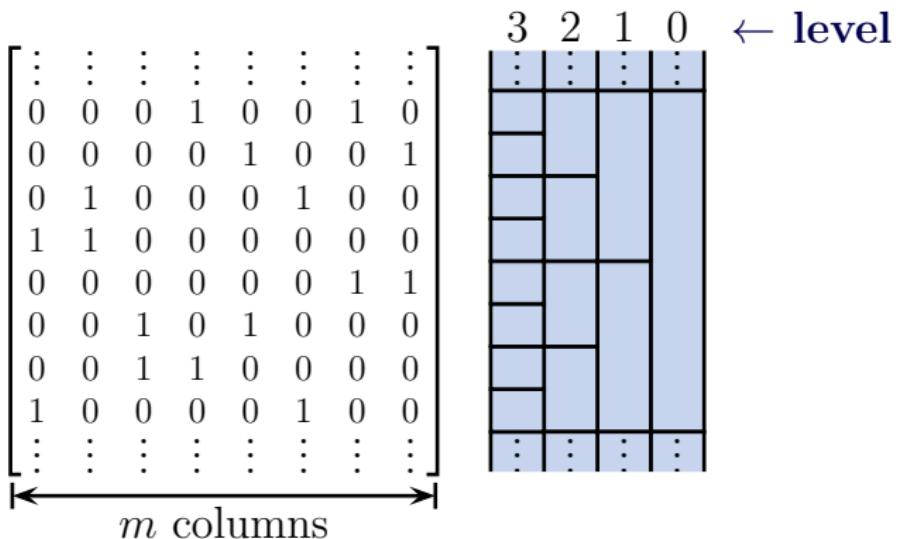
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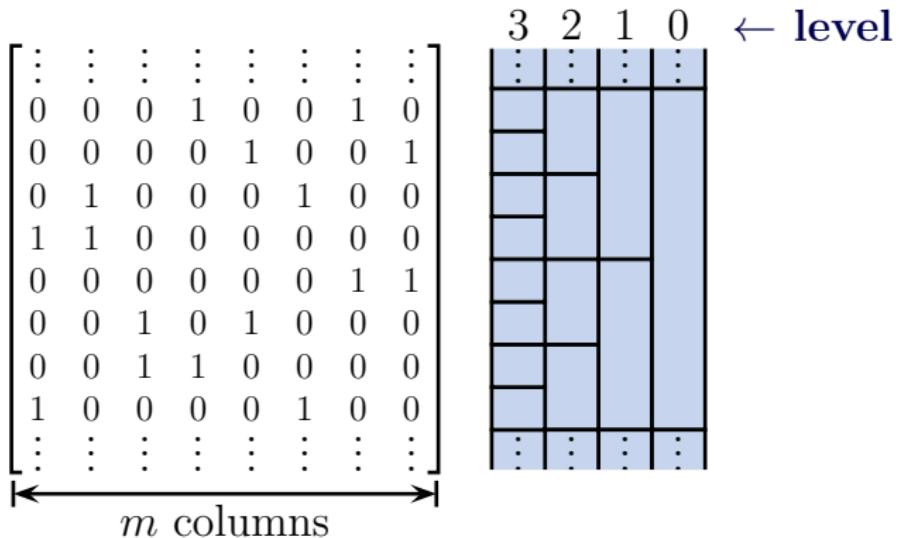
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- ▶ For interval $I \subseteq [n]$: $v_I := \sum_{i \in I} A_i$, $\lambda_I := \text{level}(I)$

Applying the Partial Coloring Lemma



- ▶ Run Partial coloring with $v_I := \sum_{i \in I} A_i$ and $\lambda_I := \text{level}(I)$

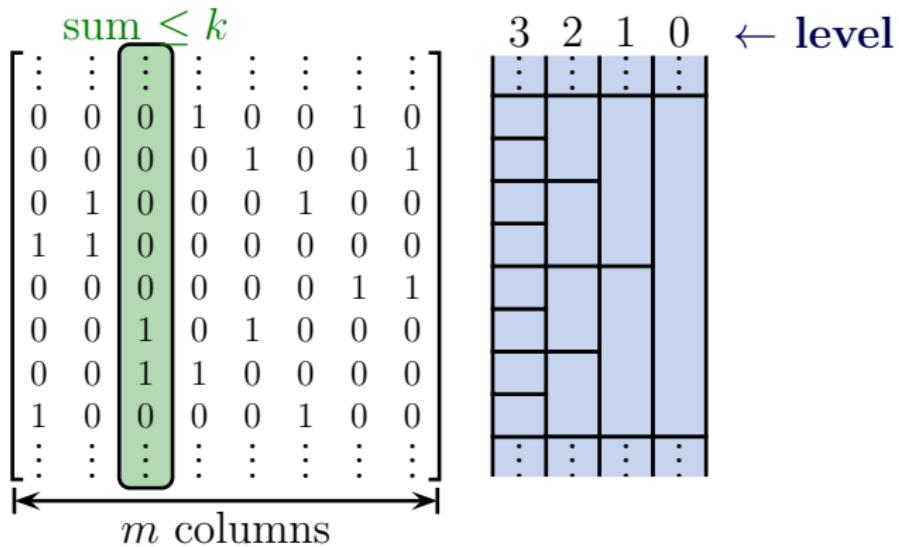
Applying the Partial Coloring Lemma



- ▶ Run Partial coloring with $v_I := \sum_{i \in I} A_i$ and $\lambda_I := \text{level}(I)$

$$\sum_I e^{-\lambda_I^2/16}$$

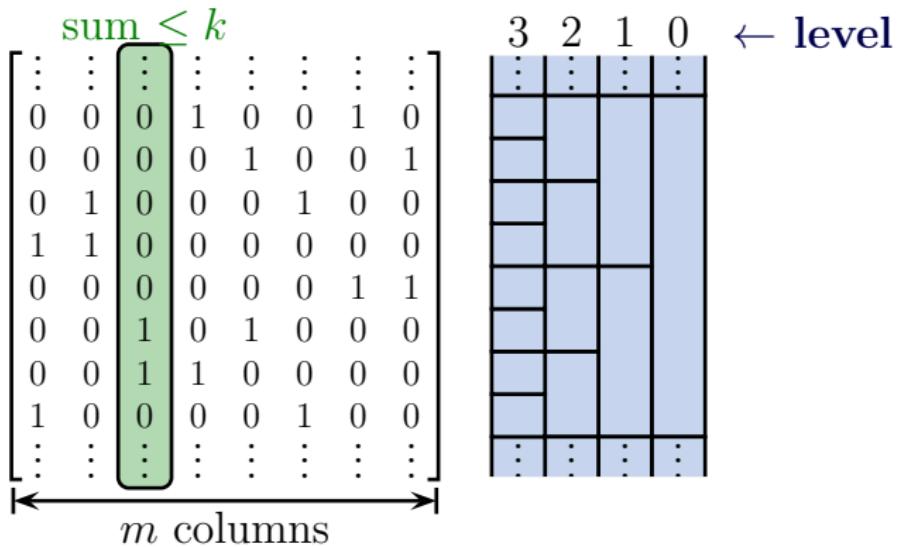
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$$\sum_I e^{-\lambda_I^2/16} \leq \sum_{\ell \geq 0} \frac{k \cdot m}{c k^{17/16} 2^{-\ell}} \cdot e^{-\ell^2/16}$$

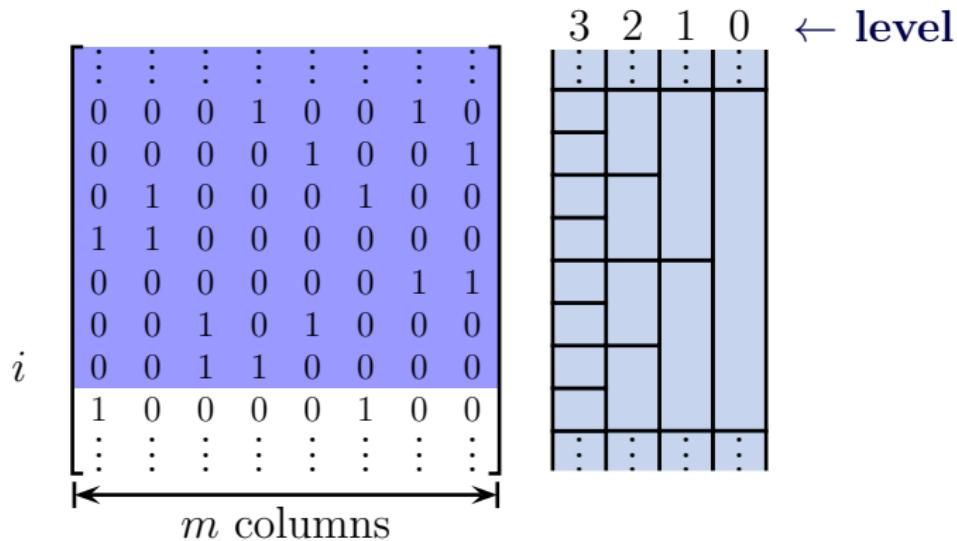
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$$\sum_I e^{-\lambda_I^2/16} \leq \sum_{\ell \geq 0} \frac{k \cdot m}{c k^{17/16} 2^{-\ell}} \cdot e^{-\ell^2/16} \leq \frac{m}{100 \cdot k^{1/16}}$$

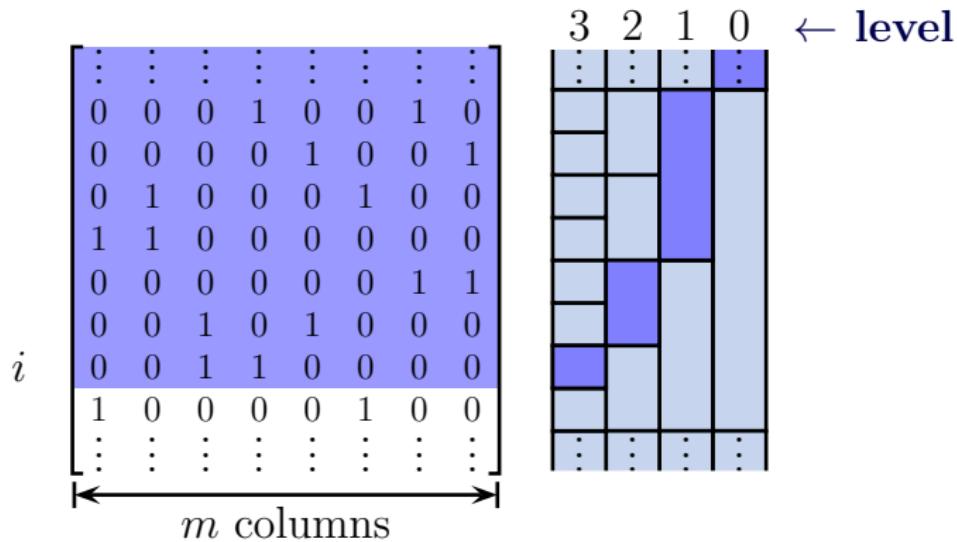
Applying the Partial Coloring Lemma



- ▶ Bound error for item i :

$$|(\sum_{j \leq i} A_j)(x - y)| \leq$$

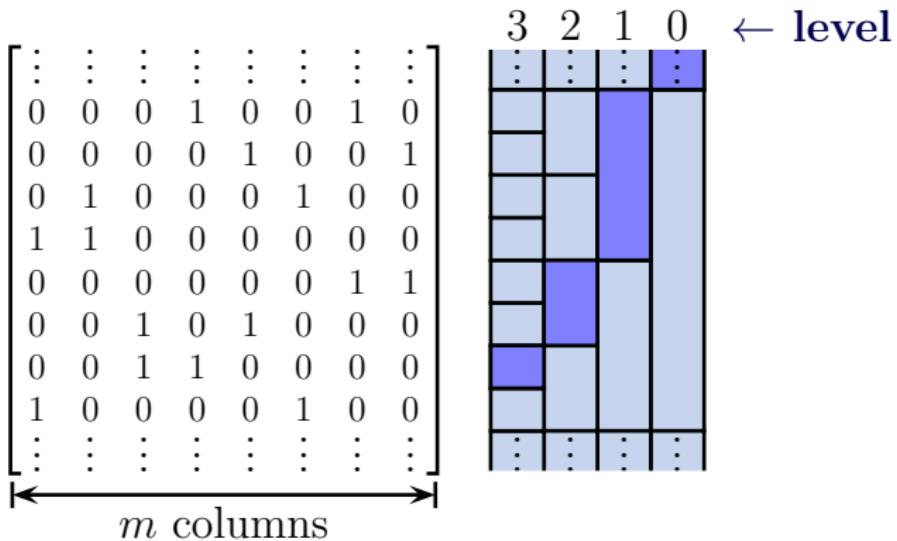
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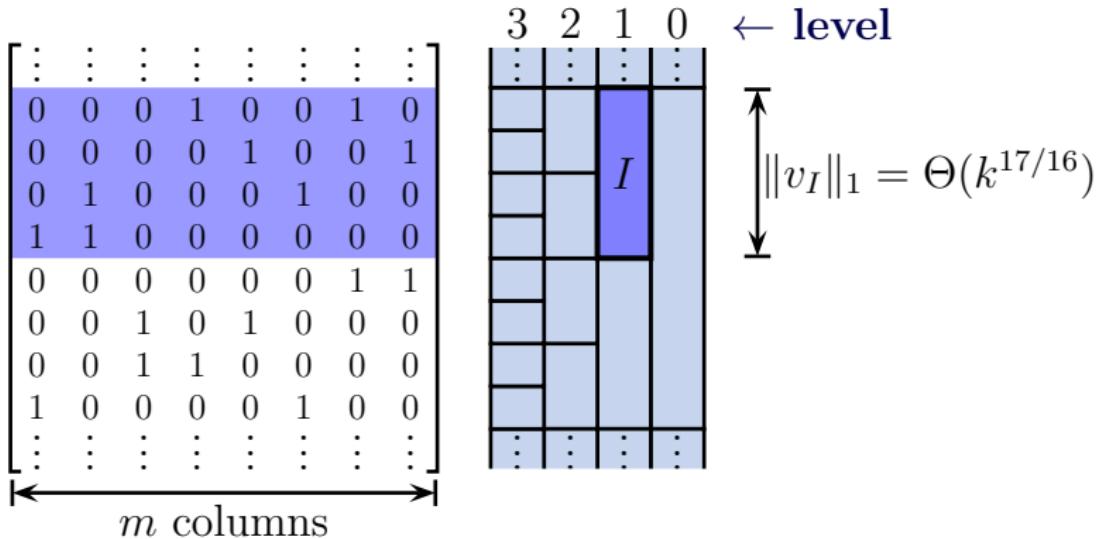
Applying the Partial Coloring Lemma



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$$|(\sum_{j \leq i} A_j)(x - y)| \leq \sum_{\ell \geq 0} \ell \cdot \|v_{I \text{ on level } \ell}\|_2$$

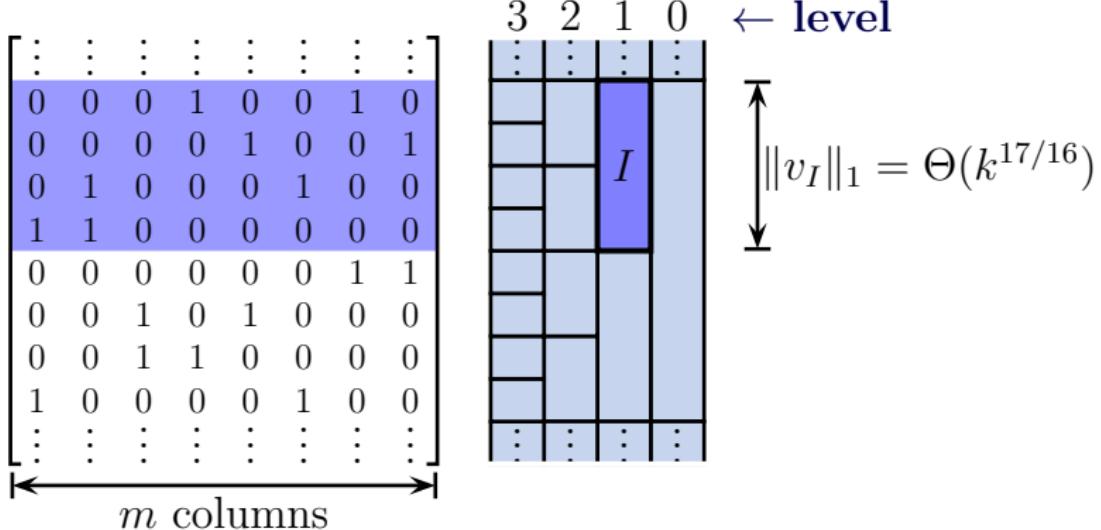
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$$|(\sum_{j \leq i} A_j)(x - y)| \leq \sum_{\ell \geq 0} \ell \cdot \|v_{I \text{ on level } \ell}\|_2 \leq O(1) \cdot \|v_I\|_2$$

Applying the Partial Coloring Lemma

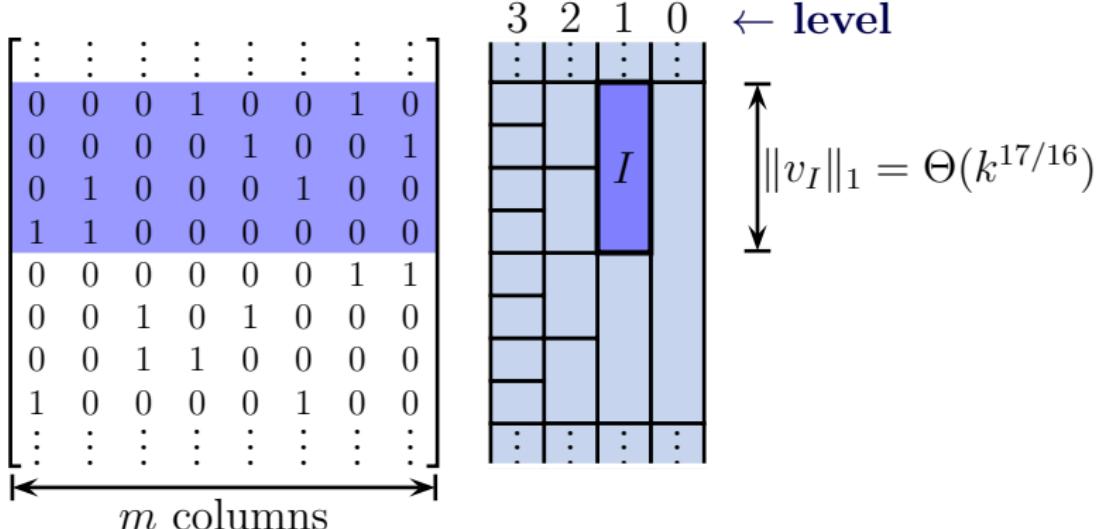


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$$\stackrel{\text{H\"older}}{\leq} \|v_I\|_1 \cdot \sqrt{\frac{k^{1/4}}{k^{1/2}}}$$

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The algorithm

- (1) Compute a fractional LP solution x
- (2) FOR $\log n$ iterations DO
 - (3) Rebuild incidence matrix
Effect: Rows of A get small $\|\cdot\|_2$ -norm
- (4) Apply Lovett-Meka rounding $x \rightarrow x'$
Effect: $|\text{frac}(x')| \leq \frac{1}{2} \cdot |\text{frac}(x)|$

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- ▶ Total deficiency increase: $O(\log n)$.

So, what about the * ?

- (1) FOR $\log n$ iterations DO
 - (2) For each size class $[\frac{1}{k}, \frac{2}{k}]$ (starting with smallest items):
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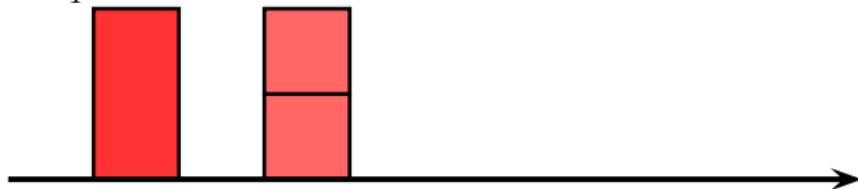
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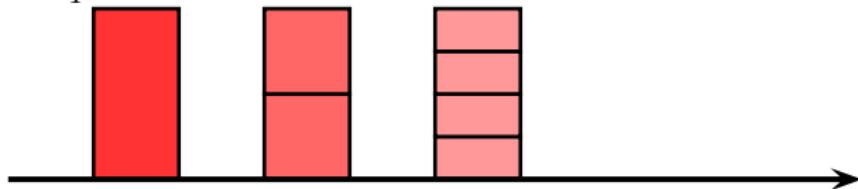
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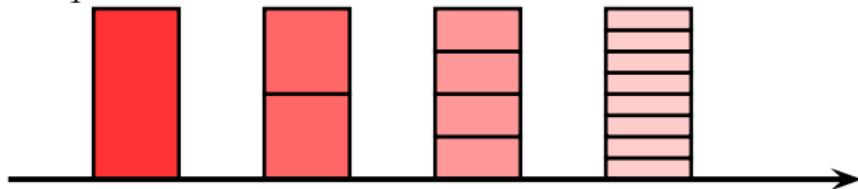
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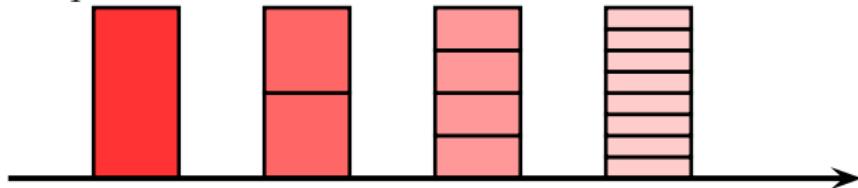


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- ▶ **Solution:** Use slack!

The end

Open question I

Close the gap

$$1 \leq \text{additive integrality gap} \leq O(\log n)$$

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Thanks for your attention