

# Strichartz Estimates for the Wave Equation on Manifolds with Boundary

Hart F. Smith

Department of Mathematics  
University of Washington, Seattle

AMSI-ANU Spectral Theory and Harmonic Analysis

Wave equation on Riemannian manifold  $(M, g)$ 

Cauchy problem:  $\partial_t^2 u(t, x) - \Delta_g u(t, x) = 0$

$$u(0, x) = f(x), \quad \partial_t u(0, x) = g(x)$$

Strichartz estimates:

$$\|u\|_{L_t^p L_x^q((-\infty, \infty) \times M)} \lesssim \|f\|_{H^\gamma(M)} + \|g\|_{H^{\gamma-1}(M)}$$

Estimates hold on  $\mathbb{R}^n$  or compact manifold without boundary if:

*Scale invariance:*  $\frac{1}{p} + \frac{n}{q} = \frac{n}{2} - \gamma$

*Admissibility:*  $\frac{2}{p} + \frac{n-1}{q} \leq \frac{n-1}{2}$

## Blair-S.-Sogge, 2008

$(M, g)$  = compact manifold with boundary, Dirichlet or Neumann conditions at  $\partial M$ , then the Strichartz estimates hold if

$$\frac{1}{p} + \frac{n}{q} = \frac{n}{2} - \gamma \quad \text{for} \quad \begin{cases} \frac{3}{p} + \frac{n-1}{q} \leq \frac{n-1}{2}, & n \leq 4 \\ \frac{1}{p} + \frac{1}{q} \leq \frac{1}{2}, & n \geq 4 \end{cases}$$

- Scale invariant (no-loss), restriction on admissibility
- Estimates are *subcritical*: on  $\mathbb{R}^n$  obtained from critical pair  $(p, \tilde{q})$  followed by Sobolev embedding  $W^{s, \tilde{q}} \subset L^q$
- Admissible range of  $p, q$  certainly not optimal, but includes important estimates.

## Blair-S.-Sogge, 2008

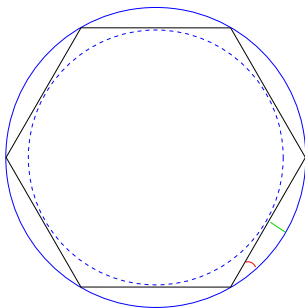
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## Multiple reflections / Gliding rays!

Nondispersive region: **angular spread**  $\approx \lambda^{-\frac{1}{3}}$   
**physical spread**  $\approx \lambda^{-\frac{2}{3}}$



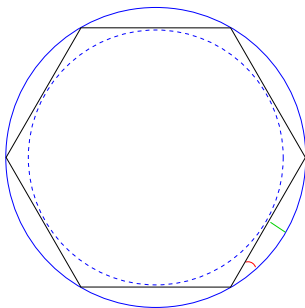
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# Key step in proof: Eliminate the boundary

Geodesic normal coordinates along  $\partial M : M = \{x_2 \geq 0\}$

$$g = dx_2^2 + a_{11}(x_1, x_2) dx_1^2, \quad \text{smooth on } x_2 \geq 0$$

Extend  $g$  across  $\partial M$  to be even in  $x_2$

$$\tilde{g} = dx_2^2 + a_{11}(x_1, |x_2|) dx_1^2$$

- Odd extension of Dirichlet solution:  $\frac{x_2}{|x_2|} \phi_j(x_1, |x_2|)$   
is solution for  $\partial_t^2 - \Delta_{\tilde{g}}$
- Even extension of Neumann solution:  $\phi_j(x_1, |x_2|)$   
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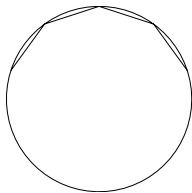
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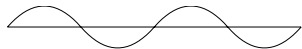
## No more boundary / reflected geodesics:

Disc:  $r \leq 1$ 

$$g = dr^2 + \frac{1}{r^2} d\theta^2$$

Normal coordinates:  $x_2 = 1 - r$ 

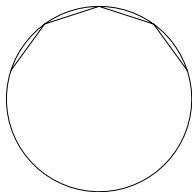
$$\tilde{g} = dx_2^2 + \frac{1}{(1-|x_2|)^2} dx_1^2$$

*But metric is Lipschitz.*

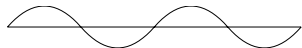
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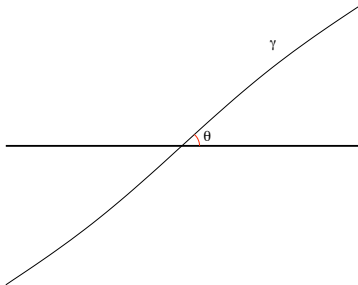
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Metric  $\tilde{g}$  is of special Lipschitz type:

$d_x^2 \tilde{g} \approx \delta(x_2)$  is integrable along non-tangential geodesics.



$$\frac{dx_2}{dt} \approx \theta \text{ on } \gamma$$

$$\int d_x^2 \tilde{g}(\gamma(t)) dt \approx \theta^{-1}$$

## Tataru [2002] : Strichartz estimates

If  $\|\nabla_{t,x}^2 g\|_{L_t^1 L_x^\infty} \leq \theta^{-1}$ , then

$$\|u\|_{L_t^p L_x^q([- \theta, \theta] \times M)} \lesssim \|f\|_{H^s} + \|g\|_{H^{s-1}}$$

for same  $p, q, s$  as smooth manifolds, Euclidean space.

Rescaled version of case  $\theta = 1$ :

If  $\|\nabla_{t,x}^2 g\|_{L_t^1 L_x^\infty} \leq 1$ , then

$$\|u\|_{L_t^p L_x^q([-1,1] \times M)} \lesssim \|f\|_{H^s} + \|g\|_{H^{s-1}}$$

## S-Sogge[2007]: Short time parametrix construction

Microlocalize data to angle  $\theta$ : "Good" parametrix for time  $|t| \leq \theta$ 

- Problem: add up  $\|u_\theta\|_{L_t^p L_x^q}$  over time slices, lose  $\theta^{-1/p}$

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## Gain in bounds from small angle localization

$$K_{\lambda,\theta}(t, x) = \int_{\substack{\lambda \leq |\xi| \leq 2\lambda \\ |\xi_n| \leq \theta\lambda}} e^{i\langle x, \xi \rangle - it|\xi|} d\xi$$

$$|K_{\lambda,\theta}(t, x)| \lesssim \lambda^n \theta (1 + \lambda|t|)^{-\frac{n-2}{2}} (1 + \lambda\theta^2|t|)^{-\frac{1}{2}}$$

- $\|K_{\lambda,\theta}(t, \cdot) * f\|_{L_t^p L_x^q} \lesssim \lambda^\gamma \theta^{\sigma(p,q)} \|f\|_{L^2}$
- No-loss Strichartz if  $\sigma(p, q) \geq 1/p$

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## Friedlander model convex domain

Model domain:  $y \geq 0$ 

$$\Delta_g = \partial_y^2 + (1 + y)\partial_x^2$$

Eigenfunctions:  $e^{ix\xi} f(y)$ 

$$f''(y) = \xi^2(1 + y) f(y)$$

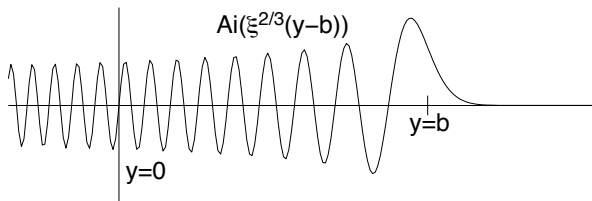
$$f(y) = Ai(\xi^{2/3}(y - b))$$

Eigenvalue:  $\xi^2(1 + b)$

Solutions for  $\partial_t^2 - \partial_y^2 - (1+y)\partial_x^2$ 

$$e^{i(x-t\sqrt{1+b})\xi} \text{Ai}(\xi^{2/3}(y-b))$$

$$x\text{-velocity} = \sqrt{1+b}$$

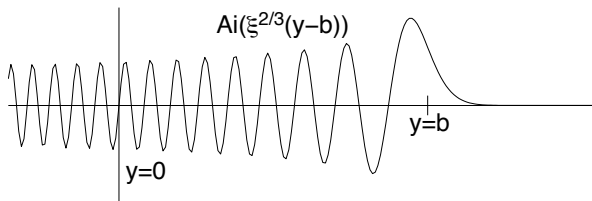


- Dirichlet:  $-\xi^{2/3}b = \text{zero of Airy function}$   $\{-\omega_k\}_{k=0}^{\infty}$
- Fixed zero of  $\text{Ai}$  forces  $b = \omega_k \xi^{-2/3} \Rightarrow \text{dispersion.}$

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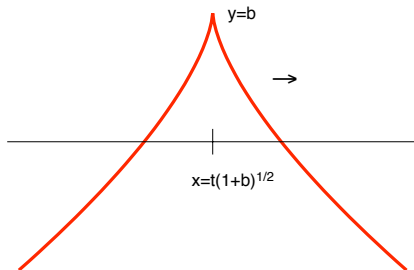


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- Fixed zero of  $\text{Ai}$  forces  $b = \omega_k \xi^{-2/3} \Rightarrow$  dispersion.

Fix  $b$ , ignore boundary condition:

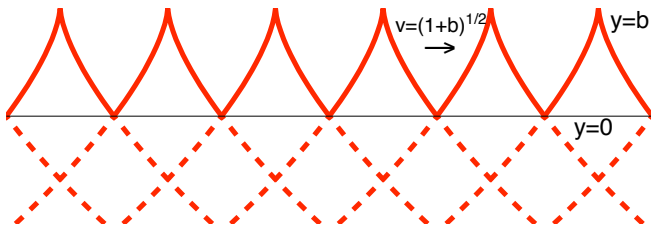
$$\int e^{i\xi(x-t\sqrt{1+b})} \text{Ai}(\xi^{2/3}(y-b)) \xi^{-2/3} d\xi$$

$$= \int e^{i\xi(x-t\sqrt{1+b}) + i\eta(b-y) - i\frac{1}{3}\eta^3/\xi^2} d\xi d\eta$$



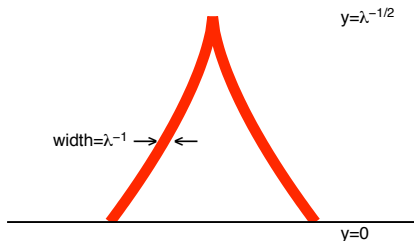
Boundary conditions:  $\xi^{2/3} b = -\omega_k : \xi \approx k\pi \cdot \frac{3}{2} b^{-3/2}$

Poisson summation: periodize by  $\frac{4}{3} b^{3/2}$



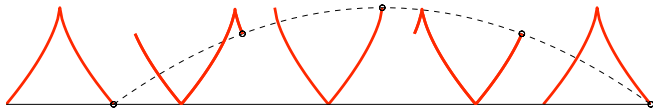
## Ivanovici's example:

Single cusp localized to frequency  $\xi \in [\lambda, 2\lambda]$  with  $b = \lambda^{-\frac{1}{2} + \epsilon}$



$$|f_\lambda| \approx \langle \lambda^{2/3}(b-y) \rangle^{-1/4} \phi(\lambda(x \pm \frac{2}{3}(b-y)^{3/2}))$$

Ray optics tracking of cusp wavefront in domain:



$$\frac{\|u_\lambda(t, \cdot)\|_q}{\|u_\lambda(0, \cdot)\|_2} \approx \begin{cases} \lambda^{\frac{3}{4}(\frac{1}{2} - \frac{q}{4})} & q < 4 \\ \lambda^{\frac{5}{3}(\frac{1}{2} - \frac{1}{q}) - \frac{1}{24}} & q > 4 \end{cases}$$

**Ivanovici [2008] ( $n = 2$ )**

Strichartz estimates fail for  $\frac{3}{p} + \frac{1}{q} > \frac{15}{24}$

**Blair-S-Sogge [2008] ( $n = 2$ )**

Strichartz estimates hold for  $\frac{3}{p} + \frac{1}{q} \leq \frac{1}{2}$



Energy critical wave equation for  $n = 3$ 

$$\square u(t, x) = -u^5(t, x), \quad u|_{\partial\Omega} = 0,$$

$$u(0, x) = f(x), \quad \partial_t u(0, x) = g(x)$$

Two key Strichartz estimates:  $\square u = 0$

$$\|u\|_{L_t^5 L_x^{10}([0, T] \times \Omega)} + \|u\|_{L_t^4 L_x^{12}([0, T] \times \Omega)} \lesssim \|f\|_{H^1(\Omega)} + \|g\|_{L^2(\Omega)}$$

Energy critical wave equation for  $n = 3$ 

- $L_t^5 L_x^{10}$  contraction argument  $\Rightarrow$  small data global existence

$$\|u^5\|_{L_t^1 L_x^2} \leq \|u\|_{L_t^5 L_x^{10}}^5$$

- Grillakis-Shatah-Struwe [1992]: global existence for large data uses local  $L^6$  decay:

$$\lim_{t \rightarrow t_0^-} \int_{|x-x_0| \leq t_0-t} |u|^6 dx = 0$$

$$\|u^5\|_{L_t^1 L_x^2} \leq \|u\|_{L_t^\infty L_x^6} \|u\|_{L_t^4 L_x^{12}}^4$$

Scattering for  $\square u = -u^5$ Bahouri-Gérard-Shatah [1998-99]:  $\Omega = \mathbb{R}^3$ There exists free solutions  $\square v^\pm = 0$  such that

$$\lim_{t \rightarrow \pm\infty} E(u - v_\pm)(t) = 0$$

Key step: 
$$\lim_{t \rightarrow \pm\infty} \int_{\mathbb{R}^3} |u|^6(t, x) dx = 0$$

- Blair-S-Sogge [2008]:  $\Omega =$  exterior to star-shaped obstacle.
- Strichartz estimates global in time on exterior to non-trapping obstacle by S-Sogge [2000]

Energy critical wave equation for  $n = 4$ 

$$\square u(t, x) = -u^3(t, x), \quad u|_{\partial\Omega} = 0,$$

$$u(0, x) = f(x), \quad \partial_t u(0, x) = g(x)$$

Key Strichartz estimate:  $\square u = 0$

$$\|u\|_{L_t^3 L_x^6([0, T] \times \Omega)} \lesssim \|f\|_{H^1(\Omega)} + \|g\|_{L^2(\Omega)}$$

- Contraction argument + energy conservation  $\Rightarrow$  small data global existence, large data global existence for sub-critical powers.
- Large data global existence for critical power requires an estimate with  $p < 3$ , but  $p = 3$  is endpoint for [B-S-S].