

Exponential Maps In Characteristic p (featuring: One-Parameter Subgroups of Reductive Groups)

Paul Sobaje

University of Southern California

October 25, 2014

Preliminaries:

- k - algebraically closed field.
- G - affine algebraic group over k .
- \mathbb{G}_a - k as an algebraic group under addition.
- one-parameter subgroup of G is a homomorphism from \mathbb{G}_a to G .
- \mathfrak{g} - Lie algebra of G .
- \mathcal{N} - nilpotent variety of \mathfrak{g} .
- \mathcal{U} - unipotent variety of G .

Preliminaries:

- k - algebraically closed field.
- G - affine algebraic group over k .
- \mathbb{G}_a - k as an algebraic group under addition.
- one-parameter subgroup of G is a homomorphism from \mathbb{G}_a to G .
- \mathfrak{g} - Lie algebra of G .
- \mathcal{N} - nilpotent variety of \mathfrak{g} .
- \mathcal{U} - unipotent variety of G .

In characteristic $p > 0$

There is a p -mapping on \mathfrak{g} , $X \mapsto X^{[p]}$. We set $\mathcal{N}_p \subseteq \mathcal{N}$ to be $\{X : X^{[p]} = 0\}$.

Similarly, let $\mathcal{U}_p \subseteq \mathcal{U}$ be $\{u : u^p = 1\}$.

More On Nilpotent and Unipotent Elements

In any characteristic, fix a closed embedding $\rho : G \rightarrow GL_n$.

$X \in \mathfrak{g}$ is **nilpotent** if $d\rho(X)$ is a nilpotent matrix.

$u \in G$ is **unipotent** if $\rho(u) - I_n$ is a nilpotent matrix.

More On Nilpotent and Unipotent Elements

In any characteristic, fix a closed embedding $\rho : G \rightarrow GL_n$.

$X \in \mathfrak{g}$ is **nilpotent** if $d\rho(X)$ is a nilpotent matrix.

$u \in G$ is **unipotent** if $\rho(u) - I_n$ is a nilpotent matrix.

In char. $p > 0$, $d\rho(X^{[p]}) = d\rho(X)^p$.

For GL_n , clear that \exists a GL_n -equivariant isomorphism $\mathcal{N} \xrightarrow{\sim} \mathcal{U}$ given by

$$X \mapsto I_n + X,$$

For GL_n , clear that \exists a GL_n -equivariant isomorphism $\mathcal{N} \xrightarrow{\sim} \mathcal{U}$ given by

$$X \mapsto I_n + X,$$

however, in characteristic 0...

For GL_n , clear that \exists a GL_n -equivariant isomorphism $\mathcal{N} \xrightarrow{\sim} \mathcal{U}$ given by

$$X \mapsto I_n + X,$$

however, in characteristic 0...

The Exponential Map is Better

$X \mapsto \exp(X) = I_n + X + X^2/2 + \cdots + X^{n-1}/(n-1)!$ better respects group structure of GL_n :

- For all $c \in \mathbb{G}_a$, the map $c \mapsto \exp(cX)$ defines one-parameter subgroup of GL_n .
- If G closed subgroup, $X \in \mathfrak{g} \subseteq \mathfrak{gl}_n$, then $\exp(X) \in G$.
- If $X, Y \in \mathcal{N}$ in same Borel subalgebra, then $\log(\exp(X)\exp(Y)) =$

$$X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}([X, [X, Y]] + [Y, [Y, X]]) + \cdots$$

(Baker-Campbell-Hausdorff formula)

For GL_n , clear that \exists a GL_n -equivariant isomorphism $\mathcal{N} \xrightarrow{\sim} \mathcal{U}$ given by

$$X \mapsto I_n + X,$$

however, in characteristic 0...

The Exponential Map is Better

$X \mapsto \exp(X) = I_n + X + X^2/2 + \dots + X^{n-1}/(n-1)!$ better respects group structure of GL_n :

- For all $c \in \mathbb{G}_a$, the map $c \mapsto \exp(cX)$ defines one-parameter subgroup of GL_n .
- If G closed subgroup, $X \in \mathfrak{g} \subseteq \mathfrak{gl}_n$, then $\exp(X) \in G$.
- If $X, Y \in \mathcal{N}$ in same Borel subalgebra, then $\log(\exp(X)\exp(Y)) =$

$$X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}([X, [X, Y]] + [Y, [Y, X]]) + \dots$$

(Baker-Campbell-Hausdorff formula)

This formulation doesn't work in positive characteristic.

Let characteristic $k = p > 0$.

Springer (1969)

If G is semisimple, simply-connected, and char. is good for G , then there exists a G -equivariant isomorphism $\mathcal{N} \xrightarrow{\sim} \mathcal{U}$.

Let characteristic $k = p > 0$.

Springer (1969)

If G is semisimple, simply-connected, and char. is good for G , then there exists a G -equivariant isomorphism $\mathcal{N} \xrightarrow{\sim} \mathcal{U}$.

Such a map is called a **Springer isomorphism**. One application is that there is a bijection between nilpotent and unipotent G -orbits. In fact:

Let characteristic $k = p > 0$.

Springer (1969)

If G is semisimple, simply-connected, and char. is good for G , then there exists a G -equivariant isomorphism $\mathcal{N} \xrightarrow{\sim} \mathcal{U}$.

Such a map is called a **Springer isomorphism**. One application is that there is a bijection between nilpotent and unipotent G -orbits. In fact:

Serre (1999)

Every Springer isomorphism for G determines the same bijection between nilpotent and unipotent orbits.

Let characteristic $k = p > 0$.

Springer (1969)

If G is semisimple, simply-connected, and char. is good for G , then there exists a G -equivariant isomorphism $\mathcal{N} \xrightarrow{\sim} \mathcal{U}$.

Such a map is called a **Springer isomorphism**. One application is that there is a bijection between nilpotent and unipotent G -orbits. In fact:

Serre (1999)

Every Springer isomorphism for G determines the same bijection between nilpotent and unipotent orbits.

Moral: for some applications, any two Springer isomorphisms are equally useful. For others, we'd like one which is "more similar" to the exponential map (i.e. respecting group properties).

More precisely, if σ is to fill the role of the exponential map in characteristic p , it should have the following properties:

More precisely, if σ is to fill the role of the exponential map in characteristic p , it should have the following properties:

Property 1: A Good Restriction to Certain Parabolic Subgroups

Serre proved that if $P \leq G$ parabolic with $U = R_u(P)$ having nilpotence class less than p , then \exists a P -equivariant isomorphism

$$\varepsilon_P : \text{Lie}(U) \rightarrow U$$

which essentially comes from base-changing exponential map in characteristic 0. We require that σ restricts on U to ε_P for all such P .

More precisely, if σ is to fill the role of the exponential map in characteristic p , it should have the following properties:

Property 1: A Good Restriction to Certain Parabolic Subgroups

Serre proved that if $P \leq G$ parabolic with $U = R_u(P)$ having nilpotence class less than p , then \exists a P -equivariant isomorphism

$$\varepsilon_P : \text{Lie}(U) \rightarrow U$$

which essentially comes from base-changing exponential map in characteristic 0. We require that σ restricts on U to ε_P for all such P .

Carlson-Lin-Nakano (2008), McNinch (2005)

If $p \geq h$, the Coxeter number of G , then there is precisely one Springer isomorphism σ for G satisfying Property 1.

Property 2: Obtaining Embeddings of Witt Groups:

In characteristic p , every $e \neq g \in \mathbb{G}_a$ has order p . However, when $p < h$ there are unipotent elements in G of order p^r , $r > 1$ (for example, if $p = 2$ then SL_3 has elements of order 4), so we can't expect every unipotent element to lie inside closed group isomorphic to \mathbb{G}_a .

Property 2: Obtaining Embeddings of Witt Groups:

In characteristic p , every $e \neq g \in \mathbb{G}_a$ has order p . However, when $p < h$ there are unipotent elements in G of order p^r , $r > 1$ (for example, if $p = 2$ then SL_3 has elements of order 4), so we can't expect every unipotent element to lie inside closed group isomorphic to \mathbb{G}_a .

Let \mathcal{W}_m be the group of truncated Witt vectors. As a variety, $\mathcal{W}_m \cong \mathbb{A}^m$. It is an abelian unipotent group, and has elements of maximal order p^m .

Property 2: Obtaining Embeddings of Witt Groups:

In characteristic p , every $e \neq g \in \mathbb{G}_a$ has order p . However, when $p < h$ there are unipotent elements in G of order p^r , $r > 1$ (for example, if $p = 2$ then SL_3 has elements of order 4), so we can't expect every unipotent element to lie inside closed group isomorphic to \mathbb{G}_a .

Let \mathcal{W}_m be the group of truncated Witt vectors. As a variety, $\mathcal{W}_m \cong \mathbb{A}^m$. It is an abelian unipotent group, and has elements of maximal order p^m .

We require: If $X \neq 0$, and m is the least integer such that $X^{[p^m]} = 0$, then σ defines an embedding $\mathbb{A}^m \rightarrow G$ given by

$$(a_0, a_1, \dots, a_{m-1}) \mapsto \sigma(a_0 X) \sigma(a_1 X^{[p]}) \cdots \sigma(a_{m-1} X^{[p^{m-1}]})$$

the image of which is a closed subgroup of G isomorphic to \mathcal{W}_m .

Theorem (S., 2014)

Let G be a semisimple simply-connected group, and suppose that p is good for G . Then \exists a Springer isomorphism $\sigma : \mathcal{N} \xrightarrow{\sim} \mathcal{U}$ satisfying Properties 1 and 2.

These properties do not uniquely specify an isomorphism, but every Springer isomorphism satisfying Property 1 restricts to the same isomorphism $\overline{\exp} : \mathcal{N}_p \xrightarrow{\sim} \mathcal{U}_p$.

Theorem (S., 2014)

Let G be a semisimple simply-connected group, and suppose that p is good for G . Then \exists a Springer isomorphism $\sigma : \mathcal{N} \xrightarrow{\sim} \mathcal{U}$ satisfying Properties 1 and 2.

These properties do not uniquely specify an isomorphism, but every Springer isomorphism satisfying Property 1 restricts to the same isomorphism $\overline{\exp} : \mathcal{N}_p \xrightarrow{\sim} \mathcal{U}_p$.

Ingredient and Application: Abelian Unipotent Overgroups

Let $u \in \mathcal{U}$. **Question:** what is minimal connected subgroup containing it? Studied extensively by Testerman, Seitz, McNinch, and Proud, an application given by Serre.

Theorem (S., 2014)

Let G be a semisimple simply-connected group, and suppose that p is good for G . Then \exists a Springer isomorphism $\sigma : \mathcal{N} \xrightarrow{\sim} \mathcal{U}$ satisfying Properties 1 and 2.

These properties do not uniquely specify an isomorphism, but every Springer isomorphism satisfying Property 1 restricts to the same isomorphism $\overline{\exp} : \mathcal{N}_p \xrightarrow{\sim} \mathcal{U}_p$.

Ingredient and Application: Abelian Unipotent Overgroups

Let $u \in \mathcal{U}$. **Question:** what is minimal connected subgroup containing it? Studied extensively by Testerman, Seitz, McNinch, and Proud, an application given by Serre.

Our proof relies in particular on result of Seitz: take X a regular nilpotent element, T the image of an associated cocharacter of X , and consider T -decomposition of $C_G(X)^0$.

In characteristic 0, the exponential isomorphism given explicitly by exponential series (once G embedded into GL_n).

In characteristic 0, the exponential isomorphism given explicitly by exponential series (once G embedded into GL_n).

In characteristic p something (slightly weaker) but analogous is true -

In characteristic 0, the exponential isomorphism given explicitly by exponential series (once G embedded into GL_n).

In characteristic p something (slightly weaker) but analogous is true -

Artin-Hasse Exponential

The Artin-Hasse exponential is the power series

$$E_p(t) = \exp \left(t + \frac{t^p}{p} + \frac{t^{p^2}}{p^2} + \frac{t^{p^3}}{p^3} + \cdots \right)$$

One can show that $E_p(t) \in \mathbb{Z}_{(p)}[[t]] \subseteq \mathbb{Q}[[t]]$.

In characteristic 0, the exponential isomorphism given explicitly by exponential series (once G embedded into GL_n).

In characteristic p something (slightly weaker) but analogous is true -

Artin-Hasse Exponential

The Artin-Hasse exponential is the power series

$$E_p(t) = \exp \left(t + \frac{t^p}{p} + \frac{t^{p^2}}{p^2} + \frac{t^{p^3}}{p^3} + \cdots \right)$$

One can show that $E_p(t) \in \mathbb{Z}_{(p)}[[t]] \subseteq \mathbb{Q}[[t]]$.

If G is a classical matrix group (GL_n, SO_n, Sp_n), then one choice of σ is given by

$$\sigma(X) = E_p(X)$$

This **does not work** for arbitrary embeddings of G semisimple into GL_n .

Applications - the map

$$\overline{\exp} : \mathcal{N}_p \xrightarrow{\sim} \mathcal{U}_p$$

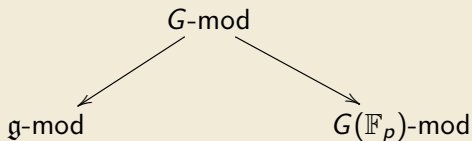
has been useful in support variety theory, and problems related to support varieties. One application will be seen tomorrow in **Jared Warner's** talk.

Applications - the map

$$\overline{\exp} : \mathcal{N}_p \xrightarrow{\sim} \mathcal{U}_p$$

has been useful in support variety theory, and problems related to support varieties. One application will be seen tomorrow in **Jared Warner's** talk.

Comparing Support Varieties over $G(\mathbb{F}_p)$ and \mathfrak{g}

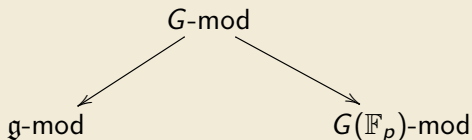


Applications - the map

$$\overline{\exp} : \mathcal{N}_p \xrightarrow{\sim} \mathcal{U}_p$$

has been useful in support variety theory, and problems related to support varieties. One application will be seen tomorrow in **Jared Warner's** talk.

Comparing Support Varieties over $G(\mathbb{F}_p)$ and \mathfrak{g}



Carlson-Lin-Nakano used the existence of $\overline{\exp}$ ($p \geq h$) to compare the support varieties of a rational G -module M over $G(\mathbb{F}_p)$ and \mathfrak{g} .

Suslin-Friedlander-Bendel (1997)

Let \mathcal{G} be an infinitesimal group scheme over k of height r , $H^\bullet(\mathcal{G}, k)$ its cohomology ring. Then the variety corresponding to $H^\bullet(\mathcal{G}, k)$ is homeomorphic to the variety of group scheme homomorphisms from $\mathrm{Hom}_{\mathrm{gs}/k}(\mathbb{G}_{a(r)}, \mathcal{G})$.

Suslin-Friedlander-Bendel (1997)

Let \mathcal{G} be an infinitesimal group scheme over k of height r , $H^\bullet(\mathcal{G}, k)$ its cohomology ring. Then the variety corresponding to $H^\bullet(\mathcal{G}, k)$ is homeomorphic to the variety of group scheme homomorphisms from $\mathrm{Hom}_{\mathrm{gs}/k}(\mathbb{G}_{a(r)}, \mathcal{G})$.

Suslin-Friedlander-Bendel (1997), McNinch (2001), S. (2014)

If G is semisimple, simply-connected, and p good for G , then $\mathrm{Hom}_{\mathrm{gs}/k}(\mathbb{G}_{a(r)}, G_{(r)})$ identifies canonically with commuting r -tuples of elements in \mathcal{N}_p .

Support varieties for rational G -modules

In recent work, Eric Friedlander has studied support varieties for rational G -modules, where G is a linear algebraic group, via the space

$$\mathrm{Hom}_{\mathrm{gs}/k}(\mathbb{G}_a, G).$$

The group G must be assumed to have a structure of **exponential type**. For G semisimple, simply-connected, and $p \geq h$ (probably p good), such a structure can be given by $\overline{\exp}$.

An interesting and (seemingly) related question:

An interesting and (seemingly) related question:

Exponentiating Representations

If G semisimple, when does a representation for \mathfrak{g} extend to one for G ?