

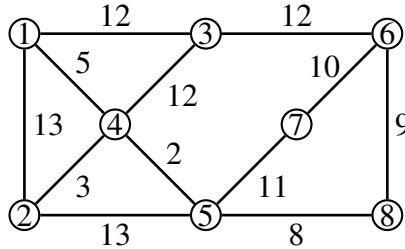
## Problem Set 2

## 409 - Discrete Optimization

Winter 2026

**Exercise 1 (4 points)**

Compute a minimum spanning tree in the graph  $G = (V, E)$  depicted below. It suffices to give the final tree.

**Exercise 2 (5 points)**

Consider the following claim:

*Let  $G = (V, E)$  be an undirected graph with edge cost  $c_e \in \mathbb{R}$  for all  $e \in E$ . If there are two minimum spanning trees  $T_1, T_2 \subseteq E$ ,  $T_1 \neq T_2$ , then  $G$  contains two edges with the same cost.*

Is the claim true or false? If true, give a proof. If false, give a counterexample.

**Exercise 3 (11 points)**

Let  $v_1, \dots, v_m \in \mathbb{R}^n$  be vectors. We assume that  $\text{span}(v_1, \dots, v_m) = \mathbb{R}^n$ . We call an index set  $I \subseteq \{1, \dots, m\}$  a *basis*, if the vectors  $\{v_i\}_{i \in I}$  are a basis of  $\mathbb{R}^n$ . We assume that we are given cost  $c(1), \dots, c(m) \geq 0$  for all the vectors and abbreviate  $c(I) := \sum_{i \in I} c(i)$  as the cost of a basis. We say that a basis  $I^* \subseteq \{1, \dots, m\}$  is *optimal* if  $c(I^*) \leq c(I)$  for any basis  $I$ .

i) Let  $I, J \subseteq [m]$  be two different basis. Prove that for all  $i \in I \setminus J$ , there is an index  $j \in J \setminus I$  so that  $(I \setminus \{i\}) \cup \{j\}$  is a basis and also  $(J \setminus \{j\}) \cup \{i\}$  is a basis.

**Remark:** If you are unsure how to prove this, you may want to lookup *Steinitz exchange lemma* from your Linear Algebra course. One variant that works is: Let  $w_1, \dots, w_k \in \mathbb{R}^n$  be linearly independent and let  $w = \sum_{\ell=1}^k \lambda_\ell w_\ell$  for  $\lambda_1, \dots, \lambda_k \in \mathbb{R}$ . Then for any index  $\ell$  with  $\lambda_\ell \neq 0$ , also the vectors  $w, w_1, \dots, w_{\ell-1}, w_{\ell+1}, \dots, w_k$  are linearly independent.

ii) Show that if a basis  $I$  is not optimal, then there is an *improving swap*, which means that there is a pair of indices  $i \in I$  and  $j \notin I$  so that  $J := (I \setminus \{i\}) \cup \{j\}$  is a basis with  $c(J) < c(I)$ .

**Remark:** The proof of this claim is actually along the lines of Theorem 5 on page 17 in the lecture notes. I recommend to read that proof before.

iii) We want to compute an optimum basis and we want to use the following algorithm:

- (1) Set  $I := \emptyset$
- (2) Sort the vectors so that  $c(1) \leq c(2) \leq \dots \leq c(m)$
- (3) FOR  $i = 1$  TO  $m$  DO
  - (4) If the vectors  $\{v_j\}_{j \in I \cup \{i\}}$  are linearly independent, then update  $I := I \cup \{i\}$

Prove that the computed basis  $I$  is optimal.

**Remark:** Again, you might want to have a look into the correctness proof for Kruskal's algorithm in order to solve this.