

MATH 403 Winter 2018  
Homework 7  
Winter 2018

• **Problem 2**

1. One checks that the norm  $N(a + bi) = a^2 + b^2$  satisfies the property that if  $N(\alpha)$  is a prime integer, then  $\alpha$  is irreducible in  $\mathbf{Z}[i]$ . Writing an integer  $n = a^2 + b^2$  as a sum of two squares yields a factorization  $n = (a + bi) \cdot (a - bi)$  in  $\mathbf{Z}[i]$ . Here  $13 = 3^2 + 2^2$  and  $17 = 1^2 + 4^2$ . Hence

$$13 = (3 + 2i)(3 - 2i)$$

$$17 = (1 + 4i)(1 - 4i)$$

Then as was noted above,  $3 \pm 2i$  and  $1 \pm 4i$  has prime norm. This shows that the two factorizations I just wrote down are the factorizations of 13 and 17 into irreducible factors.

2.

$$\begin{aligned} 221 = 13 \cdot 17 &= (3 + 2i)(3 - 2i) \cdot (1 + 4i)(1 - 4i) = (3 + 2i)(1 + 4i) \cdot (3 - 2i)(1 - 4i) \\ &= (-5 + 14i) \cdot (-5 - 14i) = 5^2 + 14^2 \end{aligned}$$

On the other hand we have  $221 = (3 + 2i)(1 - 4i) \cdot (3 - 2i)(1 + 4i) = 11^2 + 10^2$ .

• **Problem 3 and 6**

Four properties of  $\omega$  will be used:

- $\omega = \frac{-1}{2} + \frac{\sqrt{3}}{2}i$ ;
- $\omega^2 + \omega + 1 = 0$
- $\omega \cdot \bar{\omega} = 1$  and
- $\omega + \bar{\omega} = -1$

Step 1: A better description of  $\mathbf{Z}[\omega]$ .

By property 2,  $a + b\omega + c\omega^2 = (a - c) + (b - c)\omega$ . Hence every element in  $\mathbf{Z}[\omega]$  can be written as  $a + b\omega$  for some  $a, b \in \mathbf{Z}$ . I claim that such expression is actually unique. For this, if  $a + b\omega = a' + b'\omega$ , then using the first property, we get

$$a - \frac{b}{2} + \frac{\sqrt{3}b}{2}i = a' - \frac{b'}{2} + \frac{\sqrt{3}b'}{2}i.$$

Comparing the real and imaginary parts, we conclude that

$$\begin{aligned} a - \frac{b}{2} &= a' - \frac{b'}{2} \\ \frac{\sqrt{3}b}{2} &= \frac{\sqrt{3}b'}{2} \end{aligned}$$

Hence  $a = a'$  and  $b = b'$ .

Step 2: Define a multiplicative norm  $N$  on  $\mathbf{Z}[\omega]$ .

Inspired by our previous experiences of constructing norms, one naturally tries (and pray)

$$N(a + b\omega) := (a + b\omega) \cdot \overline{(a + b\omega)}.$$

Since  $\overline{a + b\omega} = a + b\bar{\omega}$ , with property 3 and 4 of  $\omega$ , we have

$$(a + b\omega) \cdot (a + b\bar{\omega}) = a^2 - ab + b^2.$$

Since this is just the complex norm of  $a + b\omega$ , the function  $N$  is multiplicative. Moreover this also implies  $N(a + b\omega)$  is non-negative. One can also check this by looking at the discriminant of the quadric  $a^2 - ab + b^2$ . For example, for every fixed  $b \in \mathbf{Z}$ , the discriminant  $D(b)$  is  $b^2 - 4b^2 = -3b^2$ . So when  $b = 0$ , the norm is  $a^2$ , which is non-negative. When  $b \neq 0$ ,  $D(b) < 0$  so  $a^2 - ab + b^2$  is either positive or negative as a function in  $a$ . Plugging in  $a = 0$ , we get a positive value so  $a^2 - ab + b^2$  is strictly greater than zero as a function of  $a$  with a fixed non-zero  $b$ .

Step 3: Check that  $N$  we just defined is a Euclidean norm.

$\mathbf{Z}[\omega] \subset \mathbf{C}$  is a subring. Hence  $\mathbf{Z}[\omega]$  is an integral domain.  $N$  is non-negative as we have seen. The main task is to show that for any  $a + b\omega$  and  $c + d\omega$ , either  $c + d\omega | a + b\omega$  or there exist  $m + n\omega$  and  $r + s\omega$  such that

$$a + b\omega = (m + n\omega) \cdot (c + d\omega) + r + s\omega$$

with  $N(r + s\omega) < N(c + d\omega)$ . Suppose  $c + d\omega$  does not divide  $a + b\omega$ . Then in  $\mathbf{C}$ , with property 4 of  $\omega$  we have

$$\frac{a + b\omega}{c + d\omega} = \frac{(a + b\omega)(c + d\bar{\omega})}{(c + d\omega)(c + d\bar{\omega})} = \frac{ac + bd - ad}{N(c + d\omega)} + \frac{bc - ad}{N(c + d\omega)}\omega.$$

Let  $\alpha = \frac{ac + bd - ad}{N(c + d\omega)}$  and  $\beta = \frac{bc - ad}{N(c + d\omega)}$ . Choosing  $m, n \in \mathbf{Z}$  such that  $|m - \alpha| \leq \frac{1}{2}$  and  $|n - \beta| \leq \frac{1}{2}$ , we have

$$a + b\omega = (c + d\omega)(m + n\omega) + (c + d\omega)(\alpha - m + (\beta - n)\omega).$$

Since  $a + b\omega$  and  $(c + d\omega)(m + n\omega) \in \mathbf{Z}[\omega]$ ,  $(c + d\omega)(\alpha - m + (\beta - n)\omega) \in \mathbf{Z}[\omega]$ . Set  $r + s\omega = (c + d\omega)(\alpha - m + (\beta - n)\omega)$ . Then to prove  $N(r + s\omega) < N(c + d\omega)$ , it is sufficient to prove that the complex norm  $|\alpha - m + (\beta - n)\omega| < 1$ . But this follows from our choice of  $m$  and  $n$ . So far we have done problem 3.

Step 4: Compute the units of  $\mathbf{Z}[\omega]$ .

I claim that  $\alpha \in \mathbf{Z}[\omega]$  is a unit if and only if  $N(\alpha) = 1$ . If  $\alpha$  is a unit, then

$$1 = N(1) = N(\alpha \cdot \alpha^{-1}) = N(\alpha)N(\alpha^{-1}).$$

Since  $N$  is non-negative, we see that  $N(\alpha) = 1$ . But  $1 = a^2 - ab + b^2$  only when  $(a, b) = (\pm 1, 0), (0, \pm 1), (1, 1), (-1, -1)$ . We see that the possible choices of a unit is  $\pm 1, \pm\omega, 1 + \omega$  and  $-1 - \omega$ . One easily checks that these are units. Therefore the claim is proved.

Step 5: 7.6(a)  $\iff$  7.6(b)

The ring map  $\varphi : \mathbf{Z}[x] \rightarrow \mathbf{Z}[\omega]$  that sends  $x$  to  $\omega$  is surjective. Let me show that  $\varphi$  has kernel

$(x^2 + x + 1)$ , namely, if  $f \in \mathbf{Z}[x]$  is a polynomial such that  $f(\omega) = 0$  as complex numbers, then  $x^2 + x + 1 \mid f$ . This is because if  $\alpha \in \mathbf{C}$  is a complex root for  $f$ , then

$$0 = f(\alpha) = \overline{f(\alpha)} = f(\bar{\alpha})^1.$$

So  $\bar{\alpha}$  is also a complex root for  $f$ . We know that  $\omega$  and  $\bar{\omega}$  are the two roots of  $x^2 + x + 1$  as  $(x - \omega)(x - \bar{\omega}) = x^2 + x + 1$ . Hence if  $f(\omega) = 0$ , then  $f(\bar{\omega}) = 0$ . Viewing  $f$  as a polynomial in  $\mathbf{C}[x]$ , we see that both  $(x - \omega)$  and  $(x - \bar{\omega})$  divides  $f$ . Therefore,  $x^2 + x + 1 \mid f$  inside  $\mathbf{C}[x]$ . With a bit of work one shows that if  $(x^2 + x + 1) \cdot g(x) = f(x)$  in  $\mathbf{C}[x]$ , then  $g$  has integral coefficients, meaning that  $x^2 + x + 1 \mid f$  in  $\mathbf{Z}[x]$ . Hence  $\varphi$  has kernel  $(x^2 + x + 1)$ . By the first isomorphism theorem, we have

$$\mathbf{Z}[x]/(x^2 + x + 1) \simeq \mathbf{Z}[\omega].$$

Given a prime integer  $p$ , the prime ideal  $(p) \subset \mathbf{Z}[\omega]$  corresponds to the ideal  $(p + (x^2 + x + 1)) = (p, x^2 + x + 1)/(x^2 + x + 1) \subset \mathbf{Z}[x]/(x^2 + x + 1)$ . Observing that  $\mathbf{Z} \twoheadrightarrow \mathbf{Z}/p$  induces an isomorphism

$$\mathbf{Z}[x]/p \simeq (\mathbf{Z}/p)[x]$$

and using the third isomorphism theorem, we get that

$$\begin{aligned} \mathbf{Z}[\omega]/(p) &\simeq (\mathbf{Z}[x]/(x^2 + x + 1))/((p, x^2 + x + 1)/(x^2 + x + 1)) \\ &\simeq \mathbf{Z}[x]/(p, x^2 + x + 1) \\ &\simeq (\mathbf{Z}[x]/p)/((p, x^2 + x + 1)/p) \\ &\simeq (\mathbf{Z}/p)[x]/(x^2 + x + 1). \end{aligned}$$

By step 3,  $\mathbf{Z}[\omega]$  is a PID. Hence  $p \subset \mathbf{Z}[\omega]$  is irreducible if and only if  $(p) \subset \mathbf{Z}[\omega]$  is maximal if and only if  $\mathbf{Z}[\omega]/(p)$  is a field. By the fact that  $\mathbf{Z}[\omega]/(p) \simeq (\mathbf{Z}/p)[x]/(x^2 + x + 1)$ , this is equivalent to that  $(x^2 + x + 1) \subset (\mathbf{Z}/p)[x]$  is a maximal ideal. But  $(\mathbf{Z}/p)[x]$  is a PID, hence this is equivalent to that  $x^2 + x + 1$  is irreducible in  $(\mathbf{Z}/p)[x]$ .

Step 6: Problem 7.6(a).

Suppose  $x^2 + x + 1$  has a root  $\alpha$  in  $\mathbf{Z}/p$ , then

$$\alpha^3 - 1 = (\alpha - 1) \cdot (\alpha^2 + \alpha + 1) = 0.$$

Since  $p \neq 3$ ,  $\alpha \neq 1$ . Therefore the order of  $\alpha$  is three. The order of  $\alpha$  divides the order of the multiplicative group  $(\mathbf{Z}/p)^\times$ , which has order  $p - 1$ . Therefore,  $3 \mid p - 1$ . Conversely, if  $3 \mid p - 1$ , say  $3k = p - 1$ , then

$$x^{p-1} - 1 = (x^{\frac{p-1}{3}} - 1)(x^{\frac{2(p-1)}{3}} + x^{\frac{p-1}{3}} + 1).$$

But we know  $x^{p-1} - 1$  factors into distinct linear polynomials in  $\mathbf{Z}/p$ . Since  $(\mathbf{Z}/p)[x]$  is a UFD, some linear factor of  $x^{p-1} - 1$  divides  $x^{\frac{2(p-1)}{3}} + x^{\frac{p-1}{3}} + 1$ , meaning that there is an  $\alpha \in \mathbf{Z}/p$  such that  $\alpha^{\frac{2(p-1)}{3}} + \alpha^{\frac{p-1}{3}} + 1 = 0$  in  $\mathbf{Z}/p$ . Let  $\beta = \alpha^{\frac{p-1}{3}}$ , we see that  $x^2 + x + 1$  has a root  $\beta$  in  $\mathbf{Z}/p$ .

<sup>1</sup>Make sure you understand where integrality of  $f$  is used! In fact, we only need that  $f$  has real coefficients.

Step 7: Problem 7.6(c)

Suppose  $p = \alpha \cdot \beta$  where both  $\alpha$  and  $\beta$  are not units in  $\mathbf{Z}[\omega]$ . Then

$$p^2 = N(p) = N(\alpha)N(\beta).$$

By step 4,  $N(\alpha), N(\beta) > 1$ . So we can only have  $N(\alpha) = N(\beta) = p$ . Then  $p = a^2 - ab + b^2$  for some integers  $a, b$ . Conversely, if  $p = a^2 - ab + b^2$ , then  $p = (a + b\omega) \cdot (a + b\bar{\omega}) = (a + b\omega) \cdot (a - b - b\omega)$ . Since  $N(a - b\omega) = N(a + b\bar{\omega}) = p > 1$ , we see that both  $a + b\omega$  and  $a + b\bar{\omega}$  are not units. Hence  $p$  factors in  $\mathbf{Z}[\omega]$ .

- **Problem 7.8**

See Theorem 9.10 of <http://www.math.uconn.edu/~kconrad/blurbs/ugradnumthy/Zinotes.pdf>.