## Problem Set 3

# CSE 521 - Design and Analysis of Algorithms

# Fall 2024

### Exercise 1 (10pts)

Suppose we have a universe *U* of elements. For  $A, B \subseteq U$ , the *Jaccard distance* of  $A, B$  is defined as

$$
J(A,B) = \frac{|A \cap B|}{|A \cup B|}.
$$

This definition is used in practice to calculate a notion of similarity of documents, webpages, etc. For example, suppose *U* is the set of English words, and any set *A* represents a document considered as a bag of words. Note that for any two  $A, B \subseteq U$ ,  $0 \leq J(A, B) \leq 1$ . If  $J(A, B)$  is close to 1, then we can say  $A \approx B$ .

Let  $h: U \to [0,1]$  where for each  $i \in U$ ,  $h(i)$  is chosen uniformly and independently at random from [0, 1]. For a set  $S \subseteq U$ , let  $h_S := \min_{i \in S} h(i)$ . Show that

$$
Pr[h_A = h_B] = J(A, B).
$$

#### Exercise 2 (Optional 0 points!)

Let  $X_1, \ldots, X_n$  be independent random variables uniformly distributed in [0, 1] and let  $Y = \min\{X_1, \ldots, X_n\}$ . Show that  $\mathbb{E}[Y] = \frac{1}{n+1}$  and  $\text{Var}(Y) \leq \frac{1}{(n+1)^2}$ .

Comment. This homework problem is optional. You do not need to solve it for points; only if you are interested. However the claim itself might be useful in Exercise 3 and may be used there without proof.

#### Exercise 3 (10pts)

Consider the following algorithm for estimating  $F_0$ , the number of distinct elements in a sequence  $x_1, \ldots, x_m$  in the set  $\{0, 1, \ldots, n-1\}$ . Let  $h : \{0, 1, \ldots, n-1\} \rightarrow [0, 1]$  s.t.,  $h(i)$  is chosen uniformly and independently at random in [0, 1] for each *i*. We start with  $Y = 1$ . After reading each element  $x_i$  in the sequence we let  $Y = \min\{Y, h(x_i)\}.$ 

- i) Show that by the end of the stream  $\frac{1}{\mathbb{E}[Y]} 1$  is equal to  $F_0$ .
- ii) Use the above idea to design a streaming algorithm to estimate the number of distinct elements in the sequence with multiplicative error  $1 \pm \varepsilon$ . For the analysis you can assume that you have access to *k* independent hash functions as described above. Show that  $k \le O(1/\varepsilon^2)$  many such hash functions is enough to estimate the number of distinct elements within  $1 + \varepsilon$  factor with probability at least  $9/10$  (where  $0 < \varepsilon < 1$ ).

Hint. There is a fact that may come in handy that you are allowed to use without proof: For any  $\alpha > 0$  and any  $0 < \beta \leq \frac{1}{2}$  $\frac{1}{2}$  and  $0 < \varepsilon \leq \frac{1}{10}$  one has

$$
\left((1-\varepsilon)\alpha \leq \beta \leq (1+\varepsilon)\alpha\right) \Longrightarrow \left((1-4\varepsilon)\left(\frac{1}{\alpha}-1\right) \leq \frac{1}{\beta}-1 \leq (1+4\varepsilon)\left(\frac{1}{\alpha}-1\right)\right)
$$