

# CIRCULAR PLANAR GRAPHS AND RESISTOR NETWORKS

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**Abstract.** We consider circular planar graphs and circular planar resistor networks. Associated with each circular planar graph  $\Gamma$  there is a set  $\pi(\Gamma) = \{(P; Q)\}$  of pairs of sequences of boundary nodes which are connected through  $\Gamma$ . A graph  $\Gamma$  is called critical if removing any edge breaks at least one of the connections  $(P; Q)$  in  $\pi(\Gamma)$ . We prove that two critical circular planar graphs are  $Y - \Delta$  equivalent if and only if they have the same connections. If a conductivity  $\gamma$  is assigned to each edge in  $\Gamma$ , there is a linear map from boundary voltages to boundary currents, called the network response. This linear map is represented by a matrix  $\Lambda_\gamma$ . We show that if  $(\Gamma, \gamma)$  is any circular planar resistor network whose underlying graph  $\Gamma$  is critical, then the values of all the conductors in  $\Gamma$  may be calculated from  $\Lambda_\gamma$ . Finally, we give an algebraic description of the set of network response matrices that can occur for circular planar resistor networks.

**Key words.** graph, connections, conductivity, resistor network, network response

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**1. Introduction.** This article is a continuation of [5], [6] and [7], and was inspired by [1] and [2]. Some related results have been announced in [3].

A graph with boundary is a triple  $\Gamma = (V, V_B, E)$ , where  $(V, E)$  is a finite graph with  $V =$  the set of nodes,  $E =$  the set of edges, and  $V_B$  is a nonempty subset of  $V$  called the set of boundary nodes.  $\Gamma$  is allowed to have multiple edges (i.e., more than one edge between two nodes) or loops (i.e., an edge joining a node to itself).

A circular planar graph is a graph with boundary which is embedded in a disc  $D$  in the plane so that the boundary nodes lie on the circle  $C$  which bounds  $D$ , and the rest of  $\Gamma$  is in the interior of  $D$ . The boundary nodes can be labelled  $v_1, \dots, v_n$  in the (clockwise) circular order around  $C$ . A pair of sequences of boundary nodes  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$  such that the sequence  $(p_1, \dots, p_k, q_k, \dots, q_1)$  is in circular order is called a circular pair.

A circular pair  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$  of boundary nodes is said to be connected through  $\Gamma$  if there are  $k$  disjoint paths  $\alpha_1, \dots, \alpha_k$  in  $\Gamma$ , such that  $\alpha_i$  starts at  $p_i$ , ends at  $q_i$  and passes through no other boundary nodes. We say that  $\alpha$  is a connection from  $P$  to  $Q$ . The notion of a connection between a pair of sequences of boundary nodes appears in [1] and [2]. The definition of a well-connected critical graph was given in [1]. In this paper, we consider graphs which are not necessarily well-connected.

For each circular planar graph  $\Gamma$ , let  $\pi(\Gamma)$  be the set of all circular pairs  $(P; Q)$  of boundary nodes which are connected through  $\Gamma$ .

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There are two ways to remove an edge from a graph:

(1) By deleting an edge.

(2) By contracting an edge to a single node. (An edge joining two boundary nodes is not allowed to be contracted to a single node).

We say that removing an edge breaks the connection from  $P$  to  $Q$  if there is a connection from  $P$  to  $Q$  through  $\Gamma$ , but there is not a connection from  $P$  to  $Q$  after the edge is removed. A graph  $\Gamma$  is called critical if the removal of any edge breaks some connection in  $\pi(\Gamma)$ .

**THEOREM 1.** *Suppose  $\Gamma_1$  and  $\Gamma_2$  are two critical circular planar graphs. Then  $\pi(\Gamma_1) = \pi(\Gamma_2)$  if and only if  $\Gamma_1$  and  $\Gamma_2$  are  $Y - \Delta$  equivalent.*

A conductivity on a graph  $\Gamma$  is a function  $\gamma$  which assigns to each edge  $e$  in  $E$  a positive real number  $\gamma(e)$ . A resistor network  $(\Gamma, \gamma)$  consists of a graph with boundary together with a conductivity function  $\gamma$ .

Suppose  $(\Gamma, \gamma)$  is a resistor network with  $n$  boundary nodes. There is a linear map from boundary functions to boundary functions, constructed as follows. To each function  $f = \{f(v_i)\}$  defined at the boundary nodes, there is a unique extension of  $f$  to all the nodes of  $\Gamma$  which satisfies Kirchhoff's current law at each interior node. This function then gives a current  $I$  where  $I(v_i)$  is the current into the network at boundary node  $v_i$ . The linear map which sends  $f$  to  $I$  is called the Dirichlet-to-Neumann map in [5], [6] and [7]. This map is represented by a  $n \times n$  matrix,  $\Lambda_\gamma (= \Lambda(\Gamma, \gamma))$ , called the network response.

**THEOREM 2.** *Suppose  $(\Gamma, \gamma)$  is a circular planar resistor network which is critical as a graph. Then the values of the conductors are uniquely determined by, and can be calculated from  $\Lambda_\gamma$ .*

In this situation we say  $\gamma$  is recoverable from  $\Lambda_\gamma$ .

Notation: Suppose  $A = \{a_{s,t}\}$  is a matrix,  $P = (p_1, \dots, p_k)$  is an ordered subset of the rows, and  $Q = (q_1, \dots, q_m)$  is an ordered subset of the columns. Then  $A(P; Q)$  denotes the  $k \times m$  matrix obtained by taking the entries of  $A$  which are in rows  $p_1, \dots, p_k$  and columns  $q_1, \dots, q_m$ . Specifically, for each  $1 \leq i \leq k$  and  $1 \leq j \leq m$ ,

$$A(P; Q)_{i,j} = a_{p_i, q_j}$$

A pair of sequences of indices  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_m)$  is called a circular pair if a cyclic permutation of  $(p_1, \dots, p_k, q_1, \dots, q_m)$  is in order. If  $(P; Q)$  is a circular pair of indices,  $A(P; Q)$  is called a circular minor of  $A$ .

DEFINITION 1.1. For each integer  $n \geq 2$ , let  $\Omega_n$  be the set of  $n \times n$  symmetric matrices  $M$  for which the sum of the entries in each row is 0, and which satisfy the following condition.

If  $M(P; Q)$  is a  $k \times k$  circular minor of  $M$ , then  $(-1)^k \det M(P; Q) \geq 0$ .

This condition says that if  $M \in \Omega_n$ , and  $(P; Q)$  is a circular pair of indices, then the matrix  $-M(P; Q)$  is totally non-negative as in [9]. This condition implies that if  $M \in \Omega_n$ , each off-diagonal entry is non-positive and each diagonal entry is non-negative.

THEOREM 3. *Suppose  $M$  is in  $\Omega_n$ . Then there is a circular planar graph with a conductivity  $\gamma$  so that  $M = \Lambda(\Gamma, \gamma)$ .*

DEFINITION 1.2. Suppose  $\Gamma$  is a circular planar graph with  $n$  boundary nodes, and  $\pi = \pi(\Gamma)$  is the set of circular pairs  $(P; Q)$  which are connected through  $\Gamma$ . A subset  $\Omega(\pi)$  of  $\Omega_n$  is defined by the following condition. For each circular pair of indices  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$ ,

(a) If  $(P; Q) \in \pi$ , then  $(-1)^k \det M(P; Q) > 0$ .

(b) If  $(P; Q) \notin \pi$ , then  $\det M(P; Q) = 0$ .

Let  $(\Gamma, \gamma)$  be a critical circular planar resistor network and  $\pi(\Gamma) = \pi$ . In §4, we show that the network response matrix  $\Lambda_\gamma$  is in  $\Omega(\pi)$ . In §12, we show that if  $M \in \Omega(\pi)$ , then there is a conductivity  $\gamma$  on  $\Gamma$  so that  $M = \Lambda_\gamma$ . More generally, we have the following.

THEOREM 4. *Suppose  $\Gamma$  is a critical circular planar graph with  $N$  edges and  $\pi = \pi(\Gamma)$ . Then the map which sends  $\gamma$  to  $\Lambda_\gamma$  is a diffeomorphism of  $(\mathbb{R}^+)^N$  onto  $\Omega(\pi)$ .*

REMARK 1. Theorems 1, 2, 3, and 4 show that there is a close relationship between circular planar resistor networks and matrices. The set of network response matrices for all circular planar graphs with  $n$  boundary nodes is  $\Omega_n$ , which is the disjoint union of the sets  $\Omega(\pi)$ . For each  $M \in \Omega_n$ , let  $\pi = \{(P; Q)\}$  be the set of circular pairs  $(P; Q)$  of indices for which  $\det M(P; Q) \neq 0$ . Associated with this  $\pi$ , there is a circular planar graph  $\Gamma$  with  $\pi(\Gamma) = \pi$ , and there is a conductivity  $\gamma$  on  $\Gamma$  with  $\Lambda(\Gamma, \gamma) = M$ . The graph  $\Gamma$  may be chosen to be critical, and then  $\Gamma$  is unique to within  $Y - \Delta$  equivalence. If a graph  $\Gamma$  is chosen in this  $Y - \Delta$  equivalence class, then the conductivity  $\gamma$  on  $\Gamma$  which gives  $M = \Lambda(\Gamma, \gamma)$  is unique.

REMARK 2. For each of the sets  $\pi$ , let  $N(\pi)$  be the number of edges in a critical graph with  $\pi(\Gamma) = \pi$ . Suppose  $\Gamma$  be a circular planar graph with  $N$  edges. Then  $\Gamma$  is critical if and only if  $N = N(\pi(\Gamma))$ . If  $\Gamma$  is not critical, then there is a critical graph  $\Gamma'$ , with  $\pi(\Gamma') = \pi(\Gamma)$ . The graph  $\Gamma'$  may be obtained from  $\Gamma$  by removal (by deletion and/or contraction) of  $N - N(\pi(\Gamma))$  edges. If  $\gamma$  is a conductivity on  $\Gamma$ , there is a conductivity  $\gamma'$  on  $\Gamma'$  so that  $\Lambda(\Gamma', \gamma') = \Lambda(\Gamma, \gamma)$ .

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This paper is almost entirely self-contained. In addition to matrix algebra, the proofs make use of the medial graphs of Steinitz and Theorem 5.2 of [7]. In §2, Schur complements are used to prove a determinant identity, originally due to Dodgson, that is used extensively in §10. For  $(\Lambda, \gamma)$  a resistor network, the response matrix  $\Lambda_\gamma$  is constructed in §3. The important properties of  $\Lambda_\gamma$  are established in §4. §5 describes  $Y - \Delta$  and  $\Delta - Y$  transformations of planar graphs. The medial graph of a circular planar graph, is defined in §6. In §7, the methods of Steinitz are used to show that in each  $Y - \Delta$  equivalence class of critical circular planar graphs there is a standard representative. In §8, we define three ways to adjoin an edge to a graph and we describe the effects of each of these adjunctions on the response matrices. Theorem 2 was proven in [7] for the standard representative of a well-connected critical circular planar graph. §9 of the present paper makes use of [7] to prove Theorem 2 for an arbitrary critical graph. §10 uses Dodgson's identity to prove some facts about the matrices  $M$  in  $\Omega_n$ . In §11, we show that removing a boundary edge or boundary spike from a critical graph results in another critical graph. In §12, we prove Theorems 3 and 4. In §13, we prove Theorem 1.

**2. The Schur Complement.** Suppose  $M$  is a square matrix and  $D$  be a non-singular square submatrix of  $M$ . For convenience, assume that  $D$  is the lower right hand corner of  $M$ , so that  $M$  has the block structure:

$$M = \begin{bmatrix} A & B \\ C & D \end{bmatrix}$$

The Schur complement of  $D$  in  $M$  is the matrix  $M/D = A - BD^{-1}C$ . The Schur complement satisfies the following determinantal identity:

$$\det M = \det(M/D) \cdot \det D$$

If  $E$  is a non-singular square submatrix of  $D$ , then

$$\det M = \det(M/D) \cdot \det(D/E) \cdot \det E$$

In this situation, the following quotient formula is due to Haynsworth ([4]).

$$M/D = (M/E)/(D/E)$$

Let  $A = \{a_{i,j}\}$  be a  $n \times n$  matrix, and  $a_{h,k}$  is a non-zero entry. The  $1 \times 1$  matrix with entry  $a_{h,k}$  is denoted  $[a_{h,k}]$ . For the Schur complement,  $A/[a_{h,k}]$ , we have

$$\det A = (-1)^{h+k} a_{h,k} \cdot \det(A/[a_{h,k}])$$

Suppose  $A$  is a  $n \times n$  matrix, with  $n \geq 2$ . If  $i$  and  $j$  are any two indices,  $A[i; j]$  will denote the  $(n-1) \times (n-1)$  matrix obtained by deleting row  $i$  and column  $j$ . Similarly, if  $(h, i)$  and  $(j, k)$  are indices, then  $A[h, i; j, k]$  will denote the  $(n-2) \times (n-2)$  matrix obtained by deleting rows  $h$  and  $i$  and columns  $j$  and  $k$ . We shall make extensive use of the following identity, due to Dodgson [8].

LEMMA 2.1. *For any indices  $[h, i; j, k]$  with  $1 \leq h < i \leq n$  and  $1 \leq j < k \leq n$ ,*

$$\det A \cdot \det A[h, i; j, k] = \det A[h; j] \cdot \det A[i; k] - \det A[h; k] \cdot \det A[i; j]$$

*Proof.* By re-ordering the rows and columns, we may assume that the indices are  $(h, i) = (1, 2)$  and  $(j, k) = (1, 2)$ . Let  $B = A[1, 2; 1, 2]$ . Then  $A$  has the form:

$$A = \begin{bmatrix} a & b & x \\ c & d & y \\ w & z & B \end{bmatrix}$$

where  $x$  and  $y$  are  $1 \times (n-2)$  row vectors,  $w$  and  $z$  are  $(n-2) \times 1$  column vectors. Temporarily assume that  $B$  is non-singular. For the Schur complement  $A/B$  we have:

$$A/B = \begin{bmatrix} a - xB^{-1}w & b - xB^{-1}z \\ c - yB^{-1}w & d - yB^{-1}z \end{bmatrix}$$

$$\begin{aligned} \det(A/B) &= (a - xB^{-1}w)(d - yB^{-1}z) - (b - xB^{-1}z)(c - yB^{-1}w) \\ &= \det(A[2; 2]/B) \cdot \det(A[1; 1]/B) - \det(A[1; 2]/B) \cdot \det(A[2; 1]/B) \end{aligned}$$

Using the determinantal identity for Schur complements, we have

$$\det A \cdot \det B = \det A[2; 2] \cdot \det A[1; 1] - \det A[1; 2] \cdot \det A[2; 1]$$

This is a polynomial relation which holds for the  $n^2$  values of the entries of  $A$  whenever  $\det B \neq 0$ . Therefore it is an identity in the coefficients of  $A$ .  $\square$

**3. Resistor Networks.** In this section we construct the response matrix  $\Lambda(\Gamma, \gamma)$  for a resistor network  $(\Gamma, \gamma)$ . This is done first when  $\Gamma$  is connected as a graph; the response matrix for a general network is obtained by taking the direct sum of the response matrices of the connected components.

Suppose  $(\Gamma, \gamma) = (V, V_B, E, \gamma)$  is a connected resistor network, with  $d$  vertices numbered  $v_1, \dots, v_d$ . The Kirchhoff matrix  $K = K(\Gamma, \gamma)$  is the  $d \times d$  matrix  $K$  constructed as follows.

(1) If  $i \neq j$  then  $K_{i,j} = -\sum \gamma(e)$ , where the sum is taken over all edges  $e$  joining  $v_i$  to  $v_j$ . (If there is no edge joining  $v_i$  to  $v_j$ , then  $K_{i,j} = 0$ .)

(2)  $K_{i,i} = \sum \gamma(e)$ , where the sum is taken over all edges  $e$  with one endpoint at  $v_i$  and the other endpoint not  $v_i$ .

The Kirchhoff matrix has the following interpretation. If  $u$  is a voltage defined at the nodes of  $\Gamma$ , then  $c = Ku$  is the resulting current flow. In coordinates, if  $u = \{u(v_i)\}$ , then  $c_j = \sum_i K_{i,j}u(v_i)$  is the current flowing into the network at node  $v_j$ .

If a function  $f$  is imposed at the boundary nodes, the function  $u$  which satisfies Kirchhoff's current law  $c_j = 0$  at each interior node  $v_j$ , and which agrees with  $f$  at the boundary nodes, is called the potential due to  $f$ .

Suppose there are  $N$  edges numbered  $e_1, \dots, e_N$ . A  $d \times N$  matrix  $Q$  is constructed as follows. If  $e$  is an edge joining  $v_i$  to  $v_j$  with  $i < j$ , then

$$\begin{aligned} Q_{i,k} &= +\sqrt{\gamma(e)} \\ Q_{j,k} &= -\sqrt{\gamma(e)} \\ Q_{h,k} &= 0, \quad \text{otherwise} \end{aligned}$$

A calculation shows that  $K = Q \cdot Q^T$ . Thus  $K$  is positive semi-definite. Suppose  $x = (x_1, \dots, x_d)$ . Then  $xKx^T = 0$  if and only if  $xQ = 0$ . Let  $e = v_i v_j$  be an edge in  $\Gamma$ . Then  $xQ = 0$  implies that

$$x_i \sqrt{\gamma(e)} - x_j \sqrt{\gamma(e)} = 0$$

Thus  $x_i = x_j$ . Since  $\Gamma$  is connected as a graph,  $xKx^T = 0$  if and only if  $x_i = x_j$  for all vertices  $v_i$  and  $v_j$ .

**LEMMA 3.1.** *Suppose  $(\Gamma, \gamma)$  is a connected resistor network. Let  $P = (p_1, \dots, p_k)$  be a non-empty proper subset of the vertices. Then the matrix  $K(P; P)$  is positive definite.*

*Proof.* Let  $A = K(P; P)$ , and suppose  $y = (y_1, \dots, y_k)$  is a vector with  $yAy^T = 0$ . Let  $x = (x_1, \dots, x_d)$  be the vector with  $x_{p_i} = y_i$  for  $1 \leq i \leq k$ , and  $x_j = 0$  if  $j$  is

not in  $P$ . Then  $xKx^T = yAy^T = 0$ . Since  $P$  is a proper subset of  $V$ , at least one of the  $x_i$  is 0. Since  $\Gamma$  is connected, all the  $x_i$  must be 0. Hence the  $y_i$  are 0 also.  $\square$

Suppose  $(\Gamma, \gamma) = (V, V_B, E, \gamma)$  is a connected resistor network. Let  $I = V - V_B$  be the set of interior nodes. By Lemma 3.1, if  $I$  is not empty, the matrix  $K(I, I)$  is nonsingular.

**THEOREM 3.2.** *Suppose  $(\Gamma, \gamma)$  is a connected resistor network. Then the network response matrix  $\Lambda_\gamma$  is the Schur complement*

$$\Lambda_\gamma = K/K(I; I)$$

*Proof.* If  $I$  is the empty set,  $K/K(I; I)$  is defined to be to be  $K$ , and  $\Lambda_\gamma = K$ . Otherwise,  $I$  is nonempty. For convenience, assume the nodes are numbered so that  $V_B = \{v_1, v_2, \dots, v_n\}$ , and  $I = \{v_{n+1}, v_{n+2}, \dots, v_d\}$ . Let  $D = K(I; I)$ . Then  $K$  has a block structure:

$$K = \begin{bmatrix} A & B \\ C & D \end{bmatrix}$$

Suppose that  $f = \{f(v_i); i = 1, \dots, n\}$  is a function imposed at the boundary nodes. Let  $g = \{g(v_i); i = n+1, \dots, d\}$  be the resulting potential at the interior nodes. Kirchhoff's current law says that the sum of the currents into each interior node is 0. Thus

$$\begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} f \\ g \end{bmatrix} = \begin{bmatrix} c \\ 0 \end{bmatrix}$$

This implies that  $(A - BD^{-1}C)f = c$ . Therefore the response matrix representing the Dirichlet-to-Neumann map is  $\Lambda_\gamma = A - BD^{-1}C$ .  $\square$

If  $A = (a_1, \dots, a_s)$  and  $B = (b_1, \dots, b_t)$  are two sequences of nodes,  $A + B$  stands for the sequence  $(a_1, \dots, a_s, b_1, \dots, b_t)$ .

**LEMMA 3.3.** *Suppose  $(\Gamma, \gamma)$  is a connected resistor network, and let  $\Lambda_\gamma$  be its response matrix. Let  $P$  and  $Q$  be two sequences of boundary nodes of  $\Gamma$ . Then the submatrix  $\Lambda_\gamma(P; Q)$  is obtained as the Schur complement*

$$\Lambda_\gamma(P; Q) = K(P + I; Q + I)/K(I; I)$$

*Proof.* This follows from Theorem 3.2 and the definition of Schur complement.  $\square$

Suppose  $\Gamma = (V, V_B, E)$  is a connected graph with  $n$  boundary nodes. Let  $p$  be one of the boundary nodes. Let  $\Gamma' = (V', V'_B, E')$  be the graph with  $V' = V$ ,  $V'_B = V_B - p$  and  $E' = E$ . That is  $\Gamma'$  is the same as  $\Gamma$ , except that  $p$  is declared to be an interior

node. If  $\gamma$  is a conductivity on  $\Gamma$ , we assign the same values to the conductors in  $\Gamma'$ . Let  $\Lambda'_\gamma$  denote the response matrix for  $\Gamma'$ . By Theorem 3.2,

$$\Lambda'_\gamma = K/K(I + p; I + p)$$

Suppose  $P = (p_1, \dots, p_k)$  and  $Q = (q_1, \dots, q_k)$  are two sequences of boundary nodes, and  $p$  is a boundary node not in  $P \cup Q$ .

LEMMA 3.4. *In this situation,*

- (1)  $\Lambda'_\gamma(P; Q) = \Lambda_\gamma(P + p; Q + p)/\Lambda_\gamma(p; p)$
- (2)  $\det \Lambda'_\gamma(P; Q) = \det \Lambda_\gamma(P + p; Q + p)/\det \Lambda_\gamma(p; p)$

*Proof.* The first follows from the Haynsworth quotient formula. The second follows from the determinantal identity for Schur complements.  $\square$

**4. Connections and Determinants.** Suppose  $\Gamma = (V, V_B, E)$  is a connected graph with boundary.  $\Gamma$  is not assumed to be planar. Let  $I = V - V_B$  denote the set of interior nodes. If  $p$  and  $q$  are two boundary nodes, a path from  $p$  to  $q$  through  $\Gamma$  is a sequence of edges  $p, r_1, r_1, r_2, \dots, r_m, q$  in  $\Gamma$  where the  $r_j$  are distinct interior nodes. Suppose  $P = (p_1, \dots, p_k)$  and  $Q = (q_1, \dots, q_k)$  are two disjoint sets of boundary nodes. A connection from  $P$  to  $Q$  through  $\Gamma$  is a set  $\alpha = (\alpha_1, \dots, \alpha_k)$  of disjoint paths through  $\Gamma$ , where for each  $1 \leq i \leq k$ ,  $\alpha_i$  is a path from  $P_i$  to  $Q_{\tau(i)}$ , and  $\tau$  is an element of the permutation group  $S_k$ . Let  $\mathcal{C}(P; Q)$  be the set of connections from  $P$  to  $Q$ . For each  $\alpha = (\alpha_1, \dots, \alpha_k)$  in  $\mathcal{C}(P; Q)$ , let

$\tau_\alpha$  be the permutation of  $(q_1, q_2, \dots, q_k)$  which results at the endpoints of the paths  $(\alpha_1, \alpha_2, \dots, \alpha_k)$ ;

$E_\alpha$  be the set of edges in  $\alpha$ ;

$J_\alpha$  be the set of interior nodes which are not the ends of any edge in  $\alpha$ .

LEMMA 4.1. *Let  $(\Gamma, \gamma)$  be a connected resistor network. Let  $P = (p_1, p_2, \dots, p_k)$  and  $Q = (q_1, q_2, \dots, q_k)$  be two disjoint sequences of boundary nodes. Then*

$$\det \Lambda(P; Q) \cdot \det K(I, I) = (-1)^k \sum_{\tau \in S_k} \operatorname{sgn}(\tau) \left\{ \sum_{\substack{\alpha \in \mathcal{C}(P; Q) \\ \tau_\alpha = \tau}} \prod_{e \in E_\alpha} \gamma(e) \cdot \det K(J_\alpha; J_\alpha) \right\}$$

*Proof.* Let  $\nu = k + k'$ , where  $k'$  is the number of interior nodes in  $\Gamma$ . Let the interior nodes be numbered  $r_i$  for  $i = k + 1, \dots, k + k'$ . By taking the Schur complement with respect to  $K(I, I)$ , we have

$$\det \Lambda(P; Q) \cdot \det K(I, I) = \det K(P + I; Q + I)$$



The  $\nu \times \nu$  matrix  $K(P + I; Q + I)$  is denoted  $M = \{m_{i,j}\}$ . Then

$$\det M = \sum_{\sigma \in S_\nu} \operatorname{sgn}(\sigma) \prod_{i=1}^{\nu} m_{i,\sigma(i)}$$

Here  $S_\nu$  denotes the symmetric group on  $\nu$  symbols. For each  $1 \leq i \leq k$ , let  $n_i$  be the first index  $j$  for which  $\sigma^j(i) \leq k$ . For each  $1 \leq i \leq k$ , and  $0 \leq j \leq n_i$ , let  $a(i, j) = \sigma^j(i)$ . Let  $\tau$  be the permutation of  $1, 2, \dots, k$  where  $\tau(i) = a(i, n_i)$ . Thus each  $\sigma \in S_\nu$  gives a diagram of the following form:

$$1 = a(1, 0) \xrightarrow{\sigma} a(1, 1) \xrightarrow{\sigma} a(1, 2) \xrightarrow{\sigma} \dots \xrightarrow{\sigma} a(1, n_1) = \tau(1)$$

$$2 = a(2, 0) \xrightarrow{\sigma} a(2, 1) \xrightarrow{\sigma} a(2, 2) \xrightarrow{\sigma} \dots \xrightarrow{\sigma} a(2, n_2) = \tau(2)$$

...

$$k = a(k, 0) \xrightarrow{\sigma} a(k, 1) \xrightarrow{\sigma} a(k, 2) \xrightarrow{\sigma} \dots \xrightarrow{\sigma} a(k, n_k) = \tau(k)$$

Let  $A$  be the subset of  $\{1, 2, \dots, \nu\}$  consisting of the  $a(i, j)$  for  $1 \leq i \leq k$   $0 \leq j < n_i$ . Let  $t = \sum n_i$ , which is the cardinality of  $A$ . Let  $B$  be the set  $\{1, 2, \dots, \nu\} - A$ . Then  $\sigma$  may be expressed as a product  $\sigma = \phi \cdot \mu$ , where  $\phi$  is a permutation of  $A$ , and  $\mu$  is a permutation of  $B$ . Let  $\phi$  be expressed as a product of disjoint cycles  $\phi = \phi_1 \cdot \phi_2 \cdot \dots \cdot \phi_s$ . Then  $\operatorname{sgn}(\sigma) = (-1)^{t-s} \operatorname{sgn}(\mu)$ . Then  $\tau$  will also be expressed as a product of  $s$  cycles.  $\tau = \psi_1 \cdot \psi_2 \cdot \dots \cdot \psi_s$  and  $\operatorname{sgn}(\tau) = (-1)^{k-s}$ . Thus  $\operatorname{sgn}(\sigma) = (-1)^{k+t} \operatorname{sgn}(\tau) \operatorname{sgn}(\mu)$ .

The diagram above determines a set  $\alpha = (\alpha_1, \dots, \alpha_k)$  of sequences of nodes in  $\Gamma$ , where  $\alpha_i$  is the sequence  $a(i, 0), a(i, 1), \dots, a(i, n_i)$ . For each  $1 \leq i \leq k$ ,  $a(i, 0) = p_i$  and  $a(i, n_i) = q_{\tau(i)}$ . For each  $1 \leq i \leq k$ , and  $0 < j < n_i$ ,  $a(i, j)$  is the interior node  $r_{a(i,j)}$ . The product  $\prod_{i=1}^{\nu} m_{i,\sigma(i)}$  can be non-zero only if  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_k)$  forms a connection through  $\Gamma$  from  $P$  to  $Q$ . For each  $\alpha \in \mathcal{C}(P; Q)$ , let  $S(\alpha)$  be the set of  $\sigma \in S_\nu$  for which the connection is  $\alpha$ . As  $\sigma$  varies over  $S(\alpha)$ ,  $\mu$  varies over the permutations of  $J_\alpha$ . Then

$$\begin{aligned} \sum_{\sigma \in S(\alpha)} \operatorname{sgn}(\sigma) \prod_{i=1}^{\nu} m_{i,\sigma(i)} &= \sum_{\sigma \in S(\alpha)} (-1)^{k+t} \operatorname{sgn}(\tau) \prod_{e \in E_\alpha} (-\gamma(e)) \cdot \operatorname{sgn}(\mu) \cdot \prod_{i \in J_\alpha} m_{i,\mu(i)} \\ &= (-1)^k \operatorname{sgn}(\tau) \cdot \prod_{e \in E_\alpha} \gamma(e) \cdot \det K(J_\alpha; J_\alpha). \end{aligned}$$

For each  $\tau \in S_k$ , take the sum over all  $\alpha$  which induce this  $\tau$ . Then take the sum over all  $\tau \in S_k$ , and the proof is complete.  $\square$

This answers a question raised by [2]. In particular, it follows from Lemma 4.1 that if  $\det \Lambda(P; Q) = 0$ , then either

- (1) There is no connection from  $P$  to  $Q$ ;
- or (2) There are (at least) two connections  $\alpha$  and  $\beta$  from  $P$  to  $Q$ , with permutations  $\tau_\alpha$  and  $\tau_\beta$  of opposite sign.

The following theorem is very important for our purposes. It first was proved for well-connected circular planar networks in [7], and for general circular planar networks in [1]. The proof we give here is based on Lemma 4.1.

**THEOREM 4.2.** *Suppose  $\Gamma$  is a circular planar resistor network and  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$  is a circular pair of sequences of boundary nodes.*

(a) *If  $(P; Q)$  are not connected through  $\Gamma$ , then  $\det \Lambda(P; Q) = 0$ .*

(b) *If  $(P; Q)$  are connected through  $\Gamma$ , then  $(-1)^k \det \Lambda(P; Q) > 0$ .*

*Proof.* We first consider the case when  $\Gamma$  is connected as a graph. By Lemma 3.1,  $K(I, I)$  is positive definite, so  $\det K(J, J) > 0$  for all  $J \subseteq I$ . The sequence  $(p_1, \dots, p_k, q_k, \dots, q_1)$  is in circular order around the boundary of  $\Gamma$ . If there is a connection from  $P$  to  $Q$ , it must connect  $p_i$  to  $q_i$  for  $1 \leq i \leq k$ . Thus each  $\tau$  which appears in Lemma 4.1 is the identity permutation, so all the terms in the sum have the same sign. In the general case,  $\Gamma$  is a disjoint union of connected components  $\Gamma_i$ , and  $\Lambda(\Gamma, \gamma)$  is a direct sum of the  $\Lambda(\Gamma_i, \gamma_i)$ .  $\square$

When we say that removal of an edge  $e$  from  $\Gamma$  breaks the connection from  $P$  to  $Q$ , we mean that  $P$  and  $Q$  are connected through  $\Gamma$  (possibly in many ways), and that  $P$  and  $Q$  are not connected through the graph  $\Gamma'$  which is the graph  $\Gamma$  with  $e$  removed. By Theorem 4.2, this is equivalent to the two assertions that  $\det \Lambda(P; Q) \neq 0$ , and  $\det \Lambda'(P; Q) = 0$ .

An edge  $e$  between a pair of adjacent boundary nodes is called a boundary edge. If  $r$  is a boundary node which is joined by an edge to only one other node  $p$  which is an interior node, the edge  $rp$  is called a boundary spike.

**COROLLARY 4.3.** *Suppose  $\Gamma$  is a connected circular planar resistor network and  $e = pq$  is a boundary edge, such that deleting  $e$  breaks the connection between a circular pair  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$ . Then  $pq$  is either  $p_1q_1$  or  $p_kq_k$ , and*

$$\det \Lambda(P; Q) = -\gamma(e) \cdot \det \Lambda(P - p; Q - q)$$

*Proof.* The edge  $pq$  must be either  $p_1q_1$  or  $p_kq_k$ . As the two cases are similar, WLOG assume the former. We consider  $\det K(P + I; Q + I)$  as a linear function  $F(z)$  of the first column  $z$  of  $K(P + I; Q + I)$ . Let  $\xi = \gamma(e)$ . Then  $z = x + y$ , where

$$x = \begin{bmatrix} -\xi \\ 0 \end{bmatrix} \quad \text{and} \quad y = \begin{bmatrix} 0 \\ a \end{bmatrix}$$

Then  $F(z) = F(x) + F(y)$ . But  $F(y) = 0$ , since  $P$  and  $Q$  are not connected through  $\Gamma$  after  $p_1q_1$  is deleted. Thus

$$\det K(P + I; Q + I) = -\xi \det K(P - p_1 + I; Q - q_1 + I)$$

The result follows by taking the Schur complement with respect to  $K(I; I)$ , and using Lemma 3.3.  $\square$

**COROLLARY 4.4.** *Suppose  $\Gamma$  is a connected circular planar resistor network and  $rp$  is boundary spike joining a boundary node  $r$  to an interior node  $p$ . Suppose that contracting  $rp$  breaks the connection between a circular pair  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$ . Then  $r \notin P \cup Q$ , and*

$$\det \Lambda(P + r; Q + r) = \gamma(pr) \cdot \det \Lambda(P; Q)$$

*Proof.* It is clear that  $r \notin P \cup Q$ . Let  $\xi = \gamma(pr)$ . Then  $K(P + r + I; Q + r + I)$  has a submatrix  $K(r, p; r, p)$  which has the form:

$$K(r, p; r, p) = \begin{bmatrix} \xi & -\xi \\ -\xi & w \end{bmatrix}$$

The remaining entries of  $K(P + r + I; Q + r + I)$  in the column corresponding to  $r$  are 0, and the remaining entries of  $K(P + r + I; Q + r + I)$  in the row corresponding to  $r$  are 0. Thus

$$\det K(P + r + I; Q + r + I) = \xi \det K(P + I; Q + I) - \xi^2 \det K(P + I - p; Q + I - p)$$

The assertion of the corollary follows upon dividing by  $K(I; I)$ , interpreting each of the terms as the determinant of a Schur complement, and using Lemma 3.3.  $\square$

**5. Y -  $\Delta$  Transformations.** Suppose  $\Gamma = (V, V_B, E)$  is a circular planar graph, and  $s$  is a trivalent interior node with incident edges  $sp$ ,  $sq$  and  $sr$ , as in FIG 1A.

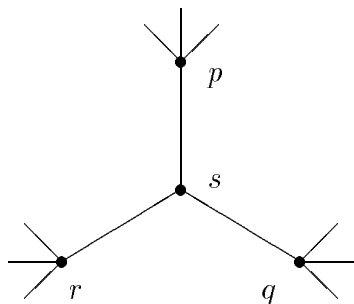


FIG 1A

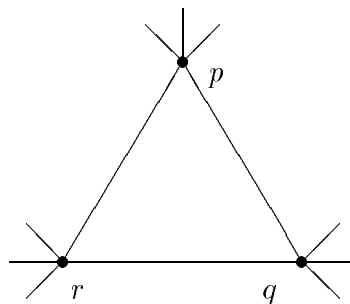


FIG 1B

A  $Y - \Delta$  transformation removes the vertex  $s$ , the edges  $sp$ ,  $sq$ ,  $sr$ , and adds three new edges  $pq$ ,  $qr$ , and  $rp$  as in FIG 1B. Similarly, if  $pqr$  is a triangle in  $\Gamma$  as in FIG 1B, then a  $\Delta - Y$  transformation removes the edges  $pq$ ,  $qr$ , and  $rp$ , inserts a new vertex  $s$ , and adds three new edges  $ps$ ,  $qs$ , and  $rs$ , to arrive at FIG 1A. All other nodes are fixed during the transformation.

We say that two circular planar graphs  $\Gamma_1$  and  $\Gamma_2$  are  $Y - \Delta$  equivalent if  $\Gamma_1$  can be transformed to  $\Gamma_2$  by a sequence of  $Y - \Delta$  or  $\Delta - Y$  transformations.

LEMMA 5.1. *If  $\Gamma_1$  and  $\Gamma_2$  are two circular planar graphs which are  $Y - \Delta$  equivalent, then  $\pi(\Gamma_1) = \pi(\Gamma_2)$ .*

*Proof.* Suppose  $\Gamma_1$  is transformed into  $\Gamma_2$  where the  $Y$  of FIG 1A is replaced by the triangle of FIG 1B. Let  $\alpha$  and  $\beta$  be disjoint paths in  $\Gamma_1$  where  $\alpha$  passes through  $p$  and  $\beta$  passes through edges  $rs$  and  $sq$ . The corresponding paths in  $\Gamma_2$  are  $\alpha$  and  $\beta'$ , where  $\beta'$  is the same as  $\beta$  except that the two edges  $rs$  and  $sq$  are replaced by the single edge  $rq$ .  $\square$

LEMMA 5.2. *Suppose  $\Gamma_1$  and  $\Gamma_2$  are two circular planar graphs which are  $Y - \Delta$  equivalent. Then  $\Gamma_1$  is critical if and only if  $\Gamma_2$  is critical.*

*Proof.* Suppose  $\Gamma_1$  is transformed into  $\Gamma_2$  where the  $Y$  of FIG 1A is replaced by the triangle of FIG 1B. Assume that  $\Gamma_1$  is not critical. We need to consider three cases.

(1) Suppose  $e$  is an edge in  $\Gamma_1$  which is not  $ps$ ,  $qs$ , or  $rs$  and  $e$  can be removed without breaking a connection in  $\pi(\Gamma_1)$ . Then removal of the same edge in  $\Gamma_2$  breaks no connection in  $\pi(\Gamma_2)$ .

(2) Suppose deletion of  $ps$  breaks no connection in  $\pi(\Gamma_1)$ . Then deletion of  $pr$  breaks no connection in  $\pi(\Gamma_2)$ .

(3) Suppose contraction of  $ps$  breaks no connection in  $\pi(\Gamma_1)$ . Then deletion of  $rq$  breaks no connection in  $\pi(\Gamma_2)$ .

Assume that  $\Gamma_2$  is not critical. Again there are three cases.

(4) Suppose  $e$  is an edge in  $\Gamma_2$  which is not  $pq$ ,  $qr$ , or  $rp$  and  $e$  can be removed without breaking a connection in  $\pi(\Gamma_2)$ . Then removal of the same edge in  $\Gamma_1$  breaks no connection in  $\pi(\Gamma_1)$ .

(5) Suppose deletion of  $rq$  breaks no connection in  $\pi(\Gamma_2)$ . Then contraction of  $ps$  breaks no connection in  $\pi(\Gamma_1)$ .

(6) Suppose contraction of  $rq$  breaks no connection in  $\pi(\Gamma_2)$ . Then contraction of  $rs$  breaks no connection in  $\pi(\Gamma_1)$ .  $\square$

LEMMA 5.3. *Suppose  $\Gamma_1$  and  $\Gamma_2$  are two circular planar graphs which are  $Y - \Delta$  equivalent. If  $\gamma_1$  is a conductivity on  $\Gamma_1$  then there is a conductivity  $\gamma_2$  on  $\Gamma_2$ , with  $\Lambda(\Gamma_1, \gamma_1) = \Lambda(\Gamma_2, \gamma_2)$ .*

*Proof.* Suppose  $\Gamma_1$  is transformed into  $\Gamma_2$  where the  $Y$  of FIG 1A is replaced by the triangle of FIG 1B. Suppose  $\gamma_1(ps) = a$ ,  $\gamma_1(qs) = b$ ,  $\gamma_1(rs) = c$ . The corresponding conductivity  $\gamma_2$  on  $\Gamma_2$  is

$$\begin{aligned}\gamma_2(pq) &= \frac{ab}{a+b+c} \\ \gamma_2(qr) &= \frac{bc}{a+b+c} \\ \gamma_2(rp) &= \frac{ac}{a+b+c}\end{aligned}$$

and  $\gamma_2(e) = \gamma_1(e)$  for all other edges.

Suppose  $\Gamma_1$  is transformed into  $\Gamma_2$  where the triangle of FIG 1B is replaced by the  $Y$  of FIG 1A. Suppose  $\gamma_1(pq) = a$ ,  $\gamma_1(qr) = b$ ,  $\gamma_1(rp) = c$ . The corresponding conductivity  $\gamma_2$  on  $\Gamma_2$  is

$$\begin{aligned}\gamma_2(ps) &= \frac{ab+ac+bc}{b} \\ \gamma_2(qs) &= \frac{ab+ac+bc}{c} \\ \gamma_2(rs) &= \frac{ab+ac+bc}{a}\end{aligned}$$

and  $\gamma_2(e) = \gamma_1(e)$  for all other edges. If  $u$  is a function defined at the nodes of  $\Gamma_1$  which satisfies Kirchhoff's current law, the same function (omitting the point  $s$ ) satisfies Kirchhoff's current law on  $\Gamma_2$ . Hence  $\Lambda(\Gamma_1, \gamma_1) = \Lambda(\Gamma_2, \gamma_2)$ .  $\square$

LEMMA 5.4. *Suppose  $\Gamma_1$  and  $\Gamma_2$  are two circular planar graphs which are  $Y - \Delta$  equivalent. If  $\gamma_1$  is recoverable from  $\Lambda(\Gamma_1, \gamma_1)$ , then  $\gamma_2$  is recoverable from  $\Lambda(\Gamma_2, \gamma_2)$ .*

*Proof.* This follows from Lemma 5.3.  $\square$

**6. Medial graphs.** Suppose  $\Gamma = (V, V_B, E)$  is a circular planar graph with  $n$  boundary nodes.  $\Gamma$  is assumed to be embedded in the plane so that the boundary nodes  $v_1, v_2, \dots, v_n$  occur in clockwise order around a circle  $C$  and the rest of  $\Gamma$  is in the interior of  $C$ . The construction of the medial graph  $\mathcal{M}(\Gamma)$  is similar to that in [10] (p 239). The medial graph  $\mathcal{M}(\Gamma)$  depends on the embedding. First, for each edge  $e$  of  $\Gamma$ , let  $m_e$  be its midpoint. Next, place  $2n$  points  $t_1, t_2, \dots, t_{2n}$  on  $C$  so that

$$t_1 < v_1 < t_2 < t_3 < v_2 < \dots < t_{2n-1} < v_n < t_{2n} < t_1$$

in the clockwise circular order around  $C$ .

(1) The vertices of  $\mathcal{M}(\Gamma)$  consist of the points  $m_e$  for  $e \in E$ , and the points  $t_i$  for  $i = 1, 2, \dots, 2n$ .

(2) The edges in  $\mathcal{M}(\Gamma)$  are as follows. Two vertices  $m_e$  and  $m_f$  are joined by an edge whenever  $e$  and  $f$  have a common vertex and  $e$  and  $f$  are incident to the same face in  $\Gamma$ . There is also one edge for each point  $t_j$  as follows. The point  $t_{2i}$  is joined by an edge to  $m_e$  where  $e$  is the edge of the form  $e = v_i r$  which comes first after arc  $v_i t_{2i}$  in clockwise order around  $v_i$ . The point  $t_{2i-1}$  is joined by an edge to  $m_f$  where  $f$  is the edge of the form  $f = v_i s$  which comes first after arc  $v_i t_{2i-1}$  in counter-clockwise order around  $v_i$ .

The vertices of the form  $m_e$  of  $\mathcal{M}(\Gamma)$  are 4-valent; the vertices of the form  $t_i$  are 1-valent. An edge  $uv$  of  $\mathcal{M}(\Gamma)$  has a direct extension  $vw$  if the edges  $uv$  and  $vw$  separate the other two edges incident to the vertex  $v$ . A path  $u_0 u_1 \dots u_k$  in  $\mathcal{M}(\Gamma)$  is called a geodesic arc if each edge  $u_{i-1} u_i$  has edge  $u_i u_{i+1}$  as a direct extension. A geodesic arc  $u_0 u_1 \dots u_k$  is called a geodesic if either

- (1)  $u_0$  and  $u_k$  are points on the circle  $C$ .
- or (2)  $u_k = u_0$  and  $u_{k-1} u_k$  has  $u_0 u_1$  as direct extension.

A subgraph  $\mathcal{L}$  of  $\mathcal{M}(\Gamma)$  is called a lens provided that:

- (1)  $\mathcal{L}$  consists of a simple closed path  $u_0 u_1 \dots u_k v_0 v_1 \dots v_m u_0$  and all the nodes and edges of  $\mathcal{M}(\Gamma)$  in the bounded connected component of the complement of  $\mathcal{L}$  in the plane.
- (2)  $u_0 u_1 \dots u_k v_0$  and  $v_0 v_1 \dots v_m u_0$  are two geodesic arcs such that no inner edge of  $\mathcal{L}$  is incident to  $u_0$  or  $v_0$ .

If each geodesic in  $\mathcal{M}(\Gamma)$  begins and ends on  $C$ , has no self-intersection, and if  $\mathcal{M}(\Gamma)$  has no lenses, we say that  $\mathcal{M}(\Gamma)$  is lensless.

A triangle in  $\mathcal{M}(\Gamma)$  is a triple  $\{f, g, h\}$  of geodesics which intersect to form a triangle with no other intersections within the configuration, as in FIG 2A.

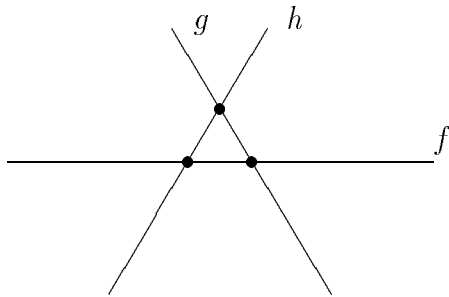


FIG 2A

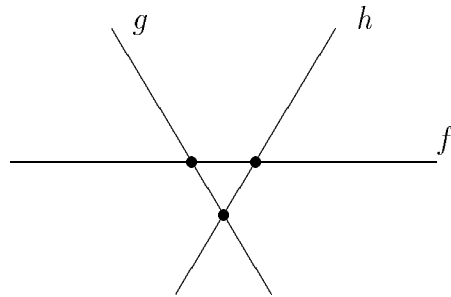


FIG 2B

Suppose  $\{f, g, h\}$  form a triangle as in FIG 2A. A motion of  $\{f, g, h\}$  consists of replacing this configuration with that of FIG 2B.

LEMMA 6.1. *Two circular planar graphs are  $Y - \Delta$  equivalent if and only if their medial graphs are equivalent under motions.*

*Proof.* Each  $Y - \Delta$  transformation of  $\Gamma$  corresponds to a motion on  $\mathcal{M}(\Gamma)$ . Conversely, a motion on  $\mathcal{M}(\Gamma)$  corresponds to a  $Y - \Delta$  transformation of  $\Gamma$ .  $\square$

We shall make extensive use of the following Lemma. Our proof is an adaptation of a proof of Steinitz to our situation; see [10] and [11].

LEMMA 6.2. *Suppose  $\Gamma$  is a circular planar graph, for which  $\mathcal{M}(\Gamma)$  is lensless. Suppose  $g$  and  $h$  intersect at  $p$ . Suppose  $g$  intersects  $C$  at  $q$  and  $h$  intersects  $C$  at  $r$ . Assume  $\mathcal{F} = \{f_1, \dots, f_m\}$  is a set of geodesics with the property that for each  $1 \leq i \leq m$ ,  $f_i$  intersects  $g$  between  $p$  and  $q$  if and only if  $f_i$  intersects  $h$  between  $p$  and  $r$ . Then a finite sequence of motions will remove all members of  $\mathcal{F}$  from the sector  $qpr$ .*

*Proof.* For each  $i = 1, \dots, m$ , let  $v_i$  be the point of intersection (if there is one) of  $f_i$  with  $g$  between  $p$  and  $q$ . For each  $f_i$  which intersects another of the  $f_j$  within sector  $qpr$ , let  $D_i$  be the first point of intersection on  $f_i$  after  $v_i$  in sector  $qpr$ . Let  $\mathcal{D} = \{D_i\}$  be the set of points obtained in this way. If  $\mathcal{D}$  is empty, let  $f_i$  be the geodesic in  $\mathcal{F}$  such that  $v_i$  is closest to  $p$ , and  $\{g, h, f_i\}$  form a triangle. A motion will remove  $f_i$  from sector  $qpr$ . Otherwise,  $\mathcal{D}$  is nonempty. Each point  $D_i \in \mathcal{D}$  is the point of intersection of two of the geodesics, say  $f_i$  and  $f_j$ . Let  $D$  be a point in  $\mathcal{D}$  for which the number of regions within the configuration formed by  $f_i$  and  $f_j$  and  $g$  is a minimum. This minimum must be one, or there would be another geodesic which intersects  $f_i$  between  $v_i$  and  $D$  or which intersects  $f_j$  between  $v_j$  and  $D$ . Then  $\{g, f_i, f_j\}$  form a triangle. A motion will reduce the number of regions within sector  $qpr$ . After a finite number of motions, no  $f_i$  crosses into the sector.  $\square$

LEMMA 6.3. *Suppose  $\Gamma$  is a circular planar graph, for which  $\mathcal{M}(\Gamma)$  has a lens. Then  $\Gamma$  is  $Y - \Delta$  equivalent to a graph  $\Gamma'$  which has either a pair of edges in series, or a pair of edges in parallel.*

*Proof.* Suppose  $g$  and  $h$  are two geodesics which intersect at  $p_1$  and  $p_2$  to form a lens  $\mathcal{L}$ . WLOG assume that  $\mathcal{L}$  is a lens with the fewest number of regions inside  $\mathcal{L}$ . Each geodesic  $f$  which intersects  $g$  between  $p_1$  and  $p_2$  also intersects  $h$  between  $p_1$  and  $p_2$ , or there would be a lens with fewer regions than  $\mathcal{L}$ . An argument similar to that of 6.2 shows that all of these  $f$  may be removed from  $\mathcal{L}$ . Thus  $\Gamma$  is  $Y - \Delta$  equivalent to a graph  $\Gamma'$  for which  $\mathcal{M}(\Gamma')$  has an empty lens. This empty lens corresponds either to a pair of edges in series (if there is a vertex of  $\Gamma'$  within  $\mathcal{L}$ ), or to a pair of edges in parallel (if there is no vertex of  $\Gamma'$  within  $\mathcal{L}$ ).  $\square$

LEMMA 6.4. *If  $\Gamma$  is a critical circular planar graph, then  $\mathcal{M}(\Gamma)$  is lensless.*

*Proof.* If there were a lens, a closed geodesic or a geodesic with a self-intersection in  $\mathcal{M}(\Gamma)$ , then  $\Gamma$  would be  $Y - \Delta$  equivalent to a graph  $\Gamma'$  with a pair of edges in series or in parallel, or with an interior pendant or an interior loop. In each case an edge could be removed from  $\Gamma'$  without breaking any connection, so  $\Gamma'$  would not be critical, and hence also  $\Gamma$  would not be critical.  $\square$

In §13, we show that if  $\mathcal{M}(\Gamma)$  is lensless, then  $\Gamma$  is critical.

**7. Standard Graphs.** Suppose  $\Gamma$  is a circular planar graph with  $n$  boundary nodes which is embedded in the plane so that the boundary nodes  $v_1, \dots, v_n$  occur in clockwise order on a circle  $C$  and the rest of  $\Gamma$  is in the interior of  $C$ . Assume the medial graph  $\mathcal{M}(\Gamma)$  is lensless. Then  $\mathcal{M}(\Gamma)$  has  $n$  geodesics each of which intersects  $C$  twice. The  $n$  geodesics intersect  $C$  in  $2n$  distinct points. These  $2n$  points are labelled  $t_1, \dots, t_{2n}$ , so that

$$t_1 < v_1 < t_2 < t_3 < v_2 < \dots < t_{2n-1} < v_n < t_{2n} < t_1$$

in the circular order around  $C$ . The geodesics are labelled as follows. Let  $g_1$  be the geodesic which begins at  $t_1$ . The remaining geodesics are labelled  $g_2, g_3, \dots, g_n$  so that if  $i < j$ , then the first point of intersection of  $g_i$  with  $C$  occurs before the first point of intersection of  $g_j$  with  $C$  in the clockwise order starting from  $t_1$ . For each  $i = 1, 2, \dots, 2n$ , let  $z_i$  be the number associated with the geodesic which intersects  $C$  at  $t_i$ . In this way we obtain a sequence  $z = z_1, z_2, \dots, z_{2n}$ , called the  $z$ -sequence for  $\mathcal{M}(\Gamma)$ . Each of the numbers from 1 to  $n$  occurs in  $z$  exactly twice. If  $i < j$ , and if the occurrences of  $i$  and  $j$  appear in  $z$  in the order

$$\dots i \dots j \dots i \dots j \dots$$

we say that  $i$  and  $j$  interlace in  $z$ ; otherwise, we say that  $i$  and  $j$  do not interlace in  $z$ .

Suppose  $z = z_1, z_2, \dots, z_{2n}$  is a sequence which contains each of the the numbers  $1, 2, \dots, n$  twice. Assume that if  $i < j$ , the first occurrence of  $i$  comes before the first occurrence of  $j$ . Associated with this sequence, there is a standard arrangement  $\mathcal{A}(z)$ , of  $n$  pseudolines  $\{g_i\}$  in the disc, constructed as follows. Place  $2n$  points in clockwise order around the circle  $C$  and label them  $x_1, \dots, x_n$  and  $y_1, \dots, y_n$  as follows. The points labelled  $x_i$  and  $y_i$  are to be placed at positions corresponding to the two occurrences of  $i$  in the sequence  $z_1, \dots, z_{2n}$ , with  $x_i < y_i$ . We join each  $x_i$  to  $y_i$  by a geodesic  $g_i$ . If  $i$  and  $j$  interlace in  $z$ , then  $g_i$  will be made to intersect  $g_j$ ; the point of intersection is denoted  $x(i, j)$ , with the convention that  $x(j, i)$  denotes the same point as  $x(i, j)$ .

First, join  $x_1$  to  $y_1$  by a pseudoline  $g_1$ . After  $g_1, \dots, g_{m-1}$  have been placed within  $C$ , the pseudoline  $g_m$  joining  $x_m$  to  $y_m$  is placed as follows. For each  $i \leq m-1$ , if  $m$  interlaces  $i$  in  $z$ , place a point  $x(i, m)$  on  $g_i$  closer to  $y_i$  than any previously placed point on  $g_i$ . Now let  $g_m$  join  $x_m$  to  $y_m$  passing through the points  $x(i, m)$  which have just been placed. The points  $y_i$  which are between  $x_m$  and  $y_m$  occur in the same order on  $C$  as the points  $x(i, m)$  occur on  $g_m$ .



When all the pseudolines  $g_1, \dots, g_n$  are in place, the arrangement  $\mathcal{A}(z)$  has sequence  $z$ . The intersection points  $x(i, j)$  occur as follows. For each  $i \leq m - 1$ , the points  $x(i, j)$  which are on  $g_i$  appear between  $x_i$  and  $y_i$  so that:

(1) If  $i < j < k$ , then  $x(i, j)$  appears before  $x(i, k)$ .

(2) If  $j < i < k$ , then  $x(i, j)$  appears before  $x(i, k)$ .

(3) If  $j < k < i$ , then  $x(i, j)$  and  $x(i, k)$  appear on  $g_i$  in the same order as  $y_j$  and  $y_k$  appear in  $z$ .

Let  $\{x_1, \dots, x_n\} \cup \{y_1, \dots, y_n\} = \{t_1, \dots, t_{2n}\}$  where  $t_1 < \dots < t_{2n}$  in the clockwise order around the circle  $C$ . Place  $n$  boundary points  $v_1, \dots, v_n$  on  $C$  so that the points

$$t_1 < v_1 < t_2 < t_3 < v_2 < \dots < t_{2n-1} < v_n < t_{2n}$$

are in clockwise circular order on  $C$ . Next color the regions formed by  $\mathcal{M}(\Gamma(z))$  inside  $C$  in two colors, black and white, with each  $v_i$  in a black region. To obtain the standard graph  $\Gamma(z)$ , for which  $\mathcal{M}(\Gamma(z)) = \mathcal{A}(z)$ , we must assume that each of the black regions contains at most one of the vertices  $v_i$ . After a vertex has been placed in each black region, they are joined by edges, with one edge passing through each of the points  $x(i, j)$ .

LEMMA 7.1. *Let  $\Gamma$  be a connected circular planar graph with  $n$  boundary nodes. Assume  $\mathcal{M}(\Gamma)$  is lensless. Let  $z = z_1, z_2, \dots, z_{2n}$  be the  $z$ -sequence associated with  $\Gamma$ , and let  $\Gamma(z)$  be the standard graph constructed above. Then  $\Gamma$  is  $Y - \Delta$  equivalent to  $\Gamma(z)$ .*

*Proof.* We make motions in  $\mathcal{M}(\Gamma)$  to transform it to  $\mathcal{A}(z)$ . Geodesic  $g_i$  intersects the outer circle  $C$  at two points  $x_i$  and  $y_i$ , with  $x_i < y_i$ . The points  $x_1, x_2, \dots, x_n$  and  $y_1, y_2, \dots, y_n$  occur in the order of  $z$  around  $C$ . If  $i$  and  $j$  interlace in  $z$ , the geodesic  $g_j$  intersects  $g_i$ . Let  $x(i, j) = x(j, i)$  be the point of intersection of  $g_i$  with  $g_j$ , and let  $S(i, j)$  be the sector formed by  $x_i$ ,  $x(i, j)$  and  $x_j$ . The location of the points  $x(i, j)$  is changed by the motions of  $\mathcal{M}(\Gamma)$ .

Let  $k$  be the first index for which  $g_k$  intersects a previous geodesic. Then  $g_k$  must intersect  $g_{k-1}$ . Consider the geodesics from the set  $\{g_{k+1}, g_{k+2}, \dots, g_n\}$  which intersect  $g_k$  between  $x(k-1, k)$  and  $x_k$ . Any such geodesic also intersects  $g_{k-1}$  between  $x(k-1, k)$  and  $x_{k-1}$ . Lemma 6.2 implies that finite sequence of motions will remove  $g_{k+1}, \dots, g_n$  from the sector  $S(k-1, k)$ . This process is repeated to remove all intersections of  $g_{k+1}, \dots, g_n$  from the sectors  $S(i, k)$  for  $i = k-2, \dots, 1$ .

We perform a similar process at steps  $k+1, \dots, n-1$ . After step  $(m-1)$ , the geodesics are in position so that if  $i < j < m$ , the geodesics  $g_m, g_{m+1}, g_{k+1}, \dots, g_n$  have no intersections within any of the sectors  $S(i, j)$ . Note that for each  $1 \leq i < m$ , if  $g_m$

intersects  $g_i$ , then for all  $j < m$  the point of intersection  $x(i, m)$  is between  $x(i, j)$  and  $y_i$  on  $g_i$ . Also the set of points

$$\{x_m, x(m, 1), \dots, x(m, m-1), y_m\}$$

occur in the following order along  $g_m$ : if  $j < m$  and  $k < m$ , with  $j \neq k$ , then  $x(m, j)$  and  $x(m, k)$  appear in the same order along  $g_m$  as  $y_j$  and  $y_k$  appear in  $z$ .

For step(m), Lemma 6.2 implies that we can remove geodesics  $g_{m+1}, \dots, g_n$  from the sectors  $S(j, m)$  for  $1 \leq j < m$ . These geodesics are removed from the sectors  $S(j, m)$  in the same order in which the  $x(m, j)$  appear on  $g_m$ .

Continue until  $m = n - 1$ , when all intersections are as in  $\mathcal{A}(z)$ .  $\square$

**THEOREM 7.2.** *Suppose  $\Gamma_1$  and  $\Gamma_2$  are two connected circular planar graphs, each with  $n$  boundary nodes. Assume the medial graphs  $\mathcal{M}(\Gamma_1)$  and  $\mathcal{M}(\Gamma_2)$  are lensless. Then  $\Gamma_1$  and  $\Gamma_2$  are  $Y - \Delta$  equivalent if and only if  $\mathcal{M}(\Gamma_1)$  and  $\mathcal{M}(\Gamma_2)$  have the same  $z$ -sequence.*

*Proof.* A  $Y - \Delta$  transformation does not change the  $z$ -sequence. Conversely, if  $\mathcal{M}(\Gamma_1)$  and  $\mathcal{M}(\Gamma_2)$  have the same  $z$ -sequence, then  $\Gamma_1$  and  $\Gamma_2$  are each  $Y - \Delta$  equivalent to the same standard graph  $\Gamma(z)$ .  $\square$

When the sequence  $z$  is  $1, \dots, n, 1, \dots, n$ , the standard standard arrangement  $\mathcal{A}(z)$  is denoted  $\mathcal{A}_n$  and the standard graph  $\Gamma(z)$  is denoted  $\Sigma_n$ . In  $\mathcal{A}_n$ , every pseudoline  $g_i$  intersects every other pseudoline, and there are  $\frac{1}{2}n(n-1)$  points of intersection  $x(i, j)$ . For each  $1 \leq i \leq n$ , the points

$$x_i, x(i, 1), x(i, 2), \dots, x(i, i-1), x(i, i+1), \dots, x(i, n), y_i$$

occur in order along  $g_i$ .

The standard graph  $\Sigma_n$  has  $\frac{1}{2}n(n-1)$  edges. The graphs  $\Sigma_6$  and  $\Sigma_7$  are shown in FIG 3.

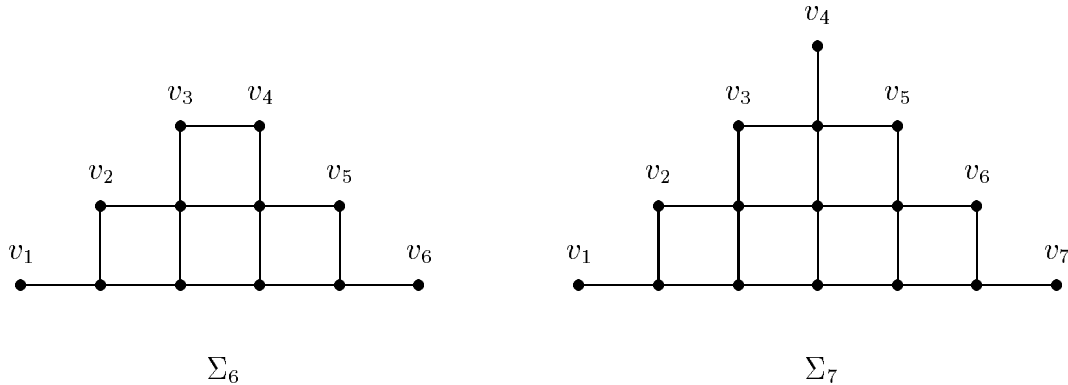


FIG 3

As in [1], a circular planar graph is called well-connected if every circular pair  $(P; Q)$  is connected through  $\Gamma$ .

**PROPOSITION 7.3.** *For each integer  $n \geq 3$ , the graph  $\Sigma_n$  is critical and well-connected.*

*Proof.* The proof is left to the reader.  $\square$

**COROLLARY 7.4.** *Let  $n = 4m + 3$ , and let  $C(m, 4m + 3)$  be the circular graph of [7]. Suppose that  $\Gamma$  is a circular planar graph with  $n$  boundary nodes, Assume that  $\mathcal{M}(\Gamma)$  is lensless and has  $z$ -sequence  $1, \dots, n, 1, \dots, n$ . Then  $\Gamma$  is  $Y - \Delta$  equivalent to  $C(m, 4m + 3)$ . In particular,  $\Sigma_n$  and  $C(m, 4m + 3)$  are  $Y - \Delta$  equivalent.*

*Proof.* The medial graph  $\mathcal{M}(C(m, 4m + 3))$  is lensless. The  $z$ -sequence is  $1, \dots, n, 1, \dots, n$ . By Lemma 7.2,  $\Gamma$  and  $C(m, 4m + 3)$  are  $Y - \Delta$  equivalent.  $\square$

**8. Adjoining edges.** Let  $(\Gamma, \gamma)$  be a circular planar resistor network with  $n$  boundary nodes  $v_1, \dots, v_n$ . We will describe three ways to adjoin an edge to  $\Gamma$ , and the effect of each on the matrix  $\Lambda(\Gamma, \gamma)$ . In this section,  $\Lambda(\Gamma)$  stands for  $\Lambda(\Gamma, \gamma)$ , with the conductivity  $\gamma$  implicit from the context.

(1) Let  $p$  and  $q$  be two adjacent boundary nodes. For convenience of notation, we make a cyclic re-labelling of the boundary nodes, so that  $p = v_1$  and  $q = v_2$ . We add an edge  $pq$  so that the new graph is still be a circular planar graph with  $n$  boundary nodes. We call this process adjoining a boundary edge. If a boundary edge  $pq$  is adjoined to  $\Gamma$ , with  $\gamma(pq) = \xi$ , the resulting resistor network is denoted  $\mathcal{T}_\xi(\Gamma)$ .

Suppose  $M = \{m_{i,j}\}$  is an  $n \times n$  matrix, and  $\xi$  is a real number. We define a new matrix  $T_\xi(M)$  as follows.

$$\begin{aligned} T_\xi(M)_{1,1} &= m_{1,1} + \xi \\ T_\xi(M)_{2,2} &= m_{2,2} + \xi \\ T_\xi(M)_{1,2} &= m_{1,2} - \xi \\ T_\xi(M)_{2,1} &= m_{2,1} - \xi \\ T_\xi(M)_{i,j} &= m_{i,j} \text{ otherwise} \end{aligned}$$

Clearly,  $T_{-\xi} \circ T_\xi = \text{identity}$ . From the definition of the Kirchhoff matrix, we have

$$K(\mathcal{T}_\xi(\Gamma)) = T_\xi(K(\Gamma))$$

From Theorem 3.2, it follows that

$$\begin{aligned} \Lambda(\mathcal{T}_\xi(\Gamma)) &= T_\xi(\Lambda(\Gamma)) \\ \Lambda(\Gamma) &= T_{-\xi}(\Lambda(\mathcal{T}_\xi(\Gamma))) \end{aligned}$$

Suppose given  $(\Gamma, \gamma)$  and  $\xi$ . Then  $\Lambda(\Gamma)$  uniquely determines  $\Lambda(\mathcal{T}_\xi(\Gamma))$ . Also,  $\Lambda(\mathcal{T}_\xi(\Gamma))$  uniquely determines  $\Lambda(\Gamma)$ .

(2) Let  $p$  be a boundary node. By a cyclic re-labelling of the boundary nodes, assume that  $p = v_1$ . We place a new vertex  $v_0$  on the boundary circle  $C$ , between  $v_n$  and  $v_1$ , and adjoin a new edge  $v_0v_1$  to  $\Gamma$ . The new graph is a circular planar graph with  $n+1$  boundary nodes. We call this process adjoining a boundary spike without interiorizing. If a boundary spike  $v_0v_1$  is adjoined to  $\Gamma$ , without interiorizing the vertex  $v_1$ , and with  $\gamma(v_0v_1) = \xi$ , the resulting resistor network is denoted  $\mathcal{P}_\xi(\Gamma)$ .

Suppose  $M = \{m_{i,j}\}$  is an  $n \times n$  matrix, written in block form:

$$M = \begin{bmatrix} m_{1,1} & a \\ b & C \end{bmatrix}$$

If  $\xi$  a real number, let  $P_\xi(M)$  be the  $(n+1) \times (n+1)$  matrix, with indices  $0 \leq i \leq n$  and  $0 \leq j \leq n$ ,

$$P_\xi(M) = \begin{bmatrix} \xi & -\xi & 0 \\ -\xi & m_{1,1} + \xi & a \\ 0 & b & C \end{bmatrix}$$

Then by Theorem 3.2,

$$\Lambda(\mathcal{P}_\xi(\Gamma)) = P_\xi(\Lambda(\Gamma))$$

Suppose given  $(\Gamma, \gamma)$  and  $\xi$ . Then  $\Lambda(\Gamma)$  uniquely determines  $\Lambda(\mathcal{P}_\xi(\Gamma))$ . Also,  $\Lambda(\mathcal{P}_\xi(\Gamma))$  uniquely determines  $\Lambda(\Gamma)$ .

(3) Let  $p$  be a boundary node. By a cyclic re-labelling of the boundary nodes, assume that  $p = v_1$ . We adjoin a boundary spike  $rv_1$  to  $\Gamma$ , then declare  $v_1$  to be an interior node, and renumber so that  $r$  is the first boundary node. The new graph is a circular planar graph with  $n$  boundary nodes. We call this process adjoining a boundary spike. If a boundary spike  $rv_1$  is adjoined to  $\Gamma$ , with  $\gamma(rv_1) = \xi$ , the resulting resistor network is denoted  $\mathcal{S}_\xi(\Gamma)$ .

Suppose  $M = \{m_{i,j}\}$  is an  $n \times n$  matrix, written in block form:

$$M = \begin{bmatrix} m_{1,1} & a \\ b & C \end{bmatrix}$$

For any real number  $\xi$ , the  $(n+1) \times (n+1)$  matrix  $P_\xi(M)$  has been defined in (2). The indexing is  $0 \leq i \leq n$  and  $0 \leq j \leq n$ . If the  $(1,1)$  entry  $\delta = m_{1,1} + \xi$  is not 0, we take the Schur complement of  $P_\xi(M)$  with respect to this entry, to obtain

$$S_\xi(M) = P_\xi/[m_{1,1} + \xi] = \begin{bmatrix} \xi - \frac{\xi^2}{\delta} & \frac{a\xi}{\delta} \\ \frac{b\xi}{\delta} & C - \frac{ba}{\delta} \end{bmatrix}$$

A calculation shows that  $S_{-\xi} \circ S_\xi = \text{identity}$ . From the definition of the Kirchhoff matrix in §3,

$$K(\mathcal{S}_\xi(\Gamma)) = K(P_\xi(\Gamma))$$

Thus  $\Lambda(\mathcal{S}_\xi(\Gamma))$  is the Schur complement of  $P_\xi(K(\Gamma))$  with respect to the block corresponding to  $I \cup \{v_1\}$ . From Theorem 3.2 and Lemma 3.4, it follows that

$$\begin{aligned}\Lambda(\mathcal{S}_\xi(\Gamma)) &= S_\xi(\Lambda(\Gamma)) \\ \Lambda(\Gamma) &= S_{-\xi}(\Lambda(\mathcal{S}_\xi(\Gamma)))\end{aligned}$$

Suppose given  $(\Gamma, \gamma)$  and the positive real number  $\xi$ . Then  $\Lambda(\Gamma)$  uniquely determines  $\Lambda(\mathcal{S}_\xi(\Gamma))$ . Also  $\Lambda(\mathcal{S}_\xi(\Gamma))$  uniquely determines  $\Lambda(\Gamma)$ .

**REMARK 8.1** We have adjoined the boundary edge at  $v_1v_2$  for convenience of notation. The construction  $\mathcal{T}_\xi(\Gamma)$  may be made at any pair of boundary nodes  $p$  and  $q$  which are adjacent in the circular order. The construction  $T_\xi(M)$  may be made at any pair of indices of which are adjacent in the circular order. Similarly the constructions  $\mathcal{P}_\xi(\Gamma)$  or  $\mathcal{S}_\xi(\Gamma)$  may be made at any boundary node, and  $P_\xi(M)$  or  $S_\xi(M)$  may be made at any index. In each case, the location of the nodes (or indices) where the construction is to be made will be clear from the context.

### 9. Recovering Conductivities.

**LEMMA 9.1.** *Suppose  $\Gamma$  is a circular planar graph with  $n$  boundary nodes for which the medial graph  $\mathcal{M}(\Gamma)$  is lensless. Assume that the  $z$ -sequence for the medial graph  $\mathcal{M}(\Gamma)$  is not the sequence  $1, 2, \dots, n, 1, 2, \dots, n$ . Then either*

(1) *There is a boundary node where a boundary spike may be adjoined to  $\Gamma$ , so that after the adjunction, the resulting graph  $\Gamma'$  is lensless.*

or (2) *There is a pair of consecutive boundary nodes where a boundary edge may be adjoined, so that after the adjunction, the resulting graph  $\Gamma'$  is lensless.*

*Proof.* Let  $t$  be a number in the sequence such that two repetitions of  $t$  are closest in the circular order around  $C$ . By a cyclic relabelling, we may assume that  $t = 1$ , so that the  $z$ -sequence for  $\mathcal{M}(\Gamma)$  is

$$z = 1, 2, \dots, m, 1, z_{m+2}, \dots, z_{2n}$$

with  $m < n$ . Let  $h$  be the first index for which  $z_h$  is not in the set  $\{1, 2, \dots, m\}$ . Then  $z_{h-1}$  and  $z_h$  are a pair of numbers which do not interlace in  $z$  (see §7). The corresponding geodesics in  $\mathcal{M}(\Gamma)$  do not cross. We now make the single alteration in  $\mathcal{M}(\Gamma)$  so that these two geodesics do cross, and the new  $z$ -sequence is

$$1, 2, \dots, m, 1, z_{m+2}, \dots, z_h, z_{h-1}, \dots, z_{2n}$$

The new medial graph is lensless. This change in the medial graph corresponds to adjoining either a boundary edge or a boundary spike to  $\Gamma$ .  $\square$

**LEMMA 9.2.** *Suppose  $\Gamma$  is a circular planar graph with  $n$  boundary nodes for which the medial graph  $\mathcal{M}(\Gamma)$  is lensless. There is a sequence of circular planar graphs  $\Gamma = \Gamma_0$ ,*

$\Gamma_1, \Gamma_2, \dots, \Gamma_k$ , where each  $\Gamma_{i+1}$  is obtained from  $\Gamma_i$  by adjoining a boundary edge or a boundary spike, and where  $\Gamma_k$  is  $Y - \Delta$  equivalent to the standard graph  $\Sigma_n$ .

*Proof.* We adjoin boundary edges or boundary spikes until the  $z$ -sequence for the medial graph  $\mathcal{M}(\Gamma_k)$  is  $1, 2, \dots, n, 1, 2, \dots, n$ . By Corollary 7.4,  $\Gamma_k$  is  $Y - \Delta$  equivalent to  $\Sigma_n$ .  $\square$

*Proof.* of Theorem 2. By taking connected components, we need only consider the case when  $\Gamma$  is connected. First let  $(\Gamma, \gamma)$  be a resistor network whose underlying graph is the graph  $C(m, 4m + 3)$  of [7]. In Theorem 5.2 of [7] we showed that for this graph, the conductivity  $\gamma$  may be recovered from  $\Lambda_\gamma$ . By Corollary 5.4, any resistor network whose underlying graph is  $Y - \Delta$  equivalent to  $C(m, 4m + 3)$  is also recoverable. In particular, any conductivity on  $\Sigma_{4m+3}$  is recoverable.

Next suppose  $(\Gamma, \gamma)$  is any connected critical circular planar resistor network with  $n$  boundary nodes. If  $n$  is not of the form  $4m + 3$ , first adjoin 1, 2, or 3 boundary spikes without interiorizing as in §8, to obtain a resistor network which does have  $4m + 3$  boundary nodes. Combining this with Lemma 9.2, we obtain a sequence of circular planar resistor networks  $\Gamma = \Gamma_0, \Gamma_1, \Gamma_2, \dots, \Gamma_k$ , where  $\Gamma_k$  is a graph with  $4m + 3$  boundary nodes, which is  $Y - \Delta$  equivalent to  $\Sigma_{4m+3}$ . Each  $\Gamma_{i+1}$  is obtained from  $\Gamma_i$  by adjoining a boundary edge, or adjoining a boundary spike (with or without interiorizing). The resistor network  $\Gamma_k$  is recoverable, and hence each of the resistor networks  $\Gamma_i$  for  $k \geq i \geq 0$  is also recoverable. In particular, the resistor network  $\Gamma = \Gamma_0$  is recoverable.  $\square$

**10. Totally Non-negative Matrices.** We continue the notations of §1 and §2. Specifically, let  $A = \{a_{i,j}\}$  be a matrix. If  $P = (p_1, \dots, p_k)$  is an ordered subset of the rows, and  $Q = (q_1, \dots, q_m)$  is an ordered subset of the columns, then  $A(P; Q)$  is the  $k \times m$  sub-matrix of  $A$  with

$$A(P; Q)_{i,j} = a_{p_i, q_j}$$

$A[P; Q]$  is the matrix obtained by deleting the rows for which the index is in  $P$ , and deleting the columns for which the index is in  $Q$ . The empty set is  $\phi$ . Thus  $A[\phi; 1]$  refers to the matrix  $A$  with the first column deleted.

Following [9], a rectangular matrix  $A$  is called totally non-negative (abbreviation: TNN) if every square minor has determinant  $\geq 0$ . The following facts about TNN matrices will be needed in §11 and §12.

LEMMA 10.1. *Suppose  $A = \{a_{i,j}\}$  is an  $m \times m$  matrix which is TNN and non-singular. Then any principal minor is non-singular.*

*Proof.* Induction on  $m$ . For  $m = 1$ , there is nothing to prove. Let  $m > 1$ . The entry  $a_{1,1}$  must be  $> 0$ , else either the first row or the first column of  $A$  would be entirely 0, contradicting the assumption that  $A$  is non-singular. By the determinantal formula for

Schur complements, the Schur complement  $A/[a_{1,1}]$  is non-singular and TNN. Similarly  $a_{m,m} > 0$ ,  $A/[a_{m,m}]$  is non-singular and TNN. By the inductive assumption, every principal minor of  $A/[a_{1,1}]$  is non-singular. Let  $A(P; P)$  be a principal minor of  $A$ , where  $P = (p_1, \dots, p_k)$  is an ordered subset of the index set  $(1, 2, \dots, m)$ . If  $1 \in P$ ,  $A(P; P)/[a_{1,1}]$  is a principal minor of  $A/[a_{1,1}]$  and hence is non-singular. Thus  $\det A(P; P) \neq 0$ , so  $A(P; P)$  is non-singular. Similarly if  $m \in P$ ,  $A(P; P)$  is non-singular. Otherwise,  $P$  contains neither 1 nor  $m$ , and  $k \leq m-2$ . Let  $Q = (1, p_1, \dots, p_m)$ . The  $k+1 \times k+1$  matrix  $A(Q; Q)$  is TNN and non-singular.  $A(P; P)$  is a principal minor of  $A(Q; Q)$ , so is non-singular by induction.  $\square$

LEMMA 10.2. *Suppose that  $A = \{a_{i,j}\}$  is an  $m \times m$  matrix, and suppose that  $a_{s,1} < 0$  for some index  $s$  with  $1 \leq s \leq m$ . Assume also that*

- (i)  $A[\phi; 1]$  is TNN.
- (ii)  $A(s+1, \dots, m; 1, \dots, m)$  is TNN.
- (iii)  $A(1, \dots, s-1; 2, \dots, m, 1)$  is TNN.

Then

- (1)  $(-1)^s \det A \geq 0$ .
- (2) If it is further assumed that  $\det A[s; 1] > 0$ , then  $(-1)^s \det A > 0$ .

*Proof.* Induction on  $m$ . The assertion of (1) for  $m = 2$  is immediate. For  $m > 2$ , first consider the case  $s = 1$ , with  $a_{1,1} < 0$ . If all the cofactors of the entries in the first column are 0, then  $\det A = 0$ . If the only non-zero cofactor of an entry in the first column is  $A[1; 1]$ , then

$$\det A = a_{1,1} \cdot \det A[1; 1] < 0$$

Otherwise, suppose  $\det A[t; 1] > 0$  with  $t > 1$ .  $A[1, t; 1, 2]$  is a principal minor of  $A[t; 1]$  which is assumed to be TNN, so  $\det A[1, t; 1, 2] > 0$  by Lemma 10.1. Dodgson's identity (Lemma 2.1) gives

$$(1) \quad \det A \cdot \det A[1, t; 1, 2] = \det A[1; 1] \cdot \det A[t; 2] - \det A[1; 2] \cdot \det A[t; 1]$$

$\det A[1; 2]$  and  $\det A[t; 1]$  are non-negative by assumption (ii). By the inductive assumption  $\det A[t; 2] \leq 0$ . Hence  $\det A \leq 0$ .

The case  $s = m$  is similar, by considering the matrix  $A(1, \dots, m; 2, \dots, m, 1)$ . The only negative entry is in the last column. Assumption (iii) is used in place of (ii).

This leaves the case when  $1 < s < m$ . If the only non-zero cofactor of an entry in the first column is  $A[s; 1]$ , then

$$\det A = (-1)^{s+1} \cdot a_{s,1} \cdot \det A[s; 1]$$

If another cofactor is non-zero, WLOG, assume  $\det A[t; 1] > 0$  with  $1 < s < t \leq m$ . Then  $A[1, t; 1, 2]$  is a principal minor of  $A[t; 1]$ , so  $\det A[1, t; 1, 2] > 0$  by Lemma 10.1. Dodgson's identity (Lemma 2.1) gives

$$\det A \cdot \det A[1, t; 1, 2] = \det A[1; 1] \cdot \det A[t; 2] - \det A[1; 2] \cdot \det A[t; 1]$$

The factors  $\det A[1; 1]$  and  $\det A[t; 1]$  are non-negative. By the inductive assumption,  $(-1)^s \det A[t; 2] \geq 0$  and  $(-1)^{s-1} \det A[1; 2] \geq 0$ . In every case,  $(-1)^s \det A \geq 0$ .

The proof of (2) is also by induction on  $m$ . For  $m = 2$ , the assertion is immediate. Let  $m > 2$ . If the only non-zero cofactor of an entry in the first column is  $A[s; 1]$ , then

$$(-1)^s \det A = -a_{s,1} \cdot \det A[s; 1] > 0$$

If more than one cofactor is non-zero, WLOG, assume  $\det A[s; 1] > 0$  and  $\det A[t; 1] > 0$  with  $1 < s < t \leq m$ . Then  $\det A[1, s; 1, 2] > 0$  and  $\det A[1, t; 1, 2] > 0$  by Lemma 10.1. By the inductive assumption,  $(-1)^{s-1} \det A[1; 2] > 0$ , and equation (1) shows that  $(-1)^s \det A > 0$ .  $\square$

**LEMMA 10.3.** *Suppose  $A$  is a  $k+1 \times k$  matrix which is TNN. Suppose that for some pair of integers  $s$  and  $t$  with  $1 \leq s < t \leq k+1$ ,*

$$(i) \quad \det A[s; \phi] = 0$$

$$(ii) \quad \det A[t; \phi] \neq 0$$

*Then the rank of  $A(s+1, \dots, k+1; 1, \dots, k)$  is  $\leq k-s$ .*

*Proof.* For each  $i = 1, \dots, k+1$ , let  $R_i$  be the  $i$ -th row of  $A$ , considered as a vector in  $\mathbf{R}^k$ . Assumption (ii) implies that  $\{R_1, \dots, \hat{R}_t, \dots, R_{k+1}\}$  form a basis for  $\mathbf{R}^k$ . Hence,

$$R_t = \sum_{i \neq t} x_i R_i$$

In this sum,  $x_s = 0$ , else  $\{R_1, \dots, \hat{R}_s, \dots, R_{k+1}\}$  would also be a basis for  $\mathbf{R}^k$ , contradicting assumption (i). Then

$$\det A[1; \phi] = (-1)^t \cdot x_1 \cdot \det A[t; \phi] \geq 0$$

Hence  $(-1)^t x_1 \geq 0$ , because  $\det A[t; \phi] > 0$ .  $A[s, t; s]$  is a principal minor of  $A[t; \phi]$ , so  $\det A[s, t; s] > 0$  by Lemma 10.1. Then

$$\det A[1, s; s] = (-1)^{t-1} \cdot x_1 \cdot \det A[s, t; s] \geq 0$$

Hence  $(-1)^{t-1} x_1 \geq 0$ , Thus  $x_1 = 0$ . Similarly,  $x_2 = 0, \dots, x_{s-1} = 0$ . Thus

$$R_t = \sum_{\substack{i > s \\ i \neq t}} x_i R_i$$



This implies  $\text{rank } A(s+1, \dots, k+1; 1, \dots, k) \leq k-s$ .  $\square$

**Notation.** Let  $P = (p_1, p_2, \dots, p_k)$  be a sequence of distinct indices. If  $p \in P$ , then  $P-p$  denotes the sequence obtained by deleting the index  $p$  from  $P$ . If  $p \notin P$ , then  $p+P$  denotes the sequence  $(p, p_1, \dots, p_k)$ . Also  $\mu(P; Q)$  stands for  $\det M(P; Q)$ , and  $\mu'(P; Q)$  stands for  $\det M'(P; Q)$ .

Recall the definition of the set  $\Omega_n$  from §1. With our conventions, this means that if  $M \in \Omega_n$  and  $(P; Q)$  is a circular pair of indices, then the matrix  $-M(P; Q)$  is TNN.

**LEMMA 10.4.** *Let  $M \in \Omega_n$  and suppose that  $m_{h,h}$  is a non-zero diagonal entry. Then the Schur complement  $M' = M/[m_{h,h}]$  is in  $\Omega_{n-1}$ .*

*Proof.* If  $(1, \dots, n)$  is the indexing set for  $M$ , it is convenient to regard the deleted set  $(1, \dots, \hat{h}, \dots, n)$  as the indexing set for  $M'$ . Let  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$  be a circular pair of indices for  $M'$ . Then  $h \notin P \cup Q$ . By interchanging  $P$  and  $Q$  if necessary, and by a cyclic re-labelling of the indices, we may assume that  $1 \leq h < q_k$  in the circular order. Let  $B = (b_1, \dots, b_{k+1})$ , be the set  $P \cup h$  with the circular ordering, where  $b_s = h$  with  $1 \leq s \leq k+1$ . Thus  $1 \leq b_1 < \dots < b_{k+1} < q_k < \dots < q_1 \leq n$ . The matrix

$$A = -M(B; b_s + Q)$$

satisfies the conditions of Lemma 10.2. Hence  $(-1)^s \det A \geq 0$ , so

$$(-1)^{s+1+k} \mu(B; b_s + Q) \geq 0$$

Taking the Schur complement with respect to the entry  $m_{h,h}$ , which is in the  $(s, 1)$  position of  $M(B; b_s + Q)$ , we find that  $(-1)^k \mu'(P; Q) \geq 0$ .  $\square$

**REMARK 10.5.** If  $(-1)^k \mu(P; Q) > 0$ , then part (2) of Lemma 10.2 shows that  $(-1)^{s+1+k} \mu(B; b_s + Q) > 0$ . Therefore  $(-1)^k \mu'(P; Q) > 0$ .

**LEMMA 10.6.** *Suppose  $M \in \Omega_n$ . Let  $B = (b_1, \dots, b_{k+1})$ , and  $Q = (q_1, \dots, q_k)$  be two sequences of indices, with  $1 \leq b_1 < \dots < b_k < b_{k+1} < q_k < \dots < q_1 \leq n$ . Suppose for some pair of indices  $(s, t)$  with  $1 \leq s < t \leq k+1$ , that  $\mu(B - b_s; Q) = 0$  and  $\mu(B - b_t; Q) \neq 0$ . Let  $B_0 = (b_{s+1}, \dots, b_{k+1})$ , and Let  $Q_0 = (q_{s+1}, \dots, q_k)$ . Then  $\mu(B_0 - b_t; Q_0) \neq 0$ , and*

$$\mu(B; b_s + Q) = (-1)^s \frac{\mu(B - b_t; Q) \cdot \mu(B_0; b_s + Q_0)}{\mu(B_0 - b_t; Q_0)}$$

*Proof.* For  $0 \leq r \leq s$ , let

$$\begin{aligned} B_r &= (b_1, \dots, b_r, b_{s+1}, \dots, b_{k+1}) \\ Q_r &= (q_1, \dots, q_r, q_{s+1}, \dots, q_k). \end{aligned}$$

Then  $\mu(B_r - b_t; Q_r) \neq 0$  because  $M(B_r - b_t; Q_r)$  is a principal minor of  $M(B - b_t; Q)$ . Dodgson's identity (Lemma 2.1) gives

$$\begin{aligned} \mu(B_{r+1}; b_s + Q_{r+1}) \cdot \mu(B - b_t; Q_r) = \\ \mu(B_r; Q_{r+1}) \cdot \mu(B - b_t; b_s + Q_r) - \mu(B_{r+1} - b_t; Q_r) \cdot \mu(B_r; b_s + Q_r) \end{aligned}$$

$\mu(B_r; Q_{r+1}) = 0$  by Lemma 10.3, so the first term on the RHS is 0, and

$$\frac{\mu(B_{r+1}; b_s + Q_{r+1})}{\mu(B_{r+1} - b_t; Q_{r+1})} = -\frac{\mu(B_r; b_s + Q_r)}{\mu(B_r - b_t; Q_r)}$$

Repeated use of this identity gives the result.  $\square$

LEMMA 10.7. *Suppose  $M \in \Omega_n$ ,  $p$  and  $q$  are adjacent indices, and  $\xi > 0$ . Let  $T_\xi(M)$  be the matrix constructed in §8 (see also Remark 8.1). Then  $T_\xi(M) \in \Omega_n$ .*

*Proof.* The circular determinants in  $M' = T_\xi(M)$  are equal to the circular determinants in  $M$  except for the ones which correspond to circular pairs  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$  where  $p = p_k$  and  $q = q_k$ , or  $p = p_1$  and  $q = q_1$ . Each of these determinants has the form

$$\begin{aligned} \mu'(P; Q) &= \det \begin{bmatrix} C & a \\ b & d - \xi \end{bmatrix} \\ &= \det \begin{bmatrix} C & a \\ b & d \end{bmatrix} - \xi \det(C) \\ &= \mu'(P; Q) - \xi \mu(P - p; Q - q) \end{aligned}$$

Hence

$$(2) \quad (-1)^k \mu'(P; Q) = (-1)^k \mu(P; Q) - \xi (-1)^{k-1} \mu(P - p; Q - q) \geq 0$$

$\square$

REMARK 10.8. If either  $(-1)^k \mu(P; Q) > 0$  or  $(-1)^{k-1} \mu(P - p; Q - q) > 0$ , then  $(-1)^k \mu'(P; Q) > 0$ ; otherwise  $\mu'(P; Q) = 0$ . Thus the signs of the circular determinants in  $M'$  are determined by the signs of the circular determinants in  $M$ .

LEMMA 10.9. *Suppose  $M \in \Omega_n$ , and  $\xi > 0$ . Let  $P_\xi(M)$  be the matrix constructed in §8. Then  $P_\xi(M) \in \Omega_{n+1}$ .*

*Proof.* Let  $M' = P_\xi(M)$ , and let  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$  be a circular pair of indices from the set  $(0, 1, \dots, n)$ .

$$(1) \text{ If } 0 \notin P \cup Q, \text{ then } \mu'(P; Q) = \mu(P; Q).$$

$$(2) \text{ If } 0 \in P \text{ and } 1 \notin Q, \text{ then } \mu'(P; Q) = 0.$$

(3) If  $0 \in P$  and  $1 \in Q$ , then  $0 = p_k$ ,  $1 = q_k$ , and  $\mu'(P; Q) = -\xi\mu(P - p_k; Q - q_k)$ .

(4) The situation is similar if  $0 \in Q$ .  $\square$

LEMMA 10.10. *Suppose  $M \in \Omega_n$ , and  $\xi > 0$ . Let  $S_\xi(M)$  be the matrix constructed in §8. Then  $S_\xi(M) \in \Omega_n$ .*

*Proof.* Let  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$  be a circular pair of indices. Let  $p$  be the index where the adjunction is made (see Remark 8.1). By interchanging  $P$  and  $Q$  if necessary, and by a circular re-labelling of the indices, we may assume that  $1 \leq p < q_k$  in the circular order. Let  $M' = S_\xi(M)$ .

(1) If  $p \in P$ , then the formula for  $S_\xi(M)$ , shows that

$$\mu'(P; Q) = \left( \frac{\xi}{\xi + m_{p,p}} \right) \mu(P; Q)$$

(2) Suppose that  $p \notin P$  and  $(-1)^k \mu(P; Q) > 0$ . Then  $(-1)^k S_\xi(M)(P; Q) > 0$ , by Remark 10.5.

(3) Suppose that  $p \notin P$ ,  $\mu(P; Q) = 0$ , and  $\mu(P - p_j + p; Q) = 0$ , for all  $1 \leq j \leq k$ . Then the proof of Lemma 10.2 shows that  $\mu'(P; Q) = 0$ .

(4) Finally, suppose that  $p \notin P$ , that  $\mu(P; Q) = 0$ , and that  $\mu(P - p_j + p; Q) \neq 0$  for some  $j$  with  $1 \leq j \leq k$ . Let  $B = (b_1, \dots, b_{k+1})$  be the set  $P \cup p$  with the circular ordering. That is,  $p = b_s$  for some  $s$ , and  $p_j = b_t$  for some  $t$ , and WLOG, may assume  $s < t$ .  $P_\xi(M)(B, b_s + Q)$  and  $M(B, b_s + Q)$  differ only at the  $(s, 1)$  position, and the cofactor of that entry is  $\mu(P; Q)$ , assumed to be 0. Therefore,

$$\det P_\xi(M)(B; b_s + Q) = \mu(B; b_s + Q)$$

Recall that  $S_\xi(M)$  is the Schur complement of  $P_\xi(M)$  with respect to the entry  $m_{p,p} + \xi$ , which is in the  $(s, 1)$  position of  $P_\xi(M)(B; b_s + Q)$ . Then

$$\begin{aligned} (-1)^{s+1}(m_{p,p} + \xi) \cdot \mu'(P; Q) &= \det P_\xi(M)(B; b_s + Q) \\ &= \mu(B; b_s + Q) \\ &= (-1)^s \frac{\mu(B - b_t; Q) \cdot \mu(B_0; b_s + Q_0)}{\mu(B_0 - b_t; Q_0)} \end{aligned}$$

The last equality uses Lemma 10.6. Thus  $(-1)^k M'(P; Q) \geq 0$  and if  $\mu(B_0; b_s + Q_0) \neq 0$ , then  $(-1)^k M'(P; Q) > 0$ .  $\square$

REMARK 10.11. (1) and (2) show that if  $(-1)^k \mu(P; Q) > 0$ , then  $(-1)^k \mu'(P; Q) > 0$ . Together with (3) and (4), this shows that the signs of the circular determinants in  $M'$  are determined by the signs of the circular determinants in  $M$ .

LEMMA 10.12. *Let  $\Gamma$  be a circular planar graph with  $n$  boundary nodes.*

(1) Suppose a boundary edge  $pq$  is adjoined to  $\Gamma$ , as in §8. Let  $\Gamma' = \mathcal{T}_\xi(\Gamma)$  and  $\pi' = \pi(\Gamma')$ . If  $M \in \Omega(\pi)$ , then  $T_\xi(M) \in \Omega(\pi')$ .

(2) Suppose a boundary spike  $rp$  is adjoined to  $\Gamma$  at node  $p$ , without interiorizing as in §8. Let  $\Gamma' = \mathcal{P}_\xi(\Gamma)$  and  $\pi' = \pi(\Gamma')$ . If  $M \in \Omega(\pi)$ , then  $P_\xi(M) \in \Omega(\pi')$ .

(3) Suppose  $p$  is a boundary node of  $\Gamma$ , and a boundary spike  $rp$  is adjoined with  $p$  then declared interior, as in §8. Let  $\Gamma' = \mathcal{S}_\xi(\Gamma)$  and  $\pi' = \pi(\Gamma')$ . If  $M \in \Omega(\pi)$ , then  $S_\xi(M) \in \Omega(\pi')$ .

*Proof.* The three processes are similar, so for definiteness, suppose that the operation is  $\mathcal{S}_\xi$ . Let  $\gamma$  be an arbitrary conductivity on  $\Gamma$ . By §8, statement (1) is true if  $M = \Lambda(\Gamma, \gamma)$ . Next, suppose  $M$  is any matrix in  $\Omega(\pi)$ , and let  $M' = S_\xi(M)$ . By Remark 10.11, the signs of the circular determinants in  $M'$  are determined by the signs of the circular determinants in  $M$ . Hence they have the same signs as the circular determinants in  $S_\xi(\Lambda(\Gamma, \gamma))$ . Since  $S_\xi(\Lambda(\Gamma, \gamma)) \in \Omega(\pi')$ , we have  $M' \in \Omega(\pi')$  also.  $\square$

**11. Removing edges.** Suppose that  $\Gamma$  is a circular planar graph with  $n$  boundary nodes. Recall from §1, that there are two ways to remove an edge from  $\Gamma$  called deletion and contraction. In either case the new graph will be a circular planar graph with  $n$  boundary nodes.

LEMMA 11.1. *Suppose  $\Gamma$  is a critical circular planar graph and  $pq$  is a boundary edge. Let  $\Gamma_1$  be the graph obtained after deletion of  $pq$ . Then  $\Gamma_1$  is also critical.*

*Proof.* Let  $e \neq pq$  be an edge in  $\Gamma$ . Since  $\Gamma$  is critical, removal of  $e$  will break some connection in  $\Gamma$ . If this connection also exists in  $\Gamma_1$ , then removal of  $e$  from  $\Gamma_1$  breaks this connection in  $\Gamma_1$ . Suppose that removal of  $e$  from  $\Gamma$  breaks a connection  $(P; Q)$  that is not present in  $\Gamma_1$ . This connection must use the edge  $pq$ , so  $(P; Q)$  has the form  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$ , where  $p_k = p$  and  $q_k = q$ . Thus removal of  $e$  breaks the connection of  $(P'; Q') = (p_1, \dots, p_{k-1}; q_1, \dots, q_{k-1})$  in  $\Gamma_1$ .  $\square$

LEMMA 11.2. *Suppose  $\Gamma$  is a critical circular planar graph with a boundary spike  $rp$  where  $r$  is a boundary node of  $\Gamma$ . Let  $\Gamma_1$  be the graph obtained after contracting  $rp$  to  $p$ . Then  $\Gamma_1$  is also critical.*

*Proof.* Let  $e$  be an edge in  $\Gamma$  with  $e \neq pr$ . Let  $\Gamma'$  be the graph with  $e$  removed, either by deletion or contraction. Similarly, let  $\Gamma'_1$  be the graph  $\Gamma_1$  with  $e$  removed. Let  $\gamma$  be a conductivity on  $\Gamma$ , and by restriction  $\gamma$  gives a conductivity on  $\Gamma_1$ ,  $\Gamma'$  and  $\Gamma'_1$ . Let  $(P; Q)$  be a pair of sequences of boundary nodes. Then  $\lambda(P; Q)$ ,  $\lambda'(P; Q)$ ,  $\lambda_1(P; Q)$  and  $\lambda'_1(P; Q)$  will denote the subdeterminants of  $\Lambda(\Gamma)$ ,  $\Lambda(\Gamma')$ ,  $\Lambda(\Gamma_1)$  and  $\Lambda(\Gamma'_1)$  respectively.

Suppose that removal of  $e$  breaks a connection in  $\Gamma$  that persists in  $\Gamma_1$ . Then removal of  $e$  from  $\Gamma_1$  breaks the same connection in  $\Gamma_1$ .

Suppose removal of  $e$  from  $\Gamma$  breaks a connection  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$  in  $\Gamma$  which does not persist in  $\Gamma_1$ . Then  $r \notin P \cup Q$ . WLOG, assume that  $q_1 < p < q_k$  in the circular order around  $\Gamma_1$ . Let  $B = (b_1, \dots, b_{k+1})$  be the set  $P \cup p$  with the circular ordering around the boundary of  $\Gamma_1$ , and suppose  $p = b_s$ . The assumptions that  $\lambda(P; Q) \neq 0$  and  $\lambda_1(P; Q) = 0$  imply that each connection from  $Q$  to  $P$  through  $\Gamma$  must use  $p = b_s$ . Such a connection either connects  $q_{s-1}$  to  $b_{s-1}$  through  $b_s$  or connects  $q_s$  to  $b_{s+1}$  through  $b_s$ . WLOG, assume the latter. Let  $B_0 = (b_{s+1}, \dots, b_{k+1})$ , and  $Q_0 = (q_{s+1}, \dots, q_k)$ . Hence  $\lambda_1(B - b_{s+1}; Q) \neq 0$  and  $\lambda_1(B_0; b_s + Q_0) \neq 0$ . Both  $(B - b_{s+1}; Q)$  and  $(B_0; b_s + Q_0)$  are circular pairs. Suppose removal of  $e$  from  $\Gamma_1$  does not break either connection. Then  $\lambda'_1(B - b_{s+1}; Q) \neq 0$  and  $\lambda'_1(B_0; b_s + Q_0) \neq 0$ . We have assumed  $\lambda_1(P; Q) = 0$ ; that is  $\lambda_1(B - b_s; Q) = 0$ . Hence  $\lambda'_1(B - b_s; Q) = 0$ . By Lemma 10.6, with  $t = s + 1$ ,

$$\begin{aligned} \lambda'_1(p + P; p + Q) &= (-1)^{s-1} \lambda'_1(B; b_s + Q) \\ &= -\frac{\lambda'_1(B - b_{s+1}; Q) \lambda'_1(B_0; b_s + Q_0)}{\lambda'_1(B_0 - b_{s+1}; Q_0)} \\ &\neq 0 \end{aligned}$$

Let  $\xi = \gamma(pr)$ . Then  $\Lambda'$  is the Schur complement of  $P_\xi(\Lambda'_1)$  with respect to the entry  $\Lambda'_1(p, p) + \xi$ . Part (4) of the proof of Lemma 10.10 shows that  $\lambda'(P; Q) \neq 0$ . This would contradict the assumption that removal of  $e$  from  $\Gamma$  breaks the connection  $(P; Q)$ .  $\square$

**LEMMA 11.3.** *Suppose  $\Gamma$  is a non-trivial circular planar graph for which  $\mathcal{M}(\Gamma)$  is lensless. Then  $\Gamma$  has either a boundary edge or a boundary spike.*

*Proof.* Refer to §7 for the notation. Let  $t$  be a number in the  $z$ -sequence for  $\mathcal{M}(\Gamma)$  such that there are no repetitions of any other number between two occurrences of  $t$ . WLOG, assume that  $t = 1$ , so that a portion of the  $z$ -sequence is

$$1, 2, \dots, m, 1, z_{m+2}, \dots$$

Let  $h$  be the portion of the outer circle  $C$  for  $\Gamma$  which lies between  $x_1$  and  $y_1$ . Then  $h$  contains the points  $x_2, \dots, x_m$ . Consider  $h, g_1$  and the family  $\{g_2, \dots, g_m\}$ . The proof of Lemma 6.2 shows that there is a triangle  $T$  formed by  $h$  and two of the geodesics from the set  $\{g_1, \dots, g_m\}$ . The triangle  $T$  in  $\mathcal{M}(\Gamma)$  corresponds in  $\Gamma$  either to a boundary spike (if there is a vertex of  $\Gamma$  inside  $T$ ), or to a boundary to boundary edge (if there is no vertex of  $\Gamma$  inside  $T$ ).  $\square$

Lemmas 11.3, 11.1 and 11.2, together with Corollaries 4.3 and 4.4 show that there is an algorithm for calculating the conductivity of any critical circular planar graph.

## 12. Surjectivity.

**THEOREM 12.1.** *Suppose  $\Gamma$  is a critical circular planar graph with  $n$  boundary nodes and  $\pi = \pi(\Gamma)$ . Let  $M$  be any matrix in  $\Omega(\pi)$ . Then there is a conductivity  $\gamma$  on  $\Gamma$  with  $\Lambda(\Gamma, \gamma) = M$ .*

*Proof.* of Theorem 12.1. We first consider the case where  $n = 4m + 3$  and the  $z$ -sequence for the medial graph  $\mathcal{M}(\Gamma)$  is  $1, \dots, n, 1, \dots, n$ . Corollary 7.4 shows that  $\Gamma$  is  $Y - \Delta$  equivalent to the graph  $C(m, n)$  of [7]. By Theorem 6.2 of [7] there is a conductivity  $\gamma'$  on  $C(m, n)$  with  $\Lambda(C(m, n), \gamma') = M$ . By Lemma 5.3, there is a conductivity  $\gamma$  on  $\Gamma$  with  $\Lambda(\Gamma, \gamma) = M$ .

Next suppose  $(\Gamma, \gamma)$  is any connected critical circular planar resistor network with  $n$  boundary nodes. If  $n$  is not of the form  $4m + 3$ , first adjoin 1, 2, or 3 boundary spikes without interiorizing as in §8, to obtain a resistor network which does have  $4m + 3$  boundary nodes. Combining this with Lemma 9.2, we obtain a sequence of circular planar resistor networks  $\Gamma = \Gamma_0, \Gamma_1, \Gamma_2, \dots, \Gamma_k$ , where  $\Gamma_k$  is a graph with  $4m + 3$  boundary nodes, and which is  $Y - \Delta$  equivalent to  $\Sigma_{4m+3}$ . Each  $\Gamma_{i+1}$  is obtained from  $\Gamma_i$  by one of the operations  $\mathcal{T}, \mathcal{P}$  or  $\mathcal{S}$ . For each  $i = 0, 1, \dots, k$ , let  $\pi_i = \pi(\Gamma_i)$ . Given a matrix  $M$  in  $\Omega(\pi)$ , there is an analogous sequence of matrices  $M = M_0, M_1, \dots, M_k$ , where each matrix  $M_{i+1}$  is obtained from  $M_i$  by one of the operations  $M_{i+1} = T_\xi(M_i)$ ,  $M_{i+1} = P_\xi(M_i)$  or  $M_{i+1} = S_\xi(M_i)$ .

Let  $\sigma_n$  denote the set of connections in a well-connected circular planar graph with  $n$  boundary nodes. By Lemma 5.1 and Proposition 7.3,  $\pi(\Sigma_n) = \sigma_n$ . By Lemma 10.12,  $M_k \in \Omega(\sigma_n)$ . Using the first part of the proof, there is a conductivity  $\gamma_k$  on  $\Gamma_k$  so that  $\Lambda(\Gamma_k, \gamma_k) = M_k$ . The graph  $\Gamma_k$  is obtained from  $\Gamma_{k-1}$  by one of the operations  $\mathcal{T}, \mathcal{P}$  or  $\mathcal{S}$ . The processes are similar, so for definiteness, suppose that the operation is  $\mathcal{S}_\xi$  and  $M_k = S_\xi(M_{k-1})$ .

In going from  $\Gamma_k$  to  $\Gamma_{k-1}$ , removal of the spike breaks a connection in  $\Gamma_k$ . By Lemma 4.4, the value of this spike can be calculated as the ratio of two non-zero sub-determinants of  $\Lambda(\Gamma_k) = M_k$ . Moreover, the computed value is the same as the value  $\xi$  that was used to construct  $M_k$  from  $M_{k-1}$ . By §11, removal of the spike with conductivity  $\xi$  from  $\Gamma_k$  results in a critical graph  $\Gamma_{k-1}$ , with  $\Lambda(\Gamma_{k-1}) = M_{k-1}$ . Continuing the argument on  $\Gamma_{k-1}, \dots, \Gamma_0 = \Gamma$ , we find that  $\Lambda(\Gamma) = M$ .  $\square$

*Proof.* of Theorem 4. As in the proof of Theorem 12.1, there is a sequence of the operations  $\mathcal{T}, \mathcal{P}$ , and  $\mathcal{S}$  which, when applied to the graph  $\Gamma$ , give a graph  $\Gamma_k$  which is  $Y - \Delta$  equivalent to the graph  $C(m, 4m + 3)$  of [7]. Let  $\mathcal{U}$  be the composite of these operations, and let  $U$  be the composite of the corresponding operations  $T, P$  and  $S$  applied to the matrix  $\Lambda(\Gamma, \gamma)$ . With an ordering of the  $N$  edges in  $\Gamma$ , the conductivity  $\gamma$  is represented by a point in  $(R^+)^N$ . Similarly, with an ordering of the  $N_k$  edges in  $\Gamma_k$ , the conductivity  $\gamma_k$  is represented by a point in  $(R^+)^{N_k}$ . Let  $\pi = \pi(\Gamma)$  and  $\pi_k = \pi(\Gamma_k)$ . With these conventions, there is a commutative diagram:

$$\begin{array}{ccc}
(R^+)^N & \xrightarrow{\mathcal{U}} & (R^+)^{N_k} \\
\downarrow \Lambda & & \downarrow \Lambda_k \\
\Omega(\pi) & \xrightarrow{U} & \Omega(\pi_k)
\end{array}$$

FIG 4

By Theorem 12.1, the map  $\Lambda$  is surjective. By Theorems 4.2 and 5.2 of [7], the map  $\Lambda_k$  is a diffeomorphism. For the differentials, we have

$$d\Lambda_k \circ d\mathcal{U} = dU \circ d\Lambda$$

Since  $d\Lambda_k$  and  $d\mathcal{U}$  are 1-1,  $d\Lambda$  is 1-1. By Theorem 2,  $\Lambda$  is 1-1.  $\mathcal{U}^{-1}$  is the inverse of  $\mathcal{U}$  which is well-defined and continuous on its image in  $(R^+)^{N_k}$ . Then

$$\Lambda^{-1} = \mathcal{U}^{-1} \circ \Lambda_k^{-1} \circ U$$

Thus  $\Lambda^{-1}$  is continuous. It follows that  $\Lambda$  is a diffeomorphism of  $(R^+)^N$  onto  $\Omega(\pi)$ .  $\square$

LEMMA 12.2. *Suppose  $M \in \Omega_n$ , with at least one circular determinant equal to 0. Let  $\epsilon > 0$  be given. Then there is a matrix  $M' \in \Omega_n$ , with  $\|M' - M\|_\infty < \epsilon$ , and*

$$(1) \mu'(P; Q) \neq 0 \quad \text{whenever} \quad \mu(P; Q) \neq 0$$

$$(2) \text{For at least one circular pair } (P; Q), \mu(P; Q) = 0 \text{ and } \mu'(P; Q) \neq 0.$$

*Proof.* As in §10,  $\mu(P; Q)$  stands for  $\det M(P; Q)$  and  $\mu'(P; Q)$  stands for  $\det M'(P; Q)$ . Let  $(P; Q) = (p_1, \dots, p_k; q_1, \dots, q_k)$  be a circular pair of indices for which the minor  $M(P; Q)$  has determinant 0, has minimum order  $k$ , and for which  $q_k - p_k$  is a minimum.

(1) If  $q_k - p_k = 1$ , let  $M' = T_\xi(M)$ , where the chosen indices are  $p_k$  and  $q_k$ . By Remark 10.8,  $\mu'(P; Q) \neq 0$ . Also by Remark 10.8,  $\mu'(R; S) \neq 0$  whenever  $(R; S)$  is a circular pair for which  $\mu(R; S) \neq 0$ . If  $\xi$  is sufficiently small, then  $\|M' - M\|_\infty < \epsilon$ .

(2) If  $q_k - p_k > 1$ , let  $p = p_k + 1$  and  $M' = S_\xi(M)$  where the chosen index is  $p$ . By Remark 10.11,  $\mu'(R; S) \neq 0$  whenever  $(R; S)$  is a circular pair for which  $\mu(R; S) \neq 0$ . Dodgson's identity (Lemma 2.1) gives

$$\begin{aligned}
\mu(P + p; Q + p) \cdot \mu(P - p_k; Q - q_k) = \\
\mu(P - p_k + p; Q - q_k + p) \cdot \mu(P; Q) - \mu(P - p_k + p; Q) \cdot \mu(P; Q - q_k + p)
\end{aligned}$$

Using the assumption  $\mu(P; Q) = 0$ , we have

$$(3) \quad \mu(P + p; Q + p) = -\frac{\mu(P - p_k + p; Q) \cdot \mu(P; Q - q_k + p)}{\mu(P - p_k; Q - q_k)}$$

Each of the factors on the RHS of (3) is non-zero because of the assumption of the minimality of  $(P; Q)$ . Therefore  $\mu'(P; Q) \neq 0$ . If  $\xi$  is taken sufficiently large, then  $\|M' - M\|_\infty < \epsilon$ .  $\square$

*Proof.* of Theorem 3. Recall from §7 the graph  $\Sigma_n = (V, V_B, E)$ , with  $n$  boundary nodes, and let  $\sigma = \pi(\Sigma_n)$ . Since  $\Sigma_n$  is well-connected,  $\Omega(\sigma)$  is the subset of  $\Omega_n$ , consisting of those  $M$  which satisfy  $(-1)^k \det M(P; Q) > 0$  for each  $k \times k$  circular subdeterminant of  $M$ .

Lemma 12.2 implies that  $\Omega_n$  is the closure of  $\Omega(\sigma)$  in the space of  $n \times n$  matrices. Thus for any  $M \in \Omega_n$ , there is a sequence of matrices  $M_i \in \Omega(\sigma)$  which converge to  $M$ . Theorem 4 shows that for each integer  $i$ , there is a conductivity  $\gamma_i$  on  $\Sigma_n$  with  $M_i = \Lambda(\Sigma_n, \gamma_i)$ . By taking a subsequence if necessary, we may assume for each edge  $e \in E$  that  $\lim_{i \rightarrow \infty} \gamma_i(e)$  is either 0, a finite non-zero value or  $\infty$ .

Let  $E_0$  be the subset of  $E$  for which  $\lim_{i \rightarrow \infty} \gamma_i(e) = 0$ .

Let  $E_1$  be the subset of  $E$  for which  $\lim_{i \rightarrow \infty} \gamma_i(e) = \gamma(e)$  is a finite non-zero value.

Let  $E_\infty$  be the subset of  $E$  for which  $\lim_{i \rightarrow \infty} \gamma_i(e) = \infty$ .

Let  $\Gamma = (W, V_B, E_1)$  be the graph obtained from  $\Sigma_n = (V, V_B, E)$  by deleting the edges of  $E_0$  and contracting each edge of  $E_\infty$  to a point. The vertex set  $W$  for  $\Gamma$  is the set of equivalence classes of vertices in  $V$ , where  $p \sim q$  if  $pq \in E_\infty$ . Note that distinct boundary nodes of  $V_B$  cannot belong to the same equivalence class, because the  $M_i$  are bounded. Thus we may consider  $V_B$  as a subset of  $W$ . Each edge  $e \in E_1$  joins a pair of points of  $W$ , so the edgeset of  $\Gamma$  is  $E_1$ . The restrictions of  $\gamma_i$  and  $\gamma$  to  $E_1$  give conductivities on  $\Gamma$ . We shall show that  $M = \Lambda(\Gamma, \gamma)$ .

Suppose  $f$  is a function defined on the set of boundary nodes  $V_B$  of  $\Gamma$ . Let

$$Q(f) = \inf \sum_{e \in E_1} \gamma(e) (\Delta w(e))^2$$

where  $\Delta w(pq) = w(p) - w(q)$ , and the infimum is taken over all functions  $w$  defined on the nodes of  $\Gamma$  which agree with  $f$  on  $V_B$ . This infimum is attained when  $w = u$  is the potential function on the resistor network  $(\Gamma, \gamma)$ , with boundary values  $f$ . Similarly, for each integer  $i$ , let

$$Q_i(f) = \inf \sum_{e \in E_1} \gamma_i(e) (\Delta w(e))^2$$

This infimum is attained when  $w = u_i$  is the potential function on  $(\Gamma, \gamma_i)$  with boundary values  $f$ . Then  $\lim_{i \rightarrow \infty} u_i = u$ , because the  $\gamma_i$  and  $\gamma$  are conductivities (non-zero, and finite) on  $\Gamma$ , with  $\lim_{i \rightarrow \infty} \gamma_i = \gamma$ . Therefore  $Q(f) = \lim_{i \rightarrow \infty} Q_i(f)$



For each integer  $i$ , let

$$S_i(f) = \inf \sum_{e \in E} \gamma_i(e) (\Delta w(e))^2$$

where the infimum is taken over all functions  $w$  defined on the nodes of  $\Sigma_n$  which agree with  $f$  on  $V_B$ . This infimum is attained when  $w = w_i$  is the potential function on the resistor network  $(\Sigma_n, \gamma_i)$ , with boundary values  $f$ . The maximum principle implies that  $|w_i(p)| \leq \max |f(p)|$ . By taking a subsequence if necessary, we may assume that for each node  $p$ ,  $w_i(p)$  converges to a finite value  $w(p)$ . The assumption that the  $M_i$  converge to  $M$  guarantees that for each function  $f$ , the  $S_i(f)$  are bounded. Thus for each edge  $e = pq \in E_\infty$ , we have  $w(p) = w(q)$ . Let

$$R_i(f) = \sum_{e \in E_1} \gamma_i(e) (\Delta w_i(e))^2$$

and

$$R(f) = \lim_{i \rightarrow \infty} R_i(f) = \sum_{e \in E_1} \gamma(e) (\Delta w(e))^2$$

Let  $\mathcal{F}$  be the set of functions  $v = \{v(p)\}$  defined for all nodes of  $\Sigma_n$ , which agree with  $f$  on  $V_B$ , and for which  $v(p) = v(q)$  whenever  $pq \in E_\infty$ . Let

$$P_i(f) = \inf_{v \in \mathcal{F}} \sum_{e \in E} \gamma_i(e) (\Delta v(e))^2$$

We have

$$P_i(f) \geq S_i(f) \geq R_i(f)$$

and

$$Q_i(f) + \sum_{e \in E_0} \gamma_i(e) (\Delta u_i(e))^2 \geq P_i(f) \geq Q_i(f)$$

The maximum principle implies that the  $|u_i(p)|$  are bounded by  $\max |f(p)|$ . For each edge  $e \in E_0$ , we have  $\lim_{i \rightarrow \infty} \gamma_i(e) = 0$ , so

$$Q(f) = \lim_{i \rightarrow \infty} Q_i(f) = \lim_{i \rightarrow \infty} P_i(f) \geq \lim_{i \rightarrow \infty} R_i(f) = R(f)$$

But  $R(f) \geq Q(f)$ , so  $R(f) = Q(f)$ . Thus

$$\lim_{i \rightarrow \infty} S_i(f) = Q(f) = \lim_{i \rightarrow \infty} \langle f, M_i(f) \rangle = \langle f, M(f) \rangle$$

□

### 13. Equivalence.

LEMMA 13.1. *Suppose that  $\Gamma$  is a circular planar graph. Then  $\Gamma$  is critical if and only if the medial graph  $\mathcal{M}(\Gamma)$  is lensless.*

*Proof.* Lemma 6.4, shows that if  $\Gamma$  is critical, then  $\mathcal{M}(\Gamma)$  is lensless. Conversely, suppose  $\mathcal{M}(\Gamma)$  is lensless. Let  $z = z_1 z_2 \cdots z_{2n}$  be the  $z$ -sequence for  $\mathcal{M}(\Gamma)$  as in §8. If  $z = 1, \dots, n, 1, \dots, n$ , then  $\Gamma$  is  $Y - \Delta$  equivalent to the graph  $\Sigma_n$  of §8, which is critical and well-connected. Suppose that  $z$  is not the sequence  $1, \dots, n, 1, \dots, n$ . By Lemma 9.2, there is a sequence of graphs  $\Gamma_0, \Gamma_1, \dots, \Gamma_k$ , where  $\Gamma_0 = \Gamma$ , each  $\Gamma_{i+1}$  is obtained from  $\Gamma_i$  by adjoining a boundary edge or a boundary spike, and  $\Gamma_k$  is  $Y - \Delta$  equivalent to the standard graph  $\Sigma_n$ . By Lemmas 5.2 and 7.3,  $\Gamma_k$  is critical. By Lemmas 11.1 and 11.2, each of the graphs  $\Gamma_{k-1}, \Gamma_{k-2}, \dots, \Gamma_0$  is critical; in particular,  $\Gamma = \Gamma_0$  is critical.  $\square$

LEMMA 13.2. *A circular planar graph  $\Gamma$  is recoverable if and only if it is critical.*

*Proof.* By Theorem 2, if  $\Gamma$  is critical, then  $\Gamma$  is recoverable. Suppose that  $\Gamma$  is not critical. By Lemma 13.1,  $\mathcal{M}(\Gamma)$  has a lens. By 6.3,  $\Gamma$  is  $Y - \Delta$  equivalent to a graph  $\Gamma'$  with two edges in parallel or two edges in series.  $\Gamma'$  cannot be recoverable, so by Lemma 5.4,  $\Gamma$  is not recoverable either.  $\square$

*Proof.* of Theorem 1. Suppose that  $\Gamma_1$  and  $\Gamma_2$  are two critical circular planar graphs with  $\pi(\Gamma_1) = \pi(\Gamma_2)$ . Let conductivities be put on both  $\Gamma_1$  and  $\Gamma_2$ . By Lemma 9.2, and Lemma 13.1, there is a sequence of critical graphs  $\Gamma_1 = F_0, F_1, \dots, F_k$ , each  $F_{i+1}$  is obtained from  $F_i$  by adjoining a boundary edge or a boundary spike, and  $F_k$  is  $Y - \Delta$  equivalent to  $\Sigma_n$ . We perform the same operations on  $\Gamma_2$  to produce a sequence  $\Gamma_2 = H_0, H_1, \dots, H_k$ . For each  $i$ , let  $\pi_i = \pi(F_i)$ . We apply the results of §8 and §12 to conclude that  $\Lambda(H_1) \in \Omega(\pi_1)$ . Hence  $\pi(H_1) = \pi(F_1)$ . Continuing, we see that  $\pi(H_i) = \pi(F_i)$  for  $i = 1, 2, \dots, k$ . Each  $F_{i+1}$  has more connections than  $F_i$ , so each  $H_{i+1}$  has more connections than  $H_i$ . By Corollaries 4.3 and 4.4, the edge adjoined to  $H_i$  is recoverable. Working back from  $H_k$  to  $H_0$  which is critical and hence recoverable, we find that each  $H_k$  is recoverable, and hence critical.

Suppose the  $z$ -sequence for  $H_k$  were not  $1, \dots, n, 1, \dots, n$ . Then a boundary edge or boundary spike could be adjoined to  $H_k$  to give another graph  $H_{k+1}$  with more connections than  $H_k$ . But  $\pi(H_k) = \pi(F_k)$  which is the maximal set of connections for circular planar graphs with  $n$  boundary nodes, so the  $z$ -sequence for  $M(H_k)$  is  $1, \dots, n, 1, \dots, n$ .

The process of going from  $F_k$  to  $F_0 = \Gamma_1$  by removing edges is the same as going from  $H_k$  to  $H_0 = \Gamma_2$ . Each step of this process preserves equality of the  $z$ -sequences of the medial graphs  $\mathcal{M}(F_i)$  and  $\mathcal{M}(H_i)$ . Thus  $\mathcal{M}(\Gamma_1)$  and  $\mathcal{M}(\Gamma_2)$  have the same  $z$ -sequence, and by Lemma 7.2 are  $Y - \Delta$  equivalent.  $\square$

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