

LECTURE 4-19

Continuing with Chapter 6 of Eisenbud, we now investigate flatness in general. To do this we need to study tensor products in more detail. Let M, N be R -modules with N generated by a set $\{n_i\}$ of elements. We know that every element of $M \otimes N$ may be written as a finite sum $\sum_i m_i \otimes n_i$ with $m_i \in M$. Then we claim that *such a sum is 0 if and only if there are elements m'_j in M and elements $a_{ij} \in R$ such that $\sum_j a_{ij} m'_j = m_i$ for all i and $\sum_i a_{ij} n_i = 0$ for all j* . Indeed, if elements a_{ij} with these properties exist, then $\sum_i m_i \otimes n_i = \sum_i (\sum_j a_{ij} m'_j) \otimes n_i = \sum_j m'_j (\sum_i a_{ij} n_i) = 0$. For the converse, suppose first that N is free and the n_i form a basis; then the result is immediate since $M \otimes N$ is isomorphic to a direct sum of copies of M , one for each n_i , and the sum $\sum_i m_i \otimes n_i$ corresponds to the tuple (m_1, \dots, m_2, \dots) in this isomorphism. In general, there are free modules F, G over R , an exact sequence $F \rightarrow G \rightarrow N \rightarrow 0$, and elements $g_i \in G$ mapping to n_i for all i . Then $\sum_i m_i \otimes g_i$ goes to 0 in this sequence, whence $\sum_i m_i \otimes g_i = \sum_j m'_j \otimes y_j$ with y_j in the image of F (so going to 0 in G). Writing each y_j as a combination $\sum_i a_{ij} g_j$ of basis elements g_j and applying the argument above to the difference $\sum_i m_i \otimes g_i - \sum_j a_{ij} m'_j \otimes g_j$ we deduce that $m_i = \sum_j a_{ij} m'_j$ while $\sum_i a_{ij} n_i = 0$, as required. From this we get the *equational criterion for flatness*: *an R -module M is flat if and only if for every relation $\sum_i n_i m_i = 0$ with $n_i \in R, m_i \in M$ there are elements $m'_j \in M$ and $a_{ij} \in R$ with $\sum_j a_{ij} m'_j = m_i$ for all i and $\sum_i a_{ij} n_i = 0$ for all j* ; this follows because the image of $\sum_i n_i \otimes m_i$ in the tensor product $I \otimes M$ of an ideal I of R and M is 0 under the multiplication map if and only if $\sum_i n_i m_i = 0$.

We can express this last condition by a commutative diagram. An R -module M is flat if and only if for every map β from a finitely generated free module F to M and for every submodule K of $\ker \beta$ generated by one element, there is a free module G , a map $\gamma : F \rightarrow G$, and a map $\pi : G \rightarrow M$ such that $\pi\gamma = \beta$ and $K \subset \ker \gamma$. The same holds for a submodule K generated by finitely many elements. Finally, a finitely presented module is flat if and only if it is a summand of a free module, or equivalently it is projective. Indeed, an element f in the kernel of a map from a free module F to M is a relation among the images m_j of the basis elements of F ; the elements m'_j of the Equational Criterion for Flatness correspond to a map from another free module G taking the generators of G to the m'_j . A matrix with entries a_{ij} such that $\sum_j a_{ij} m'_j = 0$ corresponds to a map γ making the diagram commute. The condition that $\sum_i a_{ij} n_i = 0$ in R for all j then says that $\gamma(f) = 0$, as required. If the map γ exists with kernel containing one element of K , then composing it with other maps γ' from G to itself killing other elements lying in the kernel of β and thus also the kernel of the composition $\pi\gamma$, we arrive at a new γ with the required properties. Finally, if M is finitely presented and flat then there is a surjection $\beta : F \rightarrow M$ from a finitely generated free module F to M whose kernel K is finitely generated. Letting γ, π be as above, so that K lies in the kernel of γ , we find that the image of γ is sent isomorphically to M by π , so that π splits and M is a summand of G , as desired.

As another corollary, let k be a field, $R = k[t]$ the polynomial ring in one variable over k , and let S be a Noetherian ring flat over R . If the fiber S/tS over (t) is a domain, and U is the set of elements of the form $1 - ts$ for $s \in S$, then the localization S_U of S by U is a domain. To prove this we may replace S by S_U and assume at the outset and assume

that all elements $1 - ts$ are already units in S . Let I, J be ideals of S with $IJ = 0$; we must show that I or J is 0. Enlarging I and J as necessary, we may assume that each is the annihilator of the other. Since $IJ \equiv 0 \pmod{(t)}$ and $S/(t)$ is a domain we may assume that $J \subset (t)$. Then $J = J't$, where $J' = (J : t) = \{x \in S : xt \in J\}$. Since t is not a zero divisor in R and $IJ't = 0$, we know by the last lecture that $IJ' = 0$, whence $J' \subset J$ and $J = Jt$. By a corollary to the Cayley-Hamilton Theorem, we get $s \in S$ with $(1 - st)J = 0$, so $J = 0$, as desired.

Unfortunately one cannot avoid localization completely in the setting of the above paragraph; if $R = k[t], S = k[x, t] \times k[x, x^{-1}]$. The fiber over the maximal ideal $(t - a)$ for $a \in k$ is $S/(t - a)S$, which is a domain for $a = 0$ since $tk[t, t^{-1}] = k[t, t^{-1}]$, but not for $a \neq 0$, and S is not a domain either. We can avoid difficulties of this sort by working with graded rings.