

[This document was originally written by Jerry Folland, and then modified by John Palmieri.]

Suppose that  $f(x)$  has  $n+1$  continuous derivatives, and let  $P_n(x)$  be the  $n$ th Taylor polynomial of  $f$  about  $a=0$ . The estimate for the remainder  $R_{n+1}(x) = f(x) - P_n(x)$  on p. 605 of Salas-Hille-Etgen (12.6.3) can be restated as follows:

If  $|f^{(n+1)}(x)| \leq C$  for all  $x$  in some interval  $J$  containing 0, then  $|R_n(x)| \leq \frac{C|x|^{n+1}}{(n+1)!}$  for all  $x \in J$ .

**Definition 1** (“Big O” notation). If  $g(x)$  is a function defined near  $x=0$ , and if there is a constant  $C$  such that  $|g(x)| \leq C|x|^k$  for  $x$  near 0, then we say that  $g(x)$  is  $O(x^k)$  (as  $x \rightarrow 0$ ).

With this notation, we have  $R_n(x) = O(x^{n+1})$ , or

$$f(x) = P_n(x) + O(x^{n+1}) \quad \text{as } x \rightarrow 0. \quad (2)$$

Moreover,  $P_n(x)$  is the only polynomial of degree at most  $n$  with this property.

**Proposition 3.** Suppose that  $f(x)$  has  $n+1$  continuous derivatives, and suppose that  $Q_n(x)$  is a polynomial of degree at most  $n$  such that  $f(x) = Q_n(x) + O(x^{n+1})$  as  $x \rightarrow 0$ . Then  $Q_n(x) = P_n(x)$ .

*Proof.* Subtract the equation in the statement from  $f(x) = P_n(x) + O(x^{n+1})$  to get  $P_n(x) - Q_n(x) = O(x^{n+1})$ . Let  $P_n(x) = \sum_{k=0}^n a_k x^k$  and  $Q_n(x) = \sum_{k=0}^n b_k x^k$ ; then we have

$$(a_0 - b_0) + (a_1 - b_1)x + \cdots + (a_n - b_n)x^n = O(x^{n+1}). \quad (4)$$

Plugging in  $x=0$  gives  $a_0 - b_0 = 0$ , so  $a_0 = b_0$ . So cancel those terms from (4) and divide by  $x$ :

$$(a_1 - b_1) + (a_2 - b_2)x + \cdots + (a_n - b_n)x^{n-1} = O(x^n).$$

Set  $x=0$  again to get  $a_1 = b_1$ . Continue inductively to find that  $a_k = b_k$  for all  $k$ , which means that  $P_n(x) = Q_n(x)$ .  $\square$

Proposition 3 is useful for calculating Taylor polynomials: if we can use any method at all to find a polynomial  $Q_n(x)$  of degree at most  $n$  so that  $f(x) = Q_n(x) + O(x^{n+1})$ , then  $Q_n(x)$  must equal  $P_n(x)$ . Here are two applications.

### Taylor polynomials and l'Hôpital's rule.

Suppose that  $f, g$ , and their first  $k-1$  derivatives vanish at  $x=0$ , but  $g^{(k)}(0)$  does not vanish. The Taylor expansions of  $f$  and  $g$  then look like

$$f(x) = \frac{f^{(k)}(0)}{k!} x^k + O(x^{k+1}), \quad g(x) = \frac{g^{(k)}(0)}{k!} x^k + O(x^{k+1}).$$

Taking the quotient and canceling out  $x^k/k!$  gives

$$\frac{f(x)}{g(x)} = \frac{f^{(k)}(0) + O(x)}{g^{(k)}(0) + O(x)} \rightarrow \frac{f^{(k)}(0)}{g^{(k)}(0)} \quad \text{as } x \rightarrow 0.$$

This is just what l'Hôpital's rule says, but we can sometimes use the earlier observation to compute the answer without computing all of the derivatives.

**Example 5.** What is

$$\lim_{x \rightarrow 0} \frac{x^2 - \sin^2 x}{x^2 \sin^2 x}?$$

Since

$$\sin^2 x = \left( x - \frac{x^3}{6} + O(x^5) \right)^2 = x^2 - \frac{x^4}{3} + O(x^6),$$

we get  $x^2 \sin^2 x = x^4 + O(x^6)$  and

$$\frac{x^2 - \sin^2 x}{x^2 \sin^2 x} = \frac{\frac{1}{3}x^4 + O(x^6)}{x^4 + O(x^6)} = \frac{\frac{1}{3} + O(x^2)}{1 + O(x^2)} \rightarrow \frac{1}{3}.$$

**Example 6.** What is

$$\lim_{x \rightarrow 1} \left( \frac{1}{\log x} + \frac{x}{x-1} \right)?$$

Since the limit is as  $x \rightarrow 1$ , we need to expand Taylor series about 1. First of all,

$$\frac{1}{\log x} + \frac{x}{x-1} = \frac{x-1-x \log x}{(x-1) \log x} = \frac{(x-1) - (x-1) \log x - \log x}{(x-1) \log x}.$$

Next, if we expand  $\log x$  about  $a = 1$ , we get  $\log x = (x-1) + \frac{1}{2}(x-1)^2 + O((x-1)^3)$ , and plugging this in yields

$$\frac{(x-1) - (x-1)^2 - [(x-1) - \frac{1}{2}(x-1)^2] + O((x-1)^3)}{(x-1)^2 + O((x-1)^3)} = \frac{-\frac{1}{2} + O(x-1)}{1 + O(x-1)} \rightarrow -\frac{1}{2}.$$

### Higher derivative tests for critical points.

Recall that if  $f'(a) = 0$ , then  $f(x)$  has a local maximum at  $x = a$  if  $f''(a) < 0$ , and similarly it has a local minimum if  $f''(a) > 0$ . What happens if  $f''(a) = 0$ ? Then the behavior of  $f$  near  $a$  is controlled by the first nonvanishing derivative at  $a$ .

**Proposition 7.** *Suppose that  $f(x)$  has  $k$  continuous derivatives near  $a$ , with  $f'(a) = f''(a) = \dots = f^{(k-1)}(a) = 0$ , but  $f^{(k)}(a) \neq 0$ . If  $k$  is even, then  $f$  has a local max if  $f^{(k)}(a) < 0$ , while it has a local min if  $f^{(k)}(a) > 0$ . If  $k$  is odd, it has neither a local max nor a local min.*

*Proof.* The degree  $k - 1$  Taylor polynomial for  $f(x)$  about  $x = a$  is simply the constant  $f(a)$  – all the other terms are zero. So Taylor's formula of order  $k - 1$  with remainder becomes

$$f(x) = f(a) + \frac{f^{(k)}(c)}{k!}(x - a)^k \quad \text{for some } c \text{ between } x \text{ and } a.$$

If  $x$  is close to  $a$ , then so is  $c$ , so  $f^{(k)}(c)$  is close to  $f^{(k)}(a)$ , by continuity of  $f^{(k)}$ . In particular, it is nonzero, with the same sign as  $f^{(k)}(a)$ . Furthermore,  $(x - a)^k$  is always non-negative if  $k$  is even, but it changes sign at  $x = a$  if  $k$  is odd. Thus if  $k$  is even,  $f(x) - f(a)$  always has the same sign – the sign of  $f^{(k)}(a)$  – when  $x$  is near  $a$ . For example, if  $k$  is even and  $f^{(k)}(a)$  is negative, then  $f(x) - f(a) < 0$  for all  $x$  near  $a$ : that is,  $f(x) < f(a)$  for all  $x$  near  $a$ , which means that  $f(a)$  is a local maximum. On the other hand, if  $k$  is odd, then  $f(x) - f(a)$  changes sign at  $x = a$ , so  $f(a)$  is neither a max nor a min.  $\square$