

Please do 6 of the following 10 problems.

Problem 2.1 (Faithfully flat ring homomorphisms).

Let $\phi: R \rightarrow S$ be a flat ring homomorphism. Show that the following statements are equivalent:

- (i) an R -module M is zero if and only if $M \otimes_R S$ is zero.
- (ii) a complex $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ of R -modules is exact if and only if $0 \rightarrow M' \otimes_R S \rightarrow M \otimes_R S \rightarrow M'' \otimes_R S \rightarrow 0$ is exact.
- (iii) for every R -module M , the induced map $M \rightarrow M \otimes_R S, m \mapsto m \otimes 1$ is injective.
- (iv) $\text{Spec } S \rightarrow \text{Spec } R$ is surjective.
- (v) any maximal ideal $\mathfrak{m} \subset R$ is in the image of the map $\text{Spec } S \rightarrow \text{Spec } R$.
- (vi) For every ideal $I \subset R$, there is an equality $I = \phi^{-1}(IR)$.

If the above equivalent conditions are satisfied, we say that $R \rightarrow S$ is *faithfully flat*.

Problem 2.2 (Properties of faithful flatness).

- (a) Let $R \rightarrow S$ be a flat local homomorphism of local rings. Show that $R \rightarrow S$ is faithfully flat.
- (b) Let (R, \mathfrak{m}) be a Noetherian local ring and $\widehat{R} = \varprojlim R/\mathfrak{m}^n$. Show that $R \rightarrow \widehat{R}$ is faithfully flat.
- (c) Let $R \rightarrow S$ be a faithfully flat ring homomorphism. Show that an R -module M is flat if and only if the S -module $M \otimes_R S$ is flat.
- (d) Let $R \rightarrow S$ be a faithfully flat ring homomorphism. Show that if S is Noetherian, so is R .

Problem 2.3 (Hensel's Lemma). Prove the following version of Hensel's Lemma: Let (R, \mathfrak{m}) be a complete local ring and $f \in R[x]$. Denote by $f' \in R[x]$ the derivative of f . Suppose that $a_0 \in R/\mathfrak{m}$ is a solution to f modulo \mathfrak{m} such that $f'(a_0) \notin \mathfrak{m}$. Then there exists a solution $a \in R$ to f such that $a \cong a_0 \pmod{\mathfrak{m}}$.

Hint: Let $f_n \in R/\mathfrak{m}^n[x]$ be the image of f . Inductively define $a_{n+1} \in R/\mathfrak{m}^{n+1}$ as follows: first pick an arbitrary lift $b \in R/\mathfrak{m}^{n+1}$ of a_n and then set

$$a_{n+1} := b - \frac{f_{n+1}(b)}{f'_{n+1}(b)} \in R/\mathfrak{m}^{n+1}.$$

Show that this makes sense and that $a = (a_0, a_1, \dots) \in R$ is a solution.

Problem 2.4 (Cohen's Structure Theorem).

- (a) Let $R \rightarrow S$ be a ring homomorphism. Suppose that S is complete with respect to an ideal J . Show that if $a_1, \dots, a_n \in J$, there is an induced ring homomorphism

$$R[[x_1, \dots, x_n]] \rightarrow S$$

such that the image of x_i is a_i .

- (b) Let $(R, \mathfrak{m}) \rightarrow (S, \mathfrak{n})$ be a local ring homomorphism of complete local Noetherian rings. Suppose that $R/\mathfrak{m} \rightarrow S/\mathfrak{n}$ is an isomorphism and that $\mathfrak{m}/\mathfrak{m}^2 \rightarrow \mathfrak{n}/\mathfrak{n}^2$ is surjective. Show that $R \rightarrow S$ is surjective.

Hint: Show that the graded ring homomorphism $\text{gr}_{\mathfrak{m}} R \rightarrow \text{gr}_{\mathfrak{n}} S$ is surjective and appeal to a lemma from a lecture to conclude that $R \rightarrow S$ is surjective as well.

- (c) Use Parts (1) and (2) to show the following special case of Cohen's Structure Theorem: Let (R, \mathfrak{m}) be a complete local Noetherian ring with residue field $k = R/\mathfrak{m}$. Suppose that there is an inclusion $k \subset R$ such that $k \rightarrow R \rightarrow R/\mathfrak{m}$ is an isomorphism. Show that $R \cong k[[x_1, \dots, x_n]]/I$ for some ideal I .

Problem 2.5 (Nodal cubic). Let k be a field and $R = k[x, y]/(y^2 - x^2 - x^3)$. Let \hat{R} be the completion of R along (x, y) . Show that $\hat{R} \cong k[u, v]/(uv)$.

Problem 2.6 (Hilbert polynomials). In class, we will show that if M is a finitely generated graded module over the polynomial ring $k[x_0, \dots, x_n]$, then for sufficiently large $d \gg 0$, the Hilbert function $H_M(d) = \dim_k M_d$ agrees with a polynomial. This polynomial is called the *Hilbert polynomial* of M and is denoted by P_M .

Compute the following Hilbert polynomials:

- (a) $k[x, y, z]/(xz - y^2)$
- (b) $k[x, y, z]/(xz)$
- (c) $k[x, y, z, w]/(yw - z^2, xw - yz, xz - y^2)$
- (d) $k[x, y, z, w]/(yw - z^2, xw - yz, xz)$
- (e) $k[x_0, \dots, x_3]/(x_0, x_1) \cap (x_2, x_3)$
- (f) $k[x_0, \dots, x_4]/(x_0, x_1) \cap (x_2, x_3)$
- (g) $k[x_0, \dots, x_5]/(x_0, x_1, x_2) \cap (x_3, x_4, x_5)$

You may use Macaulay2 if you'd like. Macaulay2 has a function `hilbertPolynomial` which computes the Hilbert polynomial. The output is often expressed in terms of the Hilbert polynomial P_n of $k[x_0, \dots, x_n]$ but you expand out the expression and write out the coefficients of the polynomial.

Side comment: Part (f) corresponds to the union two projective planes in \mathbb{P}^4 along a point, and Part (g) corresponds to the disjoint union of two projective planes in \mathbb{P}^5 .

Problem 2.7 (Equivalences between graded modules). Let $R = \bigoplus_{d \geq 0} R_d$ be a graded ring such that R is finitely generated as an algebra over R_0 by elements of degree 1 and that R_1 is finitely generated as an R_0 -module.

If M is a finitely generated graded R -module and N is a positive integer, we define $M^{\geq K} := \bigoplus_{d \geq 0} (M^{\geq K})_d$ by

$$(M^{\geq K})_d := \begin{cases} 0 & \text{if } d \geq K \\ M_d & \text{if } d < K \end{cases}$$

- (a) Show that $M^{\geq K}$ is a finitely generated graded R -submodule of M . If $R_0 = k$ is a field, show that M and $M^{\geq K}$ have the same Hilbert polynomials but in general different Hilbert functions.
- (b) Let $M \rightarrow N$ be a homomorphism of finitely generated graded R -modules. Show that the following two statements are equivalent:
- the induced map $M^{\geq N} \rightarrow N^{\geq N}$ is an isomorphism for some N , and
 - the induced map

$$(M_f)_0 \rightarrow (N_f)_0$$

is an isomorphism for each $f \in R_1$. Here $(M_f)_0$ is the degree 0 component of the localization M_f (which is naturally a graded R -module).

Problem 2.8 (Hilbert polynomials and flatness). Let $R = \bigoplus_{d \geq 0} R_d$ be a graded ring such that R is finitely generated as an algebra over R_0 by elements of degree 1 and that R_1 is finitely generated as an R_0 -module.

- (a) Suppose R_0 be a local Noetherian domain. Let M be a finitely generated graded R -module. Show that the following two statements are equivalent:
- for each prime ideal $\mathfrak{p} \subset R_0$, the Hilbert polynomial $P_{M \otimes_{R_0} k(\mathfrak{p})}$ is independent of \mathfrak{p} , and
 - for each $f \in R_1$, the R_0 -module $(M_f)_0$ is flat.

Side comment: You should compare this result to the theorem from lecture where we showed that M is flat if and only if the Hilbert function $H_{M \otimes_{R_0} k(\mathfrak{p})}$ is independent of \mathfrak{p} .

- (b) Is the ring homomorphism $k[t]_{(t)} \rightarrow k[x, y, z, t]_{(t)} / (xz - ty^2)$ flat?
- (c) Is the ring homomorphism $k[t]_{(t)} \rightarrow k[x, y, z, w, t]_{(t)} / (yw - z^2, xw - yz, xz - ty^2)$ flat?

Problem 2.9 (Regular sequences).

- (a) Let $R = k[x, y, z]$. Show that $x, (x - 1)y, (x - 1)z$ is a regular sequence but that $(x - 1)y, (x - 1)z, x$ is not.
- (b) Let R be a Noetherian local ring and x_1, \dots, x_n be a regular sequence. Show that $\dim R / (x_1, \dots, x_n) = \dim R - n$.

Problem 2.10 (Free resolutions and Betti tables). Using the Macaulay2 commands `res` and `betti`, compute the minimal graded free resolutions and graded Betti tables for each of the rings in Problem 2.6(a)-(g).