

# Gap theorem

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**Theorem 1.** *Let  $p_k$  be a sequence of positive integers and let  $m$  be a positive integer such that  $mp_{k+1} > (m+1)p_k$ . Suppose the series  $f(z) = \sum a_{p_k} z^{p_k}$  has radius of convergence 1. Then there is no point on the circle of convergence where  $f$  can be extended to be analytic.*

*Proof.* We can suppose that  $f$  can be extended to be analytic at 1. Let  $D$  be the unit disk. There is an open set  $W$  which contains 1 and  $D$  on which  $f$  is analytic. Let  $h(w) = \frac{w^m + w^{m+1}}{2}$ . There is an open set  $D_\delta$  of radius  $1 + \delta$  on which  $h$  is analytic and which maps into  $W$ . So  $g(w) = f(h(w))$  has a power series expansion  $g(w) = \sum c_k w^k$ . If  $z = h(w)$  with  $|z| < 1$ , then  $g(w) = \sum a_{p_k} (h(w))^{p_k} = \sum c_k w^k$ . This is true for an open set of  $w$ . Hence the two power series must be identical. So the coefficients are the same. Let  $s_k(z) = \sum_0^k a_n z^n$ . So it is a formal algebraic fact that the coefficients of  $\sum a_{p_k} (h(w))^{p_k}$  and  $\sum c_k w^k$  are the same. This is better than saying the values are the same – the formally algebraically computed coefficients are the same. Now if we can prove that  $s_k(z)$  converges to a limit for some  $|z| > 1$  we will have a contradiction since this will imply that the radius of convergence of  $f$  is larger than 1. But it is sufficient to prove that  $s_{p_k}(z)$  converges since the gaps stabilize the sum  $s_k$  between the  $p_k$ 's. ( $s_n = s_{p_k}$  when  $p_k \leq n < p_{k+1}$ .)

$$s_{p_k}(z) = s_{p_k}(h(w)) = \sum_{n=0}^{(m+1)p_k} c_n w^n, \quad z = h(w).$$

This is formally true. There is nothing coming later that can contribute to the sum. The right hand side has a limit since  $\sum c_k w^k$  converges. And this is true for some  $w$  such that  $|z| > 1$ .

Comment: Is  $\sum_{n=0}^{p_k} a_n (h(w))^n$  a partial sum of  $\sum c_n w^n$ ? Yes, when there are gaps it is  $\sum_{n=0}^{(m+1)p_k} c_n w^n$ , and since the latter converges even when  $|z| = |h(w)| > 1$ , we have a contradiction.  $\square$