

# $L$ -Functions: A Crash Course

Simon Spicer

University of Washington

*mlungu@uw.edu*

July 2, 2013

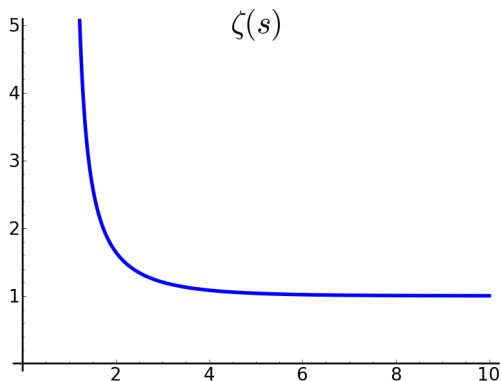
# Introduction: The Riemann Zeta Function

# Introduction: The Riemann Zeta Function

Let  $\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$  be the Riemann zeta function.

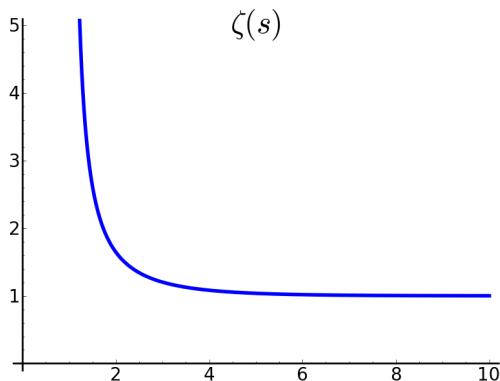
# Introduction: The Riemann Zeta Function

Let  $\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$  be the Riemann zeta function.



# Introduction: The Riemann Zeta Function

Let  $\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$  be the Riemann zeta function.



This Dirichlet series converges absolutely for any complex  $s$  with  $\Re(s) > 1$

# The Euler product

# The Euler product

## Theorem (Euler 1737)

$$\zeta(s) = \sum_{n=1}^{\infty} n^{-s} = \prod_p \frac{1}{1 - p^{-s}}$$

where the product is taken over all primes, and the product converges absolutely for  $\Re(s) > 1$ .

# The Euler product

## Theorem (Euler 1737)

$$\zeta(s) = \sum_{n=1}^{\infty} n^{-s} = \prod_p \frac{1}{1 - p^{-s}}$$

where the product is taken over all primes, and the product converges absolutely for  $\Re(s) > 1$ .

## "Proof"

$$\begin{aligned} \sum_{n=1}^{\infty} n^{-s} &= 1^{-s} + 2^{-s} + 3^{-s} + 4^{-s} + \dots \\ &= (1^{-s} + 2^{-s} + (2^2)^{-s} + \dots) (1^{-s} + 3^{-s} + (3^2)^{-s} + \dots) \dots \\ &= [(2^{-s})^0 + (2^{-s})^1 + (2^{-s})^2 \dots] [(3^{-s})^0 + (3^{-s})^1 + (3^{-s})^2 \dots] \dots \\ &= \left( \frac{1}{1 - 2^{-s}} \right) \left( \frac{1}{1 - 3^{-s}} \right) \left( \frac{1}{1 - 5^{-s}} \right) \dots \end{aligned}$$



# Extending $\zeta(s)$ to a Larger Domain

Can we get better convergence for  $\zeta(s)$ ?

## Extending $\zeta(s)$ to a Larger Domain

Can we get better convergence for  $\zeta(s)$ ? Yes! Observe:

$$\begin{aligned} 2^{-s}\zeta(s) &= 2^{-s} \sum_{n=1}^{\infty} n^{-s} \\ &= \sum_{n=1}^{\infty} (2n)^{-s} \end{aligned}$$

## Extending $\zeta(s)$ to a Larger Domain

Can we get better convergence for  $\zeta(s)$ ? Yes! Observe:

$$\begin{aligned}2^{-s}\zeta(s) &= 2^{-s} \sum_{n=1}^{\infty} n^{-s} \\ &= \sum_{n=1}^{\infty} (2n)^{-s}\end{aligned}$$

So

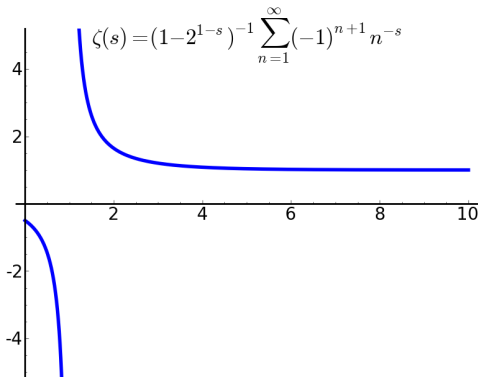
$$\begin{aligned}(1 - 2 \cdot 2^{-s})\zeta(s) &= \zeta(s) - 2 \cdot 2^{-s}\zeta(s) \\ &= (1^{-s} + 2^{-s} + 3^{-s} + \dots) - (2 \cdot 2^{-s} + 2 \cdot 4^{-s} + 2 \cdot 6^{-s} + \dots) \\ &= 1^{-s} - 2^{-s} + 3^{-s} - 4^{-s} + \dots \\ &= \sum_{n=1}^{\infty} (-1)^{n+1} n^{-s}.\end{aligned}$$

## Extending $\zeta(s)$ to a Larger Domain

$\sum_{n=1}^{\infty} (-1)^{n+1} n^{-s}$  converges (conditionally) on the strip  $0 < \Re(s) \leq 1$ , so we can use it to define  $\zeta(s)$  on the entire right half plane.

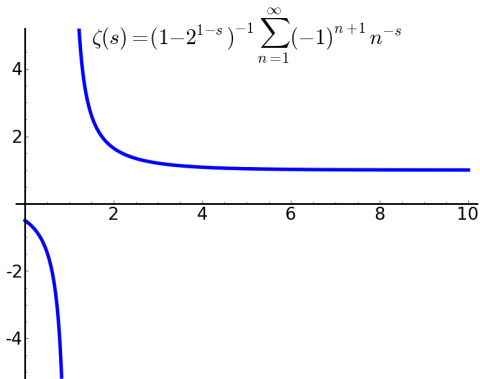
## Extending $\zeta(s)$ to a Larger Domain

$\sum_{n=1}^{\infty} (-1)^{n+1} n^{-s}$  converges (conditionally) on the strip  $0 < \Re(s) \leq 1$ , so we can use it to define  $\zeta(s)$  on the entire right half plane.



## Extending $\zeta(s)$ to a Larger Domain

$\sum_{n=1}^{\infty} (-1)^{n+1} n^{-s}$  converges (conditionally) on the strip  $0 < \Re(s) \leq 1$ , so we can use it to define  $\zeta(s)$  on the entire right half plane.



We can see  $\zeta(s)$  clearly has a pole at  $s = 1$ .

# The Completed Zeta Function

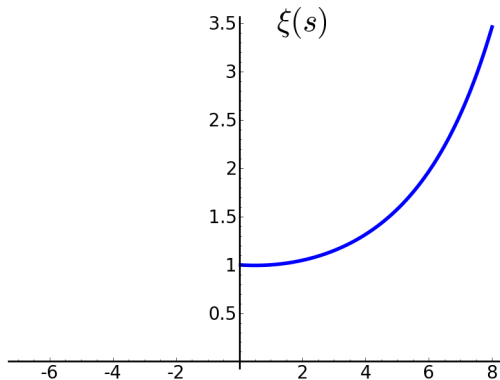
Define the *completed zeta function*

$$\xi(s) = s(s-1)\pi^{-s/2}\Gamma\left(\frac{s}{2}\right)\zeta(s)$$

# The Completed Zeta Function

Define the *completed zeta function*

$$\xi(s) = s(s-1)\pi^{-s/2}\Gamma\left(\frac{s}{2}\right)\zeta(s)$$

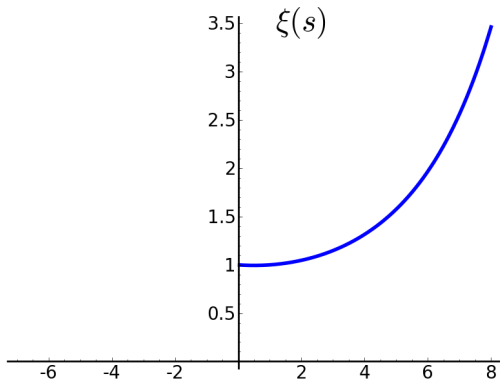




# The Completed Zeta Function

Define the *completed zeta function*

$$\xi(s) = s(s-1)\pi^{-s/2}\Gamma\left(\frac{s}{2}\right)\zeta(s)$$

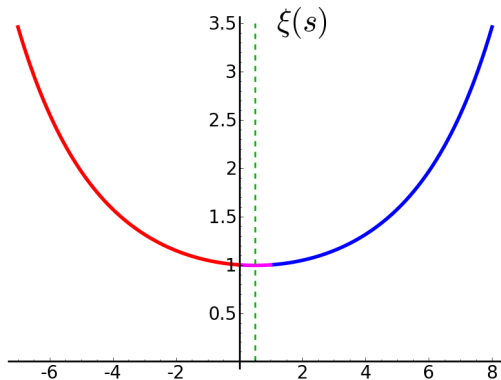


We can show that  $\xi(s) = \xi(1-s)$  on the strip  $0 < \Re(s) < 1$ , so we use this to extend  $\zeta(s)$  to all of  $\mathbb{C}$ .

# The Completed Zeta Function

Define the *completed zeta function*

$$\xi(s) = s(s-1)\pi^{-s/2}\Gamma\left(\frac{s}{2}\right)\zeta(s)$$



We can show that  $\xi(s) = \xi(1-s)$  on the strip  $0 < \Re(s) < 1$ , so we use this to extend  $\zeta(s)$  to all of  $\mathbb{C}$ .

## $\zeta(s)$ Analytically continued to $\mathbb{C}$

So we have

$$\zeta(s) = \begin{cases} (1 - 2^{1-s})^{-1} \sum_{n=1}^{\infty} (-1)^{n+1} n^{-s} & \Re(s) > 0 \\ 2^s \pi^{s-1} \sin\left(\frac{\pi s}{2}\right) \Gamma(1-s) \zeta(1-s) & \Re(s) \leq 0 \end{cases}$$

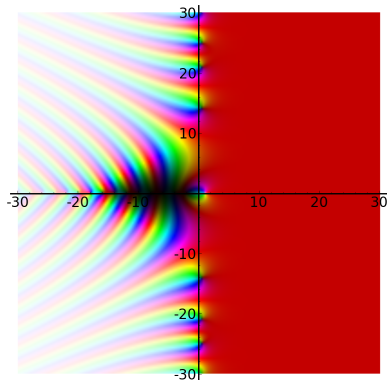
defined for all  $s \in \mathbb{C}$  except  $s = 1$ .

## $\zeta(s)$ Analytically continued to $\mathbb{C}$

So we have

$$\zeta(s) = \begin{cases} (1 - 2^{1-s})^{-1} \sum_{n=1}^{\infty} (-1)^{n+1} n^{-s} & \Re(s) > 0 \\ 2^s \pi^{s-1} \sin\left(\frac{\pi s}{2}\right) \Gamma(1-s) \zeta(1-s) & \Re(s) \leq 0 \end{cases}$$

defined for all  $s \in \mathbb{C}$  except  $s = 1$ .



# The Poles and Zeros of $\zeta(s)$

We can show  $\zeta(s)$  has:

- a single simple pole at  $s = 1$  with residue 1, and no other poles on  $\mathbb{C}$

# The Poles and Zeros of $\zeta(s)$

We can show  $\zeta(s)$  has:

- a single simple pole at  $s = 1$  with residue 1, and no other poles on  $\mathbb{C}$
- simple zeros at  $-2, -4, -6, -8 \dots$  coming from the  $\Gamma(s/2)$  part of the functional equation, called the *trivial zeros*

# The Poles and Zeros of $\zeta(s)$

We can show  $\zeta(s)$  has:

- a single simple pole at  $s = 1$  with residue 1, and no other poles on  $\mathbb{C}$
- simple zeros at  $-2, -4, -6, -8 \dots$  coming from the  $\Gamma(s/2)$  part of the functional equation, called the *trivial zeros*
- no other zeros outside the vertical strip  $0 < \Re(s) < 1$

# The Poles and Zeros of $\zeta(s)$

We can show  $\zeta(s)$  has:

- a single simple pole at  $s = 1$  with residue 1, and no other poles on  $\mathbb{C}$
- simple zeros at  $-2, -4, -6, -8 \dots$  coming from the  $\Gamma(s/2)$  part of the functional equation, called the *trivial zeros*
- no other zeros outside the vertical strip  $0 < \Re(s) < 1$
- an infinite number of zeros inside the strip  $0 < \Re(s) < 1$ , symmetric about the real axis and  $\Re(s) = \frac{1}{2}$ , called the *nontrivial zeros*



# The Poles and Zeros of $\zeta(s)$

We can show  $\zeta(s)$  has:

- a single simple pole at  $s = 1$  with residue 1, and no other poles on  $\mathbb{C}$
- simple zeros at  $-2, -4, -6, -8 \dots$  coming from the  $\Gamma(s/2)$  part of the functional equation, called the *trivial zeros*
- no other zeros outside the vertical strip  $0 < \Re(s) < 1$
- an infinite number of zeros inside the strip  $0 < \Re(s) < 1$ , symmetric about the real axis and  $\Re(s) = \frac{1}{2}$ , called the *nontrivial zeros*

## Conjecture (Riemann Hypothesis)

*All nontrivial zeros of  $\zeta$  are simple and lie on the line  $\Re(s) = \frac{1}{2}$ .*

## The Zeros of $\zeta$

The imaginary parts of the first few zeros of  $\zeta(s)$  in the upper half plane are

14.134725142...

21.022039639...

25.010857580...

30.424876126...

32.935061588...

37.586178159...

40.918719012...

43.327073281...

48.005150881...

49.773832478...

52.970321478...

56.446247697...

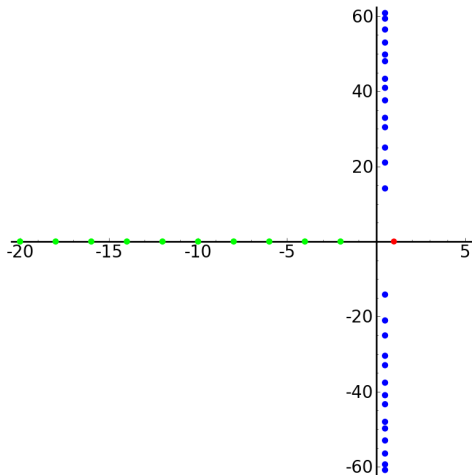
59.347044003...

60.831778525...

## The Zeros of $\zeta$

The imaginary parts of the first few zeros of  $\zeta(s)$  in the upper half plane are

14.134725142...  
21.022039639...  
25.010857580...  
30.424876126...  
32.935061588...  
37.586178159...  
40.918719012...  
43.327073281...  
48.005150881...  
49.773832478...  
52.970321478...  
56.446247697...  
59.347044003...  
60.831778525...



# The Explicit Formula for $\zeta(s)$

Consider as a function of  $x > 1$  the sum

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho}$$

where  $\rho$  runs over nontrivial zeros of  $\zeta(s)$ .

# The Explicit Formula for $\zeta(s)$

Consider as a function of  $x > 1$  the sum

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho}$$

where  $\rho$  runs over nontrivial zeros of  $\zeta(s)$ .

According to RH, nontrivial zeros come in pairs and have the form  $\rho = \frac{1}{2} \pm i\gamma$ , so in the above sum for a single zero pair we have

$$\begin{aligned} \frac{x^{\rho}}{\rho} + \frac{x^{\bar{\rho}}}{\bar{\rho}} &= \frac{x^{1/2+i\gamma}}{1/2+i\gamma} + \frac{x^{1/2-i\gamma}}{1/2-i\gamma} \\ &= \frac{\sqrt{x}}{1/4 + \gamma^2} [\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)] \end{aligned}$$

# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

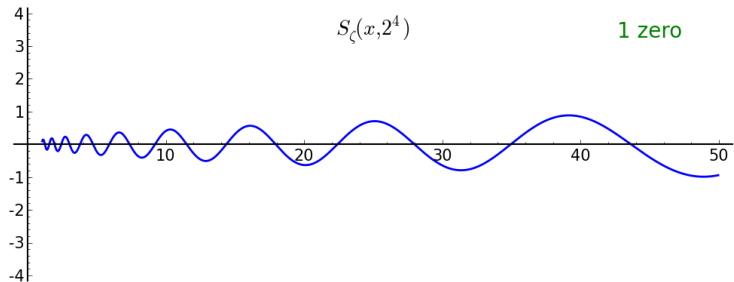
where  $\gamma$  runs over imaginary parts of nontrivial zeros.

# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros.

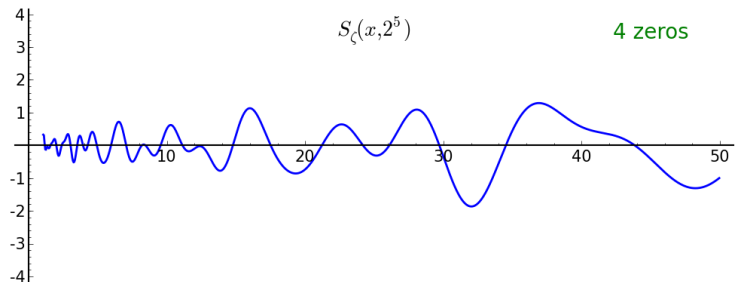


# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros.



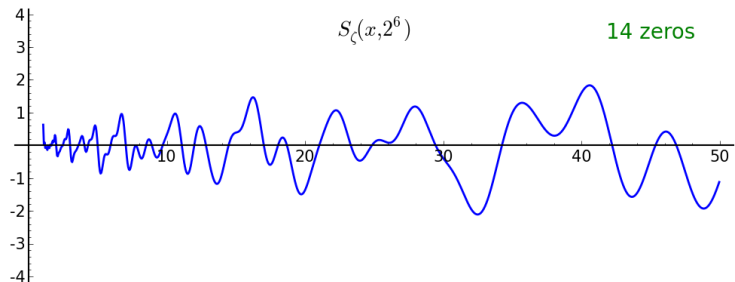


# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros.

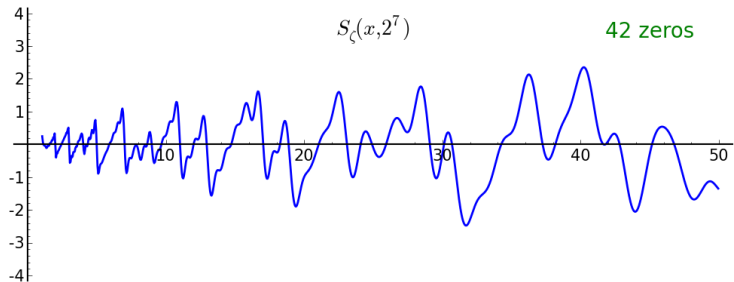


# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros.

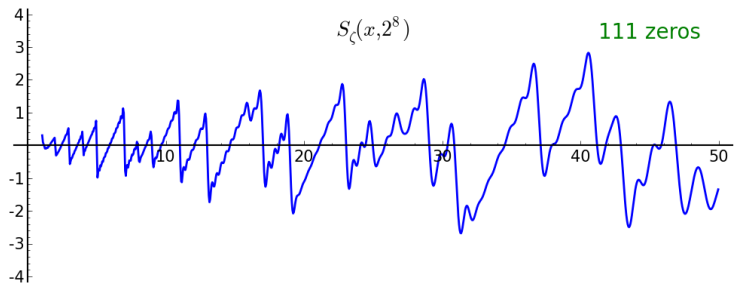


# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros.

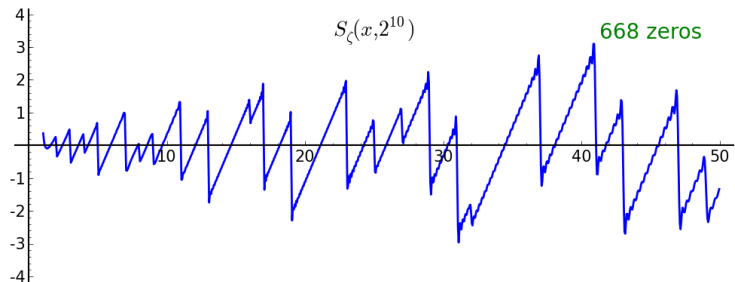


# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros.

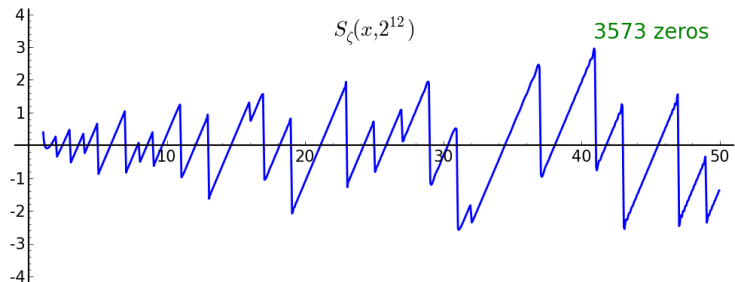


# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros.

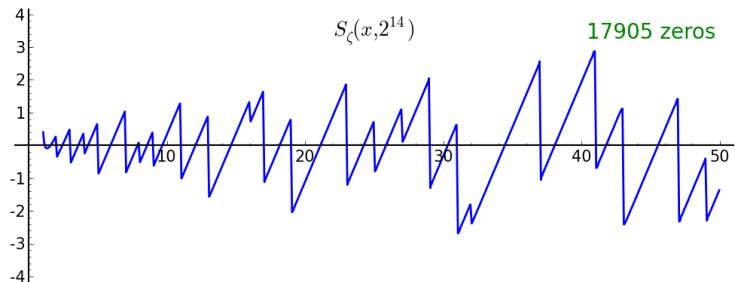


# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros.

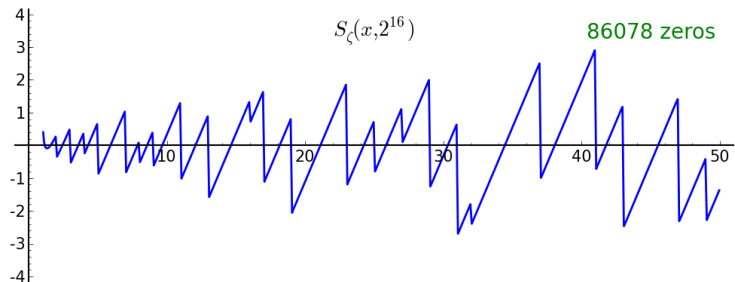


# The Explicit Formula for $\zeta(s)$

Contingent on the Riemann Hypothesis:

$$S_{\zeta}(x, T) = \sum_{|\rho| < T} \frac{x^{\rho}}{\rho} = \sqrt{x} \left( \sum_{0 < \gamma < T} \frac{\cos(\gamma \log x) + 2\gamma \sin(\gamma \log x)}{1/4 + \gamma^2} \right)$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros.



# The Explicit Formula for $\zeta(s)$

What does this sum converge to?



# The Explicit Formula for $\zeta(s)$

What does this sum converge to?

Theorem (Riemann 1858, von Mangoldt 1905)

$$\sum_{\rho} \frac{x^{\rho}}{\rho} = \lim_{T \rightarrow \infty} S_{\zeta}(x, T) = x - \frac{1}{2} \log(1 - 1/x^2) - \log(2\pi) - \psi_{\zeta}(x)$$

where  $\psi_{\zeta}(x) = \sum'_{p^e \leq x} \log p$  is the second Chebyshev function.

# The Explicit Formula for $\zeta(s)$

What does this sum converge to?

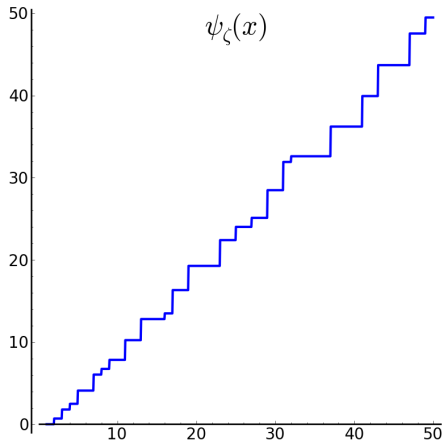
Theorem (Riemann 1858, von Mangoldt 1905)

$$\sum_{\rho} \frac{x^{\rho}}{\rho} = \lim_{T \rightarrow \infty} S_{\zeta}(x, T) = x - \frac{1}{2} \log(1 - 1/x^2) - \log(2\pi) - \psi_{\zeta}(x)$$

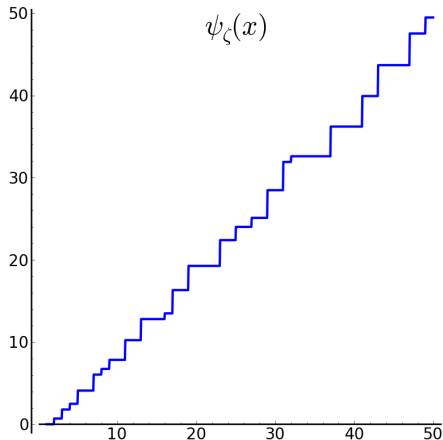
where  $\psi_{\zeta}(x) = \sum'_{p^e \leq x} \log p$  is the second Chebyshev function.

This is known as (one formulation of) *the explicit formula* for  $\zeta(s)$ .

# The Explicit Formula for $\zeta(s)$



# The Explicit Formula for $\zeta(s)$



## Equivalent Formulation of the Riemann Hypothesis

The above function  $\psi_\zeta(x) = x + O(x^{1/2+\epsilon})$  for arbitrarily small  $\epsilon > 0$ .

# L-Functions

- $\zeta(s)$  is the prototypical example of an *L-function*: a meromorphic function on  $\mathbb{C}$  that encode various arithmetic data about a particular algebraic object.

# L-Functions

- $\zeta(s)$  is the prototypical example of an *L-function*: a meromorphic function on  $\mathbb{C}$  that encode various arithmetic data about a particular algebraic object.
- For example, the explicit formula for  $\zeta(s)$  shows that it encodes the locations of the prime numbers.

# L-Functions

- $\zeta(s)$  is the prototypical example of an *L-function*: a meromorphic function on  $\mathbb{C}$  that encode various arithmetic data about a particular algebraic object.
- For example, the explicit formula for  $\zeta(s)$  shows that it encodes the locations of the prime numbers.
- Can define analogous *L-functions* attached to other number-theoretic objects:
  - ▶ Number fields
  - ▶ Modular forms
  - ▶ Elliptic curves
  - ▶ And many more

# L-Functions

- $\zeta(s)$  is the prototypical example of an *L-function*: a meromorphic function on  $\mathbb{C}$  that encode various arithmetic data about a particular algebraic object.
- For example, the explicit formula for  $\zeta(s)$  shows that it encodes the locations of the prime numbers.
- Can define analogous *L-functions* attached to other number-theoretic objects:
  - ▶ Number fields
  - ▶ Modular forms
  - ▶ Elliptic curves
  - ▶ And many more

I will show what you can do with elliptic curve *L-functions*.



# Elliptic Curves

## Definition

An elliptic curve  $E$  is a smooth projective genus 1 algebraic curve with a marked point  $\mathcal{O}$ .

# Elliptic Curves

## Definition

An elliptic curve  $E$  is a smooth projective genus 1 algebraic curve with a marked point  $\mathcal{O}$ .

## For This Talk:

$$E/\mathbb{Q}: y^2 = x^3 + Ax + B, \quad A, B \in \mathbb{Z}$$

# Elliptic Curves

## Definition

An elliptic curve  $E$  is a smooth projective genus 1 algebraic curve with a marked point  $\mathcal{O}$ .

## For This Talk:

$$E/\mathbb{Q}: y^2 = x^3 + Ax + B, \quad A, B \in \mathbb{Z}$$

## Example

$$E = 37a: y^2 = x^3 - 16x + 16$$

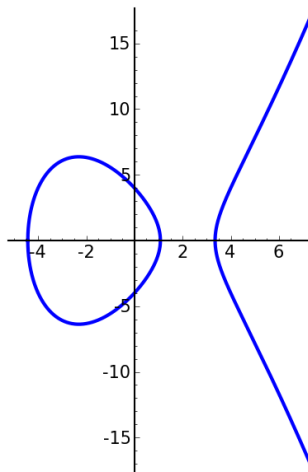


Figure: The Elliptic Curve 37a

# Elliptic Curves

## Definition

An elliptic curve  $E$  is a smooth projective genus 1 algebraic curve with a marked point  $\mathcal{O}$ .

## For This Talk:

$$E/\mathbb{Q}: y^2 = x^3 + Ax + B, \quad A, B \in \mathbb{Z}$$

## Example

$$E = 37a: y^2 = x^3 - 16x + 16$$

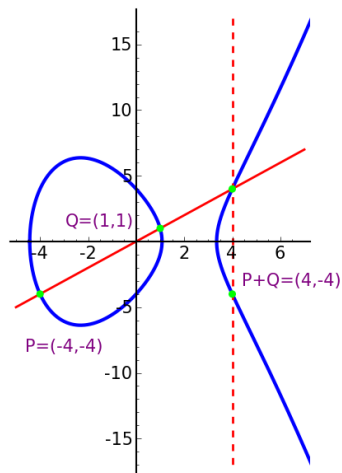


Figure: The Elliptic Curve 37a

## Theorem (Mordell 1922, Weil 1928)

$$E(\mathbb{Q}) \approx E(\mathbb{Q})_{\text{TOR}} \times \mathbb{Z}^r$$

where  $E(\mathbb{Q})_{\text{TOR}}$  is a finite abelian group, and  $r \in \mathbb{Z}_{\geq 0}$  is the algebraic rank of  $E/\mathbb{Q}$ .

## Theorem (Mordell 1922, Weil 1928)

$$E(\mathbb{Q}) \approx E(\mathbb{Q})_{\text{TOR}} \times \mathbb{Z}^r$$

where  $E(\mathbb{Q})_{\text{TOR}}$  is a finite abelian group, and  $r \in \mathbb{Z}_{\geq 0}$  is the algebraic rank of  $E/\mathbb{Q}$ .

## Example

For  $E = 37a$ , we have  $E(\mathbb{Q}) \approx \mathbb{Z}^1$ , generated by  $P = (0, 4)$ :

$n$	0	1	2	3	4	5	6
$nP$	$\mathcal{O}$	$(0, 4)$	$(4, 4)$	$(-4, -4)$	$(8, -20)$	$(1, -1)$	$(24, 116)$

$n$	7	8	9
$nP$	$(-\frac{20}{9}, \frac{172}{27})$	$(\frac{84}{25}, -\frac{52}{125})$	$(-\frac{80}{49}, -\frac{2108}{343})$

# Elliptic Curves over finite fields

## Example

$$E = 37a : y^2 = x^3 - 16x + 16$$

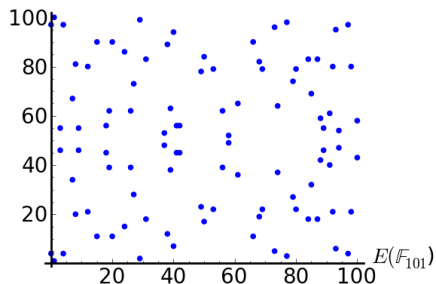
Consider its solutions  $(x, y)$   
modulo 101, e.g.  $(40, 7)$ :

# Elliptic Curves over finite fields

## Example

$$E = 37a : y^2 = x^3 - 16x + 16$$

Consider its solutions  $(x, y)$   
modulo 101, e.g.  $(40, 7)$ :





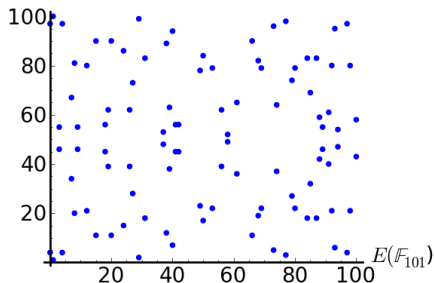
# Elliptic Curves over finite fields

## Example

$$E = 37a : y^2 = x^3 - 16x + 16$$

Consider its solutions  $(x, y)$   
modulo 101, e.g.  $(40, 7)$ :

Let  $\#E(\mathbb{F}_p)$  be the number of points on  $E$  modulo the prime  $p$ .

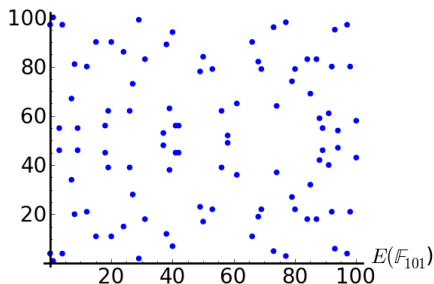


# Elliptic Curves over finite fields

## Example

$$E = 37a : y^2 = x^3 - 16x + 16$$

Consider its solutions  $(x, y)$   
modulo 101, e.g.  $(40, 7)$ :



Let  $\#E(\mathbb{F}_p)$  be the number of points on  $E$  modulo the prime  $p$ .

## Theorem (Hasse, 1936)

$$p + 1 - 2\sqrt{p} \leq \#E(\mathbb{F}_p) \leq p + 1 + 2\sqrt{p} \quad \text{for all } p.$$

# Elliptic Curves over finite fields

## Definition

For prime  $p$ , let  $a_p(E) = p + 1 - \#E(\mathbb{F}_p)$ .

# Elliptic Curves over finite fields

## Definition

For prime  $p$ , let  $a_p(E) = p + 1 - \#E(\mathbb{F}_p)$ .

So an alternate statement of Hasse's Theorem is that  $|a_p| \leq 2\sqrt{p}$  always.

# Elliptic Curves over finite fields

## Definition

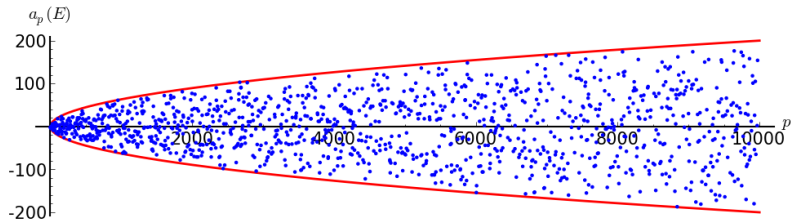
For prime  $p$ , let  $a_p(E) = p + 1 - \#E(\mathbb{F}_p)$ .

So an alternate statement of Hasse's Theorem is that  $|a_p| \leq 2\sqrt{p}$  always.

## Example

$$E = 37a$$

$p$	2	3	5	7	11	13	17	19	23	29	31	37
$a_p$	-2	-3	-2	-1	-5	-2	0	0	2	6	-4	-1



# The Conductor of a Curve

## Definition

The conductor  $N$  of an elliptic curve  $E$  is a positive integer that encapsulates primes of bad reduction for  $E$ , i.e. primes for which when we look at the set of points on  $E$  modulo  $p$ , *bad stuff\** happens.

# The Conductor of a Curve

## Definition

The conductor  $N$  of an elliptic curve  $E$  is a positive integer that encapsulates primes of bad reduction for  $E$ , i.e. primes for which when we look at the set of points on  $E$  modulo  $p$ , *bad stuff*\* happens.

## Example

The conductor of  $37a$  is  $N = 37$ , hence its name. That is, bad stuff only happens for this elliptic curve at  $p = 37$ .

# Elliptic Curve $L$ -Functions

## Definition

The  $L$ -function attached to  $E$  is

$$L_E(s) := \prod_{p|N} \frac{1}{1 - a_p p^{-s}} \prod_{p \nmid N} \frac{1}{1 - a_p p^{-s} + p^{1-2s}} = \sum_{n=1}^{\infty} a_n n^{-s}$$

for  $\Re(s) > \frac{3}{2}$ .

The  $a_n$  are defined by multiplying out the Euler product.



# Elliptic Curve $L$ -Functions

## Definition

The  $L$ -function attached to  $E$  is

$$L_E(s) := \prod_{p|N} \frac{1}{1 - a_p p^{-s}} \prod_{p \nmid N} \frac{1}{1 - a_p p^{-s} + p^{1-2s}} = \sum_{n=1}^{\infty} a_n n^{-s}$$

for  $\Re(s) > \frac{3}{2}$ .

The  $a_n$  are defined by multiplying out the Euler product.

## Definition

The *completed*  $L$ -function attached to  $E$  is

$$\Lambda_E(s) := N^{s/2} (2\pi)^{-s} \Gamma(s) L_E(s)$$

# Analytic Continuation of $L_E(s)$

Theorem (Breuille, Conrad, Diamond, Taylor, Wiles et al, 1999,2001)

$L_E(s)$  extends to an entire function on  $\mathbb{C}$ . Specifically,

$$\Lambda(s) = w\Lambda(2 - s),$$

where  $w = 1$  or  $-1$  depending on the elliptic curve.

# Analytic Continuation of $L_E(s)$

Theorem (Breuille, Conrad, Diamond, Taylor, Wiles et al, 1999,2001)

$L_E(s)$  extends to an entire function on  $\mathbb{C}$ . Specifically,

$$\Lambda(s) = w\Lambda(2 - s),$$

where  $w = 1$  or  $-1$  depending on the elliptic curve.

Notably, unlike  $\zeta(s)$ ,  $L_E(s)$  has no poles on  $\mathbb{C}$  for any given elliptic curve  $E$ .

# The Zeros of $L_E(s)$

Three flavors:

- A simple zero at  $0, -1, -2, -3, \dots$
- A zero of order  $r_{an}$  at  $s = 1$ ;  $r_{an}$  is called the *analytic rank* of  $E$
- Countably infinite zeros in the strip  $0 < \Re(s) < 2$ , symmetric about  $\Re(s) = 1$  and  $x$ -axis.

# The Zeros of $L_E(s)$

Three flavors:

- A simple zero at  $0, -1, -2, -3, \dots$
- A zero of order  $r_{an}$  at  $s = 1$ ;  $r_{an}$  is called the *analytic rank* of  $E$
- Countably infinite zeros in the strip  $0 < \Re(s) < 2$ , symmetric about  $\Re(s) = 1$  and  $x$ -axis.

## Conjecture (Generalized Riemann Hypothesis for Elliptic Curves)

*All nontrivial zeros of  $L_E(s)$  are simple and lie on the line  $\Re(s) = 1$ .*

# The Zeros of $L_E(s)$

Three flavors:

- A simple zero at  $0, -1, -2, -3, \dots$
- A zero of order  $r_{an}$  at  $s = 1$ ;  $r_{an}$  is called the *analytic rank* of  $E$
- Countably infinite zeros in the strip  $0 < \Re(s) < 2$ , symmetric about  $\Re(s) = 1$  and x-axis.

Conjecture (Generalized Riemann Hypothesis for Elliptic Curves)

All nontrivial zeros of  $L_E(s)$  are simple and lie on the line  $\Re(s) = 1$ .

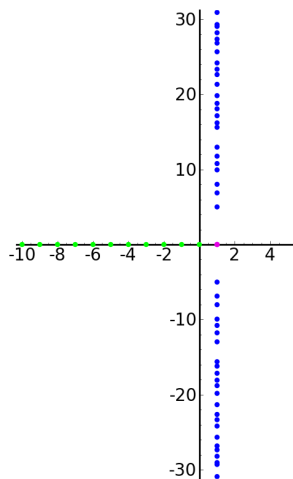


Figure: The zeros of  $L_E(s)$  for  $E = 37a$

# The BSD Conjecture

## Conjecture (Birch, Swinnerton-Dyer 1960s)

- $r_{an} = r$ , i.e. the order of vanishing of  $L_E(s)$  at  $s = 1$  equals the rank of the free part of  $E(\mathbb{Q})$

# The BSD Conjecture

## Conjecture (Birch, Swinnerton-Dyer 1960s)

- $r_{an} = r$ , i.e. the order of vanishing of  $L_E(s)$  at  $s = 1$  equals the rank of the free part of  $E(\mathbb{Q})$
- The leading coefficient of  $L_E(s)$  at  $s = 1$  is

$$\frac{\Omega_E \cdot \text{Reg}_E \cdot \#\text{III}(E/\mathbb{Q}) \cdot \prod_p c_p}{(\#E_{\text{Tor}}(\mathbb{Q}))^2}$$



# The BSD Conjecture

## Conjecture (Birch, Swinnerton-Dyer 1960s)

- $r_{an} = r$ , i.e. the order of vanishing of  $L_E(s)$  at  $s = 1$  equals the rank of the free part of  $E(\mathbb{Q})$
- The leading coefficient of  $L_E(s)$  at  $s = 1$  is

$$\frac{\Omega_E \cdot \text{Reg}_E \cdot \#\text{III}(E/\mathbb{Q}) \cdot \prod_p c_p}{(\#E_{\text{Tor}}(\mathbb{Q}))^2}$$

where

- ▶  $\Omega_E$  is the real period of (an optimal model of)  $E$ ,
- ▶  $\text{Reg}_E$  is the regulator of  $E$ ,
- ▶  $\#\text{III}(E/\mathbb{Q})$  is the order of the Shafarevich-Tate group attached to  $E/\mathbb{Q}$ ,
- ▶  $\prod_p c_p$  is the product of the Tamagawa numbers of  $E$ , and
- ▶  $\#E_{\text{Tor}}(\mathbb{Q})$  is the number of rational torsion points on  $E$ .

# Things You Can Do With EC $L$ -functions

# Things You Can Do With EC $L$ -functions

## Proposition

If  $E/\mathbb{Q}$  has conductor  $N$  and analytic rank  $r$  then

$$N > \frac{1}{5}e^{2r}$$

# Things You Can Do With EC $L$ -functions

## Proposition

If  $E/\mathbb{Q}$  has conductor  $N$  and analytic rank  $r$  then

$$N > \frac{1}{5}e^{2r}$$

Better results (S.), although nowhere close to effective yet:

$r$	$N \geq$	Smallest Known Conductor
0	3	11
1	6	37
2	16	389
3	55	5077
4	232	234446
5	1192	19047851
6	6696	5187563742

## Things You Can Do With EC $L$ -functions

Contingent on GRH and BSD we have a complete description of the Taylor series of  $L_E$  about  $s = 1$ . Specifically:

## Things You Can Do With EC $L$ -functions

Contingent on GRH and BSD we have a complete description of the Taylor series of  $L_E$  about  $s = 1$ . Specifically:

### Proposition

Let  $L_E(s+1) = s^r (a + b \cdot s + c \cdot s^2 + O(s^3))$ , where  $a$  is the leading coefficient described by BSD. Then

$$\frac{b}{a} = \eta + \log \left( \frac{2\pi}{\sqrt{N}} \right)$$

$$\frac{c}{a} = \frac{1}{2} \left[ \eta + \log \left( \frac{2\pi}{\sqrt{N}} \right) \right]^2 - \frac{\pi^2}{12} + \sum_{\gamma > 0} \gamma^{-2}$$

where  $\gamma$  runs over the imaginary parts of the nontrivial zeros of  $L_E(s)$  (excluding  $s = 1$ ), and  $\eta = 0.57721566\dots$  is the Euler-Mascheroni constant.

Recursive formulae exist for higher coefficients as well.

# The Explicit Formula for Elliptic Curves

# The Explicit Formula for Elliptic Curves

## Definition

Let



$$S_E(x, T) := \sum_{|\gamma| < T} \frac{x^{i\gamma}}{i\gamma} = \sum_{0 < \gamma < T} \frac{2 \sin(\gamma \log x)}{\gamma}$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros other than  $s = 1$



# The Explicit Formula for Elliptic Curves

## Definition

Let

- $$S_E(x, T) := \sum_{|\gamma| < T} \frac{x^{i\gamma}}{i\gamma} = \sum_{0 < \gamma < T} \frac{2 \sin(\gamma \log x)}{\gamma}$$

where  $\gamma$  runs over imaginary parts of nontrivial zeros other than  $s = 1$

- $$\psi_E(x) := \sum'_{n \leq x} c_n(E)$$

where  $c_n(E) = - \left( p^e + 1 - \#\tilde{E}(\mathbb{F}_{p^e}) \right) \cdot \frac{\log(p)}{p^e}$  for  $n = p^e$  a perfect prime power, and 0 otherwise.

# The Explicit Formula for Elliptic Curves

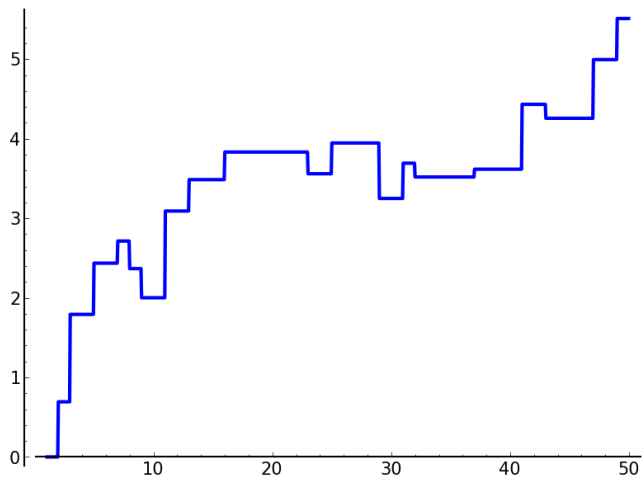


Figure:  $\psi_E(x)$  for  $E = 37a$

# The Explicit Formula for Elliptic Curves

## Theorem

For any any  $E/\mathbb{Q}$  with conductor  $N$  and for any  $x > 1$  the partial sum function  $S_E(x, T)$  converges as  $T \rightarrow \infty$ . Specifically,

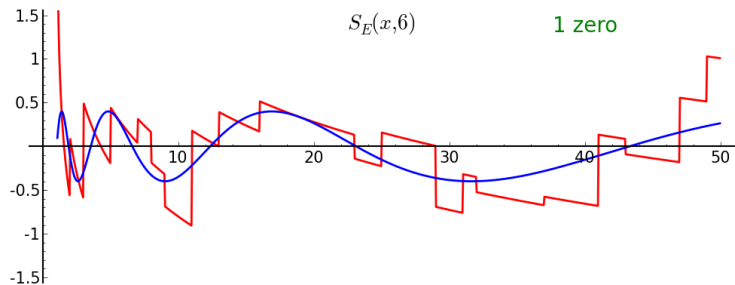
$$\begin{aligned}\lim_{T \rightarrow \infty} S_E(x, T) &= \sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} \\ &= -\eta - \log\left(\frac{2\pi}{\sqrt{N}}\right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)\end{aligned}$$

where  $\eta$  is the Euler-Mascheroni constant = 0.5772156649...

# The Explicit Formula for Elliptic Curves

## Theorem

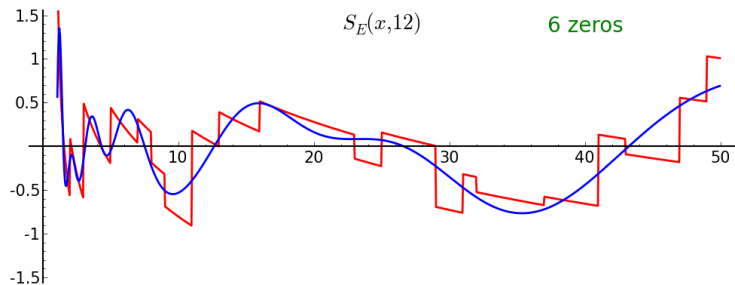
$$\sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} = -\eta - \log \left( \frac{2\pi}{\sqrt{N}} \right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)$$



# The Explicit Formula for Elliptic Curves

## Theorem

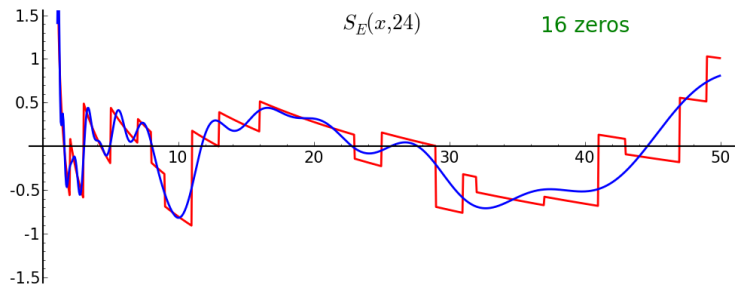
$$\sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} = -\eta - \log \left( \frac{2\pi}{\sqrt{N}} \right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)$$



# The Explicit Formula for Elliptic Curves

## Theorem

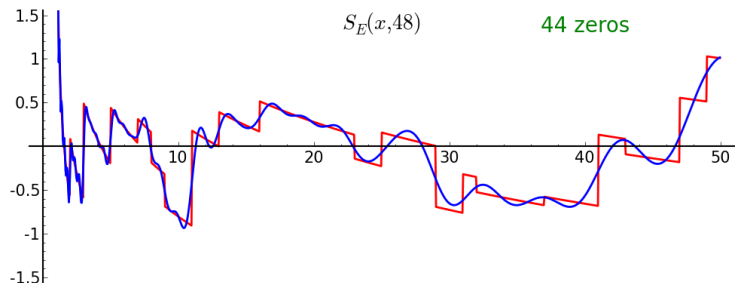
$$\sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} = -\eta - \log\left(\frac{2\pi}{\sqrt{N}}\right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)$$



# The Explicit Formula for Elliptic Curves

## Theorem

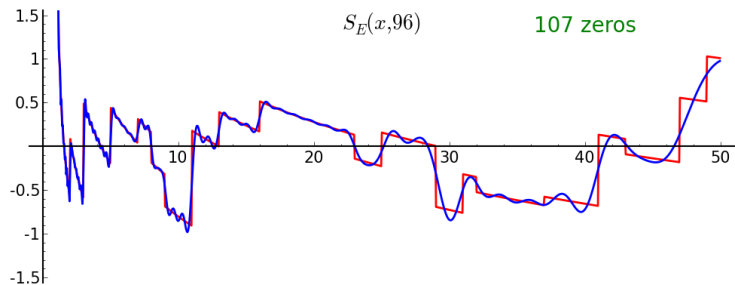
$$\sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} = -\eta - \log \left( \frac{2\pi}{\sqrt{N}} \right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)$$



# The Explicit Formula for Elliptic Curves

## Theorem

$$\sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} = -\eta - \log\left(\frac{2\pi}{\sqrt{N}}\right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)$$

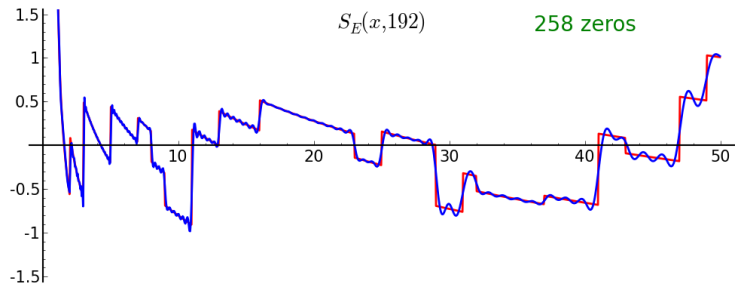




# The Explicit Formula for Elliptic Curves

## Theorem

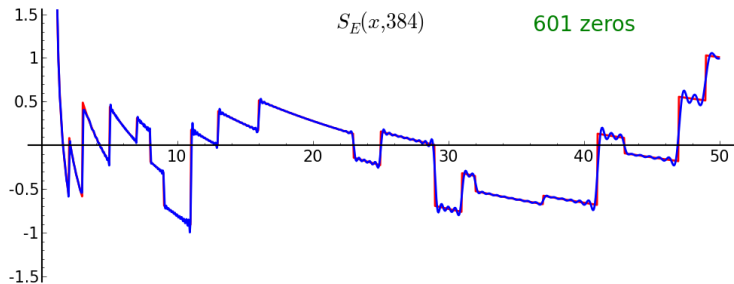
$$\sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} = -\eta - \log \left( \frac{2\pi}{\sqrt{N}} \right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)$$



# The Explicit Formula for Elliptic Curves

## Theorem

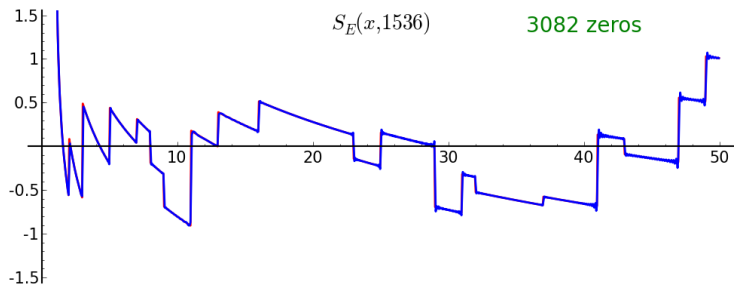
$$\sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} = -\eta - \log \left( \frac{2\pi}{\sqrt{N}} \right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)$$



# The Explicit Formula for Elliptic Curves

## Theorem

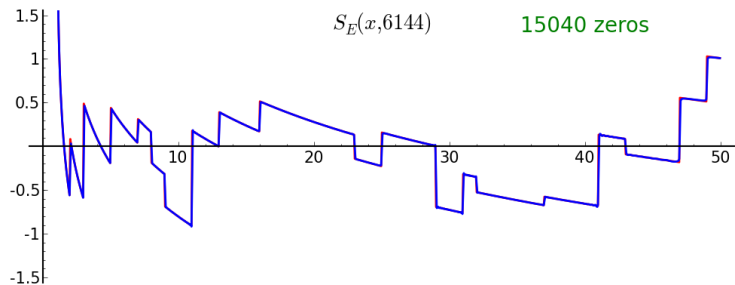
$$\sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} = -\eta - \log \left( \frac{2\pi}{\sqrt{N}} \right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)$$



# The Explicit Formula for Elliptic Curves

## Theorem

$$\sum_{\gamma > 0} \frac{2 \sin(\gamma \log x)}{\gamma} = -\eta - \log\left(\frac{2\pi}{\sqrt{N}}\right) - r_{an} \log x - \log(1 - x^{-1}) + \psi_E(x)$$



## Some Neat Corollaries

Loosely,  $\{\text{nontrivial zeros of } L_E\} \sim \{a_p(E) : p \text{ prime}\}$  in an information theoretic sense. For example,

## Some Neat Corollaries

Loosely, {nontrivial zeros of  $L_E$ }  $\sim$   $\{a_p(E) : p \text{ prime}\}$  in an information theoretic sense. For example,

Corollary (S.)

$$a_p = \lim_{T \rightarrow \infty} \frac{-2\pi p}{\log p} \cdot \frac{1}{T} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$$

## Some Neat Corollaries

Loosely,  $\{\text{nontrivial zeros of } L_E\} \sim \{a_p(E) : p \text{ prime}\}$  in an information theoretic sense. For example,

### Corollary (S.)

$$a_p = \lim_{T \rightarrow \infty} \frac{-2\pi p}{\log p} \cdot \frac{1}{T} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$$

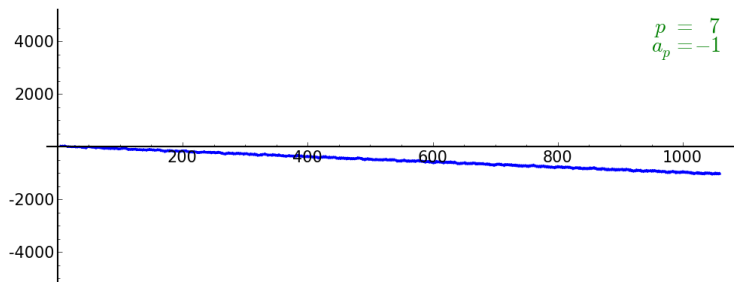


Figure:  $\frac{-2\pi p}{\log p} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$  as a function of  $T$  for various small  $p$

## Some Neat Corollaries

Loosely,  $\{\text{nontrivial zeros of } L_E\} \sim \{a_p(E) : p \text{ prime}\}$  in an information theoretic sense. For example,

### Corollary (S.)

$$a_p = \lim_{T \rightarrow \infty} \frac{-2\pi p}{\log p} \cdot \frac{1}{T} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$$

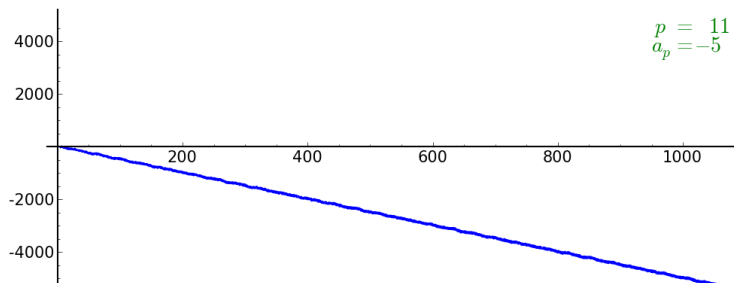


Figure:  $\frac{-2\pi p}{\log p} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$  as a function of  $T$  for various small  $p$



## Some Neat Corollaries

Loosely,  $\{\text{nontrivial zeros of } L_E\} \sim \{a_p(E) : p \text{ prime}\}$  in an information theoretic sense. For example,

### Corollary (S.)

$$a_p = \lim_{T \rightarrow \infty} \frac{-2\pi p}{\log p} \cdot \frac{1}{T} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$$

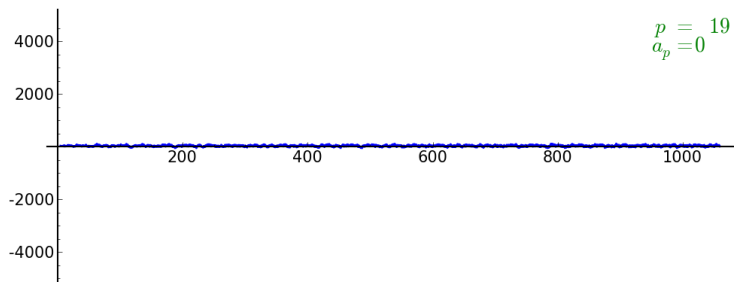


Figure:  $\frac{-2\pi p}{\log p} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$  as a function of  $T$  for various small  $p$

## Some Neat Corollaries

Loosely,  $\{\text{nontrivial zeros of } L_E\} \sim \{a_p(E) : p \text{ prime}\}$  in an information theoretic sense. For example,

### Corollary (S.)

$$a_p = \lim_{T \rightarrow \infty} \frac{-2\pi p}{\log p} \cdot \frac{1}{T} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$$

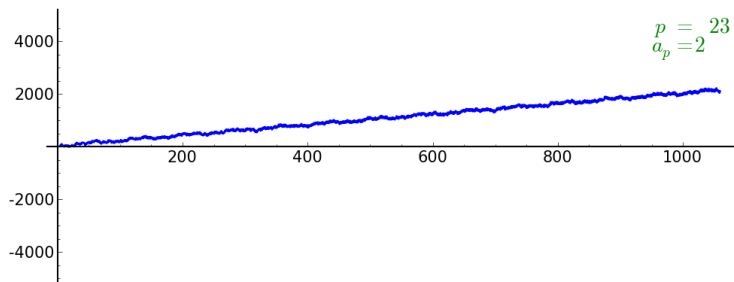


Figure:  $\frac{-2\pi p}{\log p} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$  as a function of  $T$  for various small  $p$

## Some Neat Corollaries

Loosely,  $\{\text{nontrivial zeros of } L_E\} \sim \{a_p(E) : p \text{ prime}\}$  in an information theoretic sense. For example,

### Corollary (S.)

$$a_p = \lim_{T \rightarrow \infty} \frac{-2\pi p}{\log p} \cdot \frac{1}{T} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$$

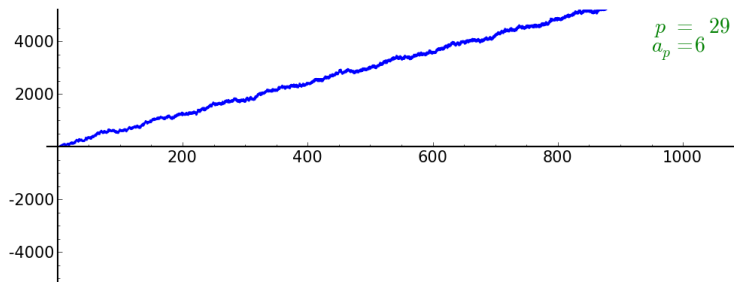


Figure:  $\frac{-2\pi p}{\log p} \sum_{0 < \gamma < T} \frac{\cos(\gamma \log p)}{\gamma}$  as a function of  $T$  for various small  $p$

## Some Neat Corollaries

### Conjecture - Alternate BSD Part 1 (Sarnak, Mazur)

For any given  $E/\mathbb{Q}$ ,

$$\lim_{x \rightarrow \infty} \frac{1}{\log(x)} \sum_{p \leq x} \frac{-a_p \log(p)}{p} = r$$

## Some Neat Corollaries

### Conjecture - Alternate BSD Part 1 (Sarnak, Mazur)

For any given  $E/\mathbb{Q}$ ,

$$\lim_{x \rightarrow \infty} \frac{1}{\log(x)} \sum_{p \leq x} \frac{-a_p \log(p)}{p} = r$$

### Where does this comes from?

Take explicit formula:

$$\sum_{\gamma} \frac{\sin(\gamma \log x)}{\gamma} = -\eta - \log\left(\frac{2\pi}{\sqrt{N}}\right) - r \log x - \log(1 - 1/x) + \psi_E(x)$$

Divide both sides by  $\log(x)$  and take limits\*.

# Ngiyabonga Kakhulu

Ngiyabonga Kakhulu

Hamba Kahle!