

# Second cohomology for finite groups of Lie type

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## References:

University of Georgia VIGRE Algebra Group, *Second cohomology for finite groups of Lie type*, J. Algebra **360** (2012), 21–52.

\_\_\_\_\_, *First cohomology for finite groups of Lie type: Simple modules with small dominant weights*, to appear in Trans. Amer. Math. Soc.

Ground rules:

- $k$  - algebraically closed field of characteristic  $p > 0$
- $G$  - simple, simply-connected algebraic group scheme over  $k$
- $T$  - maximal torus of  $G$
- $B$  - Borel subgroup of  $G$  containing  $T$
- $U$  - unipotent radical of  $B$
- $F : G \rightarrow G$  - standard Frobenius morphism on  $G$
- $G(\mathbb{F}_q) = G^{F^r}$  - finite subgroup of  $\mathbb{F}_q$ -rational points in  $G$ ,  $q = p^r$
- $G_r = \ker(F^r)$  - scheme-theoretic  $r$ -th Frobenius kernel of  $G$

## Example: The Special Linear Group

- $G = SL_n(k)$
- $T$  - diagonal matrices in  $G$
- $B$  - lower triangular matrices in  $G$
- $U$  - lower triangular unipotent matrices in  $G$
- $F : (a_{ij}) \mapsto (a_{ij}^p)$
- $G(\mathbb{F}_q) = SL_n(\mathbb{F}_q)$
- For each commutative  $k$ -algebra  $A$ ,

$$(SL_n)_r(A) = \left\{ (a_{ij}) \in SL_n(A) : (a_{ij}^{p^r}) = \text{the identity matrix} \right\}.$$

$(SL_n)_r(A)$  is a nontrivial group if and only if  $A$  contains nilpotents.

## The Goal

Find  $H^1(G(\mathbb{F}_q), V)$  and  $H^2(G(\mathbb{F}_q), V)$  for  $V$  an irreducible  $G(\mathbb{F}_q)$ -module.

Subgoals (i.e., what people have actually managed to do):

- Compute for  $V$  in various classes of irreducible  $G(\mathbb{F}_q)$ -modules
- Determine sufficient conditions for the cohomology groups to vanish
- Compute under restrictions on  $p$  and  $q$  (specific small values, or  $\gg 0$ )

## Refined Goal

Relate  $H^1(G(\mathbb{F}_q), V)$  and  $H^2(G(\mathbb{F}_q), V)$  to rational cohomology for  $G$ .

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Why this is reasonable and desirable:

- The irreducible  $kG(\mathbb{F}_q)$ -modules all lift to rational  $G$ -modules.
- More machinery available for dealing with rational  $G$ -cohomology.
- Rational  $G$ -modules carry more information: Every rational  $G$ -module decomposes into simultaneous eigenspaces (weight spaces) for  $T$ .

Example: Adjoint representation of  $SL_3(\mathbb{F}_4)$  on  $\mathfrak{sl}_3$

Adjoint representation  $\mathfrak{sl}_3$  - traceless  $3 \times 3$  matrices with coefficients in  $k$ .

Basis of eigenvectors for the conjugation action of  $T$ :

$$\{E_{ij}, E_{ii} - E_{i+1,i+1} : 1 \leq i, j \leq n, i \neq j\}$$

If  $n = 3$ , then  $T(\mathbb{F}_4)$  can't distinguish the eigenvalues of  $E_{12}$  and  $E_{23}$ . In fact, all root spaces look the same to  $T(\mathbb{F}_4)$  up to twisting by  $\text{Gal}(\mathbb{F}_4)$ .



Important and popular facts:

$$\begin{aligned} H^i(G(\mathbb{F}_q), V) &\hookrightarrow H^i(B(\mathbb{F}_q), V) = H^i(U(\mathbb{F}_q), V)^{T(\mathbb{F}_q)} \\ H^i(G, V) &\cong H^i(B, V) = H^i(U, V)^T \\ &H^i(B_r, V) = H^i(U_r, V)^{T_r} \end{aligned}$$

Cline, Parshall, Scott (1975, 1977), Jones (1975)

Computed, for all  $p$  and  $q$ , the dimension of  $H^1(G(\mathbb{F}_q), L(\lambda))$  for  $\lambda$  a nonzero minimal dominant weight, i.e., a minuscule weight or a maximal short root.

- $L(\lambda)$  is the head of the Weyl module  $V(\lambda)$ .
- Lower bound:  $\dim \operatorname{rad}_G V(\lambda) \leq \dim H^1(G(\mathbb{F}_q), L(\lambda))$
- Upper bound in terms of spaces of cocycles for root subgroups:

$$\sum_{\alpha \in \Delta} \dim Z^1(U_\alpha(\mathbb{F}_q), L(\lambda))^{T(\mathbb{F}_q)} - (\dim L(\lambda)^{T(\mathbb{F}_q)} - \dim L(\lambda)^{B(\mathbb{F}_q)})$$

For  $\lambda$  a nonzero minimal dominant weight,  $\dim H^1(G(\mathbb{F}_q), L(\lambda)) \leq 1$ , except for type  $D_{2n}$  with  $p = 2$ , where the dimension is sometimes 2.

### Avrunin (1978)

Suppose for all weights  $\mu$  of  $T(\mathbb{F}_q)$  in  $V$  and for all  $\alpha, \beta \in \Phi$  that  $\alpha \not\equiv \mu$  and  $(\alpha, \beta) \not\equiv \mu \pmod{\text{Gal}(\mathbb{F}_q)}$ . Then  $H^2(G(\mathbb{F}_q), V) = 0$ .

### Proof

Look at a central series for  $U(\mathbb{F}_q)$  where the factors are products of root subgroups to analyze the weights of  $T(\mathbb{F}_q)$  in  $H^2(U(\mathbb{F}_q), V)$ . Use this to deduce that  $H^2(U(\mathbb{F}_q), V)^{T(\mathbb{F}_q)} = 0$ , and hence  $H^2(G(\mathbb{F}_q), V) = 0$ .  $\square$

### Corollary (Avrunin)

Suppose  $q > 4$ . Let  $\lambda \in X(T)_+$  be a nonzero minimal dominant weight. Then  $H^2(G(\mathbb{F}_q), L(\lambda)) = 0$ , except maybe type  $A_2$ ,  $q = 5$ ,  $\lambda \in \{\omega_1, \omega_2\}$ .

Cline, Parshall, Scott, van der Kallen (1977)

Let  $V$  be a finite-dimensional rational  $G$ -module, and let  $i \in \mathbb{N}$ . Then for all sufficiently large  $e$  and  $q$ , the restriction map is an isomorphism

$$H^i(G, V^{(e)}) \xrightarrow{\sim} H^i(G(\mathbb{F}_q), V^{(e)}).$$

$$\begin{array}{ccc} H^i(G, V) & \xrightarrow{\sim} & H^i(B, V) \\ \downarrow & & \downarrow \\ H^i(G(\mathbb{F}_q), V) & \hookrightarrow & H^i(B(\mathbb{F}_q), V). \end{array}$$

So for  $H^1$  and  $H^2$ , we can get answers for  $G(\mathbb{F}_q)$  in terms of  $G$ -cohomology if we take  $q$  large, and if we sometimes also replace  $V$  by  $V^{(1)}$  or  $V^{(2)}$ .

Consider  $\text{ind}_G^G(\mathbb{F}_q)(-)$ . There exists a short exact sequence

$$0 \rightarrow k \rightarrow \text{ind}_G^G(\mathbb{F}_q)(k) \rightarrow N \rightarrow 0.$$

Let  $M$  be a rational  $G$ -module. Obtain the new short exact sequence

$$0 \rightarrow M \rightarrow \text{ind}_G^G(\mathbb{F}_q)(M) \rightarrow M \otimes N \rightarrow 0.$$

Now using  $\text{Ext}_G^n(k, \text{ind}_G^G(\mathbb{F}_q)(M)) \cong \text{Ext}_G^n(k, M)$ , we get:

### Long exact sequence for restriction

$$\begin{array}{ccccccc}
 0 & \rightarrow & \text{Hom}_G(k, M) & \xrightarrow{\text{res}} & \text{Hom}_{G(\mathbb{F}_q)}(k, M) & \rightarrow & \text{Hom}_G(k, M \otimes N) \\
 & & \rightarrow & & \text{Ext}_{G(\mathbb{F}_q)}^1(k, M) & \rightarrow & \text{Ext}_G^1(k, M \otimes N) \\
 & & \rightarrow & & \text{Ext}_{G(\mathbb{F}_q)}^2(k, M) & \rightarrow & \text{Ext}_G^2(k, M \otimes N) \\
 & & \rightarrow & & \dots & & 
 \end{array}$$

Bendel, Nakano, Pillen (2010)

$\text{ind}_{G(\mathbb{F}_q)}^G(k)$  admits a filtration by  $G$ -submodules with sections of the form

$$H^0(\mu) \otimes H^0(\mu^*)^{(r)} \quad \mu \in X(T)_+.$$

Corollary:  $N = \text{coker}(k \rightarrow \text{ind}_{G(\mathbb{F}_q)}^G(k))$  admits such a filtration with  $\mu \neq 0$ .

Then  $\text{Ext}_G^i(k, L(\lambda) \otimes N) = 0$  if it is zero for each section, i.e., if for  $\mu \neq 0$ ,

$$\text{Ext}_G^i(V(\mu)^{(r)}, L(\lambda) \otimes H^0(\mu)) = 0.$$

## 30,000 ft (9,144 m) view of our strategy

$$\begin{array}{c}
 H^i(G(\mathbb{F}_q), L(\lambda)) \\
 \Downarrow \text{Induction} \\
 H^i(G, \text{ind}_{G(\mathbb{F}_q)}^G L(\lambda)) \\
 \Uparrow \text{Filtrations} \\
 \text{Ext}_{G_r}^i(V(\mu)^{(r)}, L(\lambda) \otimes H^0(\mu)) \\
 \Uparrow \text{Spectral Sequences} \\
 \text{Ext}_{G/G_r}^i(V(\mu)^{(r)}, \text{Ext}_{G_r}^j(k, L(\lambda) \otimes H^0(\mu))) \\
 \Uparrow \text{Spectral Sequences} \\
 R^i \text{ind}_{B/B_r}^{G/G_r} \text{Ext}_{B_r}^j(k, L(\lambda) \otimes \mu) \\
 \Uparrow \text{Weight combinatorics} \\
 \text{Ext}_{U_r}^j(k, L(\lambda))
 \end{array}$$

## Isomorphism theorem for first cohomology

Let  $\lambda \in X_r(T)$ . Suppose  $\text{Ext}_{U_r}^1(k, L(\lambda))$  is semisimple as a  $B/U_r$ -module, and that  $\text{Ext}_{U_r}^1(k, L(\lambda))^{T(\mathbb{F}_q)} = \text{Ext}_{U_r}^1(k, L(\lambda))^T$ . Then

$$H^1(G, L(\lambda)) \cong H^1(G(\mathbb{F}_q), L(\lambda)).$$



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### Isomorphism theorem for second cohomology

Let  $\lambda \in X_r(T)$ . Suppose  $\text{Ext}_{U_r}^1(k, L(\lambda))$  is semisimple as a  $B/U_r$ -module, that  $\text{Ext}_{U_r}^i(k, L(\lambda))^{T(\mathbb{F}_q)} = \text{Ext}_{U_r}^i(k, L(\lambda))^T$  for  $i \in \{1, 2\}$ , and that

$$p^r > \max \{ -(\nu, \gamma^\vee) : \gamma \in \Delta, \text{Ext}_{U_r}^1(k, L(\lambda))_\nu \neq 0 \}.$$

Then  $H^2(G, L(\lambda)) \cong H^2(G(\mathbb{F}_q), L(\lambda))$ .

**Theorem 3.2.4.** *Suppose  $\lambda \in X(T)_+$  is a dominant root or is less than or equal to a fundamental weight. Assume that  $p > 5$  if  $\Phi$  is of type  $E_8$  or  $G_2$ , and  $p > 3$  otherwise. Then as a  $B/U_r$ -module,  $\text{Ext}_{U_r}^1(L(\lambda), k) = \text{soc}_{B/U_r} \text{Ext}_{U_r}^1(L(\lambda), k)$ , that is,*

$$\text{Ext}_{U_r}^1(L(\lambda), k) \cong \bigoplus_{\alpha \in \Delta} -s_\alpha \cdot \lambda \oplus \bigoplus_{\substack{\alpha \in \Delta \\ 0 < n < r}} -(\lambda - p^n \alpha) \oplus \bigoplus_{\substack{\sigma \in X(T)_+ \\ \sigma < \lambda}} (-\sigma)^{\oplus m_\sigma}$$

where  $m_\sigma = \dim \text{Ext}_G^1(L(\lambda), H^0(\sigma))$ .

- Determine the socle using Andersen's results on  $\text{Ext}_B^1(L(\lambda), \mu)$ .
- Get an injection  $\text{Ext}_{U_r}^1(L(\lambda), k) \hookrightarrow Q$  into the injective hull of the socle. Then show that  $\text{soc}_{B/U_r} \text{Ext}_{U_r}^1(L(\lambda), k) = \text{Ext}_{U_r}^1(L(\lambda), k)$  by showing that no weight from the second socle layer of  $Q$  can be a weight of  $\text{Ext}_{U_r}^1(L(\lambda), k)$ .

## First Cohomology Main Theorem

Let  $\lambda \in X(T)_+$  be a fundamental dominant weight. Assume  $q > 3$  and

$p > 2$  if  $\Phi$  has type  $A_n, D_n$ ;

$p > 3$  if  $\Phi$  has type  $B_n, C_n, E_6, E_7, F_4, G_2$ ;

$p > 5$  if  $\Phi$  has type  $E_8$ .

Then  $\dim H^1(G(\mathbb{F}_q), L(\lambda)) = \dim H^1(G, L(\lambda)) \leq 1$ .

The spaces are nonzero (and one-dimensional) in the following cases:

- $\Phi$  has type  $E_7$ ,  $p = 7$ , and  $\lambda = \omega_6$ ; and
- $\Phi$  has type  $C_n$ ,  $n \geq 3$ , and  $\lambda = \omega_j$  with  $\frac{j}{2}$  a nonzero term in the  $p$ -adic expansion of  $n + 1$ , but not the last term in the expansion.

## Second Cohomology Main Theorem A

Suppose  $p > 3$  and  $q > 5$ . Let  $\lambda \in X(T)_+$  be less than or equal to a fundamental dominant weight. Assume also that  $\lambda$  is not a dominant root. Then  $H^2(G, L(\lambda)) \cong H^2(G(\mathbb{F}_q), L(\lambda))$ .

## Corollary

Suppose  $p, q, \lambda$  are as above. Then  $H^2(G(\mathbb{F}_q), L(\lambda)) = 0$  except possibly in a small number of explicit cases in exceptional types, and except possibly in type  $C_n$  when  $\lambda = \omega_j$  with  $j$  even and  $p \leq n$ .

## Second Cohomology Main Theorem B

Let  $p > 3$  and  $q > 5$ . Let  $\lambda = \tilde{\alpha}$  be the highest root. Assume  $p \nmid n + 1$  in type  $A_n$ , and  $p \nmid n - 1$  in type  $B_n$ . Then  $L(\lambda) = H^0(\lambda) = \mathfrak{g}$ , and

$$H^2(G(\mathbb{F}_q), \mathfrak{g}) = k.$$

Also have  $H^2(SL_3(\mathbb{F}_5), L(\omega_1)) = H^2(SL_3(\mathbb{F}_5), L(\omega_2)) = k$ .

Different strategy in these cases for analyzing the long exact sequence:

$$\begin{aligned} \rightarrow \operatorname{Ext}_G^1(k, L(\lambda)) &\xrightarrow{\operatorname{res}} \operatorname{Ext}_{G(\mathbb{F}_q)}^1(k, L(\lambda)) \rightarrow \operatorname{Ext}_G^1(k, L(\lambda) \otimes N) \\ \rightarrow \operatorname{Ext}_G^2(k, L(\lambda)) &\xrightarrow{\operatorname{res}} \operatorname{Ext}_{G(\mathbb{F}_q)}^2(k, L(\lambda)) \rightarrow \operatorname{Ext}_G^2(k, L(\lambda) \otimes N) \\ \rightarrow \operatorname{Ext}_G^3(k, L(\lambda)) &\rightarrow \dots \end{aligned}$$

Our original commutative diagram:

$$\begin{array}{ccc} H^1(G, L(\lambda)) & \xrightarrow{\sim} & H^1(B, L(\lambda)) \\ \downarrow & & \downarrow \\ H^1(G(\mathbb{F}_q), L(\lambda)) & \hookrightarrow & H^1(B(\mathbb{F}_q), L(\lambda)). \end{array}$$

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New diagram:

$$\begin{array}{ccc} H^1(G, L(\lambda)) & \xrightarrow{\sim} & H^1(B, L(\lambda)) \\ \downarrow & & \downarrow \\ H^1(G(\mathbb{F}_q), L(\lambda)) & \hookrightarrow & H^1(U(\mathbb{F}_q), L(\lambda))^{T(\mathbb{F}_q)} \quad H^1(U_1, L(\lambda))^{T(\mathbb{F}_q)}. \end{array}$$

## Lemma

Suppose  $p > 2$  and  $\lambda \in X_1(T)$ . Then  $H^1(B, L(\lambda)) \hookrightarrow H^1(U_1, L(\lambda))^{T(\mathbb{F}_q)}$ .

## Proof

LHS spectral sequence for  $B/B_1$  combined with  $\text{Ext}_B^1(k, L(\lambda))$ . □

$$\begin{array}{ccc}
 H^1(G, L(\lambda)) & \xrightarrow{\sim} & H^1(B, L(\lambda)) \\
 \downarrow & & \downarrow \\
 H^1(G(\mathbb{F}_q), L(\lambda)) \hookrightarrow H^1(U(\mathbb{F}_q), L(\lambda))^{T(\mathbb{F}_q)} & & H^1(U_1, L(\lambda))^{T(\mathbb{F}_q)}.
 \end{array}$$



Recall:

- $U(\mathbb{F}_q)$  is filtered by its lower central series.
- $kU(\mathbb{F}_q)$  is filtered by the powers of its augmentation ideal.

### Theorem (Lazard)

$\text{gr } U(\mathbb{F}_q)$  is naturally a  $p$ -restricted Lie algebra over  $\mathbb{F}_p$ .

### Theorem (Quillen)

There exists a natural isomorphism  $\text{gr } kU(\mathbb{F}_q) \cong u(\text{gr } U(\mathbb{F}_q)) \otimes_{\mathbb{F}_p} k$ .

Lin, Nakano (1999), Friedlander (2010)

There exists a natural isomorphism  $\text{gr } kU(\mathbb{F}_q) \cong u(u^{\oplus r})$ .

If  $M$  is a rational  $B$ -module, then there exists a (weight) filtration on  $M$  such that  $\text{gr } M$  is naturally a  $u(u^{\oplus r})$ -module. The restriction of  $\text{gr } M$  to the first (or any) factor  $u \subset u^{\oplus r}$  identifies with  $M|_u$  (equivalently, with  $M|_{U_1}$ ).

Consequence: There exists a May spectral sequence

$$E_1^{i,j} = H^{i+j}(u(u^{\oplus r}), \text{gr } M)_{(i)} \Rightarrow H^{i+j}(U(\mathbb{F}_q), M).$$

Upshot: There exist vector space maps

$$H^1(U(\mathbb{F}_q), M) \longrightarrow H^1(u(u^{\oplus r}), \text{gr } M)^{T(\mathbb{F}_q)} \xrightarrow{\text{res}} H^1(U_1, M)^{T(\mathbb{F}_q)}.$$

Apply results of Parshall and Scott on filtered algebras, and spectral sequence and weight arguments, to conclude that the new diagram commutes and that the bottom row consists of injections:

$$\begin{array}{ccc}
 H^1(G, L(\lambda)) & \xrightarrow{\sim} & H^1(U, L(\lambda))^T \\
 \downarrow & & \downarrow \\
 H^1(G(\mathbb{F}_q), L(\lambda)) & \hookrightarrow & H^1(U(\mathbb{F}_q), L(\lambda))^{T(\mathbb{F}_q)} \hookrightarrow H^1(U_1, L(\lambda))^{T(\mathbb{F}_q)}
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 \end{array}$$

### Theorem

Suppose  $p > 2$ ,  $q > 3$ , and  $\lambda \in X_1(T)$ . Then

$$\dim H^1(U_1, L(\lambda))^{T(\mathbb{F}_q)} = \dim H^1(U_1, L(\lambda))^T = \dim H^1(G, L(\lambda)).$$

Hence,  $H^1(G, L(\lambda)) \cong H^1(G(\mathbb{F}_q), L(\lambda))$ .

## Open Question about cohomology for $Sp_{2n}$

For  $p \leq n$ , what is  $H^2(G, L(\omega_j))$ , and hence  $H^2(G(\mathbb{F}_q), L(\omega_j))$ , for  $j$  even?

Open Question about cohomology for  $Sp_{2n}$ 

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Values of  $n$  and  $j$  for which  $H^2(Sp_{2n}, L(\omega_j))$  is 1-dimensional,  $p = 3$ .

$n$	$j$	$n$	$j$	$n$	$j$	$n$	$j$
6	6	15	6, 8	24	6, 8, 18	33	6, 8, 18
7	6	16	6, 10	25	6, 10, 18	34	6, 10, 18
8		17		26		35	
9	6	18	6, 14	27	6, 14	36	6, 14
10	6	19	6, 16	28	6, 16	37	6, 16
11		20	18	29	18	38	18
12	6	21	6, 18	30	6, 18	39	6, 18, 20
13	6	22	6, 18	31	6, 18	40	6, 18, 22
14		23	18	32	18		

Values of  $n$  and  $j$  for which  $H^2(Sp_{2n}, L(\omega_j))$  is 1-dimensional,  $p = 5$ .

$n$	$j$	$n$	$j$	$n$	$j$	$n$	$j$	$n$	$j$
10	10	20	10	30	10	40	10, 22	50	10, 42
11	10	21	10	31	10	41	10, 24	51	10, 44
12	10	22	10	32	10	42	10, 26	52	10, 46
13	10	23	10	33	10	43	10, 28	53	10, 48
14		24		34		44		54	50
15	10	25	10	35	10, 12	45	10, 32		
16	10	26	10	36	10, 14	46	10, 34		
17	10	27	10	37	10, 16	47	10, 36		
18	10	28	10	38	10, 18	48	10, 38		
19		29		39		49			

- Are these cohomology groups always at most one-dimensional?
- Can the non-vanishing be described  $p$ -adically in terms of  $n$  and  $j$ ?

