

# Geometric Categorification

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## Finite Dimensional $\mathfrak{sl}_2$ modules

An action of  $U(\mathfrak{sl}_2)$  on a finite dimensional vector space  $V$  consists of

- ▶ a weight space decomposition  $V = \bigoplus_{\lambda \in \mathbb{Z}} V(\lambda)$ ,
- ▶ linear maps

$$e(\lambda) : V(\lambda - 1) \rightarrow V(\lambda + 1)$$

$$f(\lambda) : V(\lambda + 1) \rightarrow V(\lambda - 1)$$

for each  $\lambda$ . These satisfy

$$e(\lambda - 1)f(\lambda - 1) = \lambda \text{Id}_{V(\lambda)} + f(\lambda + 1)e(\lambda + 1).$$

The element  $s = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \in SL_2$  acts on  $V$ , giving isomorphisms

$$s : V(-\lambda) \rightarrow V(\lambda).$$

## $\mathfrak{sl}_2$ Categorification

Frameworks for  $\mathfrak{sl}_2$  categorification have been proposed by Chuang-Rouquier, Lauda, Khovanov-Lauda, and Rouquier. They define (a collection of closely related) categories  $U_2(\mathfrak{sl}_2)$  which categorify the enveloping algebra  $U(\mathfrak{sl}_2)$ .

Our Goal: Construct geometric representations of  $U_2(\mathfrak{sl}_2)$ .

Ordinary representations of  $\mathfrak{sl}_2$  have been constructed geometrically by Lusztig, Ginzburg, Nakajima, and others. So it is natural to look for geometric examples of categorified representations.

## Geometric $\mathfrak{sl}_2$ Categorification

- ▶ Weight spaces get replaced by varieties:

$$V(\lambda) \mapsto Y(\lambda).$$

- ▶ Linear transformations get replaced by Fourier-Mukai Kernels

$$e \mapsto \mathcal{E}, \quad f \mapsto \mathcal{F},$$

$$\mathcal{E}(\lambda), \mathcal{F}(\lambda) \in \mathcal{D}^b(Y(\lambda - 1) \times Y(\lambda + 1)).$$

These kernels are required to satisfy  $\mathfrak{sl}_2$  relations, but only at the level of cohomology of complexes.

- ▶ We require the existence of deformations

$$\tilde{Y}(\lambda) \longrightarrow \mathbb{A}^1$$

with some special properties.

## From Geometry to Categorification

One main virtue of geometric  $\mathfrak{sl}_2$  categorifications is that they give rise to categorified representations.

### Theorem (Cautis-Kamnitzer-L)

*A geometric  $\mathfrak{sl}_2$  categorification induces a representation of  $U_2(\mathfrak{sl}_2)$  on*

$$\bigoplus_{\lambda} \mathcal{D}^b(Y(\lambda)).$$

*The functors  $E$  and  $F$  are induced by the kernels  $\mathcal{E}$  and  $\mathcal{F}$ , while the natural transformations ( $X$  and  $T$ ,  $y$  and  $\psi$ , or dots and crosses) are constructed using the deformations  $\tilde{Y}(\lambda)$ .*

## A Basic Example

Fix  $N \in \mathbb{N}$ . For  $0 \leq k \leq N$ , set

$$Y(2k - N) = T^*Gr(k, N) \cong$$

$$\{(x, V) : x \in M_N(\mathbb{C}), 0 \subset V \subset \mathbb{C}^N, \dim(V) = k \text{ and } \mathbb{C}^N \xrightarrow{x} V \xrightarrow{x} 0\}$$

There are tautological bundles

- ▶  $V$ ,
- ▶  $\mathbb{C}^N/V$

on  $Y(\lambda)$ .

There are also natural deformations  $\tilde{Y}(\lambda)$ , given by varying the action of  $x$  on  $V$  and  $\mathbb{C}^N/V$ .

## Hecke Correspondences

For  $r \geq 0$ , define  $W^r(\lambda) \subset Y(\lambda - r) \times Y(\lambda + r)$  by

$$W^r(\lambda) := \{(x, V, V') : 0 \subset V \subset V' \subset \mathbb{C}^N; \mathbb{C}^N \xrightarrow{x} V \text{ and } V' \xrightarrow{x} 0\}.$$

Projections:

$$\pi_1 : (x, V, V') \mapsto (x, V), \quad \pi_2 : (x, V, V') \mapsto (x, V').$$

Tautological bundles on  $W^r(\lambda)$ :

- ▶  $V := \pi_1^*(V)$
- ▶  $V' := \pi_2^*(V)$

Inclusions:

$$0 \subset V \subset V' \subset \mathbb{C}^N \cong \mathcal{O}_{W^r(\lambda)}^{\oplus N}.$$

## Kernels $\mathcal{E}(\lambda), \mathcal{F}(\lambda)$ for the Basic Example

Define the kernel  $\mathcal{E}^{(r)}(\lambda) \in D(Y(\lambda - r) \times Y(\lambda + r))$  by

$$\mathcal{E}^{(r)}(\lambda) := \mathcal{O}_{W^r(\lambda)} \otimes \det(V'/V)^{-\lambda}.$$

Similarly,  $\mathcal{F}^{(r)}(\lambda) \in D(Y(\lambda + r) \times Y(\lambda - r))$  is defined by

$$\mathcal{F}^{(r)}(\lambda) := \mathcal{O}_{W^r(\lambda)} \otimes \det(\mathbb{C}^N/V')^{-r} \det(V)^r.$$



## Functors E and F from kernels $\mathcal{E}$ and $\mathcal{F}$ .

A kernel  $\mathcal{A} \in D(Y(\lambda) \times Y(\lambda'))$  induces a functor

$$\Phi_{\mathcal{A}} : D(Y(\lambda)) \longrightarrow D(Y(\lambda'))$$

given by

$$y \mapsto \pi_{2*}(\pi_1^*(y) \otimes \mathcal{A}).$$

Thus the kernels  $\mathcal{E}^{(r)}(\lambda), \mathcal{F}^{(r)}(\lambda)$  give rise to functors

$$E^{(r)}(\lambda) := \Phi_{\mathcal{E}^{(r)}(\lambda)} : D(Y(\lambda - r)) \longrightarrow D(Y(\lambda + r))$$

$$F^{(r)}(\lambda) := \Phi_{\mathcal{F}^{(r)}(\lambda)} : D(Y(\lambda + r)) \longrightarrow D(Y(\lambda - r)).$$

## Relations satisfied by the Es and Fs

- ▶ The E's and F's are biadjoint up to shifts.
- ▶ E's (and hence F's) compose as

$$E(\lambda + r) \circ E^{(r)}(\lambda - 1) \cong E^{(r+1)}(\lambda) \otimes IH^*(\mathbb{P}^r)$$

via an explicit isomorphism built from the data.

- ▶ If  $\lambda \leq 0$  then

$$F(\lambda + 1) \circ E(\lambda + 1) \cong E(\lambda - 1) \circ F(\lambda - 1) \oplus \text{Id} \otimes IH^*(\mathbb{P}^{-\lambda-1})$$

via an explicit isomorphism built from the data. (Similarly for  $\lambda \geq 0$ .)

## Application: Equivalences

Given any geometric  $\mathfrak{sl}_2$  categorification, we build a complex of functors

$$\Theta_* : \mathcal{D}(-\lambda) \rightarrow \mathcal{D}(\lambda).$$

Fix  $\lambda \geq 0$ . For  $r = 0, \dots, N - \lambda$ , set

$$\Theta_r = E^{(\lambda+r)}(-r)F^{(r)}(-\lambda - r)[-r].$$

The differential  $\Theta_r \rightarrow \Theta_{r-1}$  is constructed using units and counits of adjunctions between  $E^{(k)}$  and  $F^{(k)}$ .

The complex  $\Theta_*$  categorifies the action of the reflection element  $s \in SL_2$ , and was considered first by Chuang-Rouquier.

# Equivalences

## Theorem (Cautis-Kamnitzer-L)

*The complex  $\Theta_*$  is an equivalence between the opposite  $\mathfrak{sl}_2$  weight space categories  $\mathcal{D}(-\lambda)$  and  $\mathcal{D}(\lambda)$ .*

Applied to the basic example:

## Corollary

*The complex  $\Theta_*$  gives an equivalence*

$$\Theta_* : D(T^*(Gr(k, N))) \longrightarrow D(T^*(Gr(N - k, N))).$$

This answers questions posed by Kawamata and Namikawa.

## From $\mathfrak{sl}_2$ to $\mathfrak{g}$

There are analogous definitions of geometric categorification when  $\mathfrak{g}$  is a Kac-Moody Lie algebra.

- ▶ Examples: Nakajima Quiver Varieties.
- ▶ Conjecturally, geometric categorifications induce representations of  $U_2(\mathfrak{g})$ .
- ▶ Braid group actions: For each root  $\mathfrak{sl}_2$  inside  $\mathfrak{g}$ , we have an equivalence  $\Theta_{i^*}$  given by the Chuang-Rouquier complex.

### Theorem (Cautis-Kamnitzer)

*The equivalences  $\{\Theta_{i^*}\}$  coming from a geometric  $\mathfrak{g}$  categorification define an action of the braid group.*