

# A bijection on balanced words reversing both des and maj

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**Abstract.** Balanced words on a finite alphabet are those words in which every letter of the alphabet occurs the same number of times. The notion of descents and major index extends in a natural way to words. It is known that the bivariate generating polynomials for descents and major index over balanced words on the alphabet  $[k]$  with  $n$  occurrences each is palindromic, but a bijective proof has been missing even for balanced binary words. We give an explicit bijection proving this result. We also show that for balanced binary words, this bijection simultaneously flips the ascent and comajor index as well. For permutations (which are also examples of balanced words), we show that our bijection is different from the complementation map.

**Keywords:** balanced words, descent, major index, bijective proof

## 1 Introduction

The combinatorics of words is a fascinating topic with a long history and many elegant results. In this work, we are concerned with the descent and major index on balanced words, in which each letter occurs the same number of times; see below for the precise definitions. It is known due to work of Tielker [8, Proposition 4.3.21]<sup>1</sup> that the bivariate generating polynomial of these statistics is palindromic. Carnevale–Voll conjectured [3, Conjectures A and B] further properties of this polynomial. Habsieger [6] and Carnevale [2] gave some partial results towards the conjectures. The main result of this paper is a bijective proof of this bivariate palindromicity.

Permutations are a special case of balanced words and there is a rich history of beautiful bijections, one of the most famous being Foata’s bijection [4] exchanging the inversion number and the major index, both being Mahonian statistics. Here, we are concerned with descents, which is a so-called Eulerian statistic. The so-called ‘fundamental bijection’ due to Foata [5] exchanges the number of descents and excedances. Han [7] gave

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<sup>1</sup>the argument in the proof of [3, Proposition 2.12] contains a gap. We thank Angela Carnevale for pointing this out.

a similar bijection on words with fixed content exchanging the major index and another Mahonian statistic called the  $Z$ -statistic, introduced by Zeilberger–Bressoud [9] in their proof of Andrews’  $q$ -Dyson conjecture.

We now begin with the basic definitions and state our results. Let  $k$  and  $n$  be fixed positive integers. We will work with words over the alphabet  $[k] = \{1, \dots, k\}$ . A word  $w$  is said to be *balanced* if each letter in the alphabet occurs the same number of times in  $w$ . Let  $\mathcal{W}_{n,k}$  be the set of balanced words on  $[k]$  with  $n$  occurrences each, and let  $\mathcal{W}_k = \cup_n \mathcal{W}_{n,k}$  be the set of all balanced words over  $[k]$ .

For any finite word  $w$ , balanced or otherwise, let  $\ell(w)$  be its length. The *descent set* of a word  $w$  is defined by  $\text{Des}(w) = \{i \in [\ell(w) - 1] \mid w_i > w_{i+1}\}$ , and the number of *descents* is  $\text{des}(w) = |\text{Des}(w)|$ . The *major index* of  $w$  is  $\text{maj}(w) = \sum_{i \in \text{Des}(w)} i$ . We can similarly define *ascents* and the *comajor index*. It is easy to see that the ascents and descents are symmetric in  $\mathcal{W}_k$  because for  $w = w_1 \dots w_{kn}$ , its complement  $w' = (k+1 - w_1) \dots (k+1 - w_{2n})$  satisfies  $\text{asc}(w') = \text{des}(w)$  and  $\text{des}(w') = \text{asc}(w)$ . It is quite clear that the minimum value of both  $\text{des}$  and  $\text{maj}$  over  $\mathcal{W}_{n,k}$  is 0 corresponding to the unique word  $w_{\min} = 1 \dots 12 \dots 2k \dots k$ . A little more work shows that the maximum values of  $\text{des}$  and  $\text{maj}$  in  $\mathcal{W}_{n,k}$  are  $(k-1)n$  and  $n^2 \binom{k}{2}$  respectively, and both occur for the unique word  $w_{\max} = k(k-1) \dots 1 k(k-1) \dots 1 \dots k(k-1) \dots 1$ .

The bivariate generating polynomial of  $\text{des}$  and  $\text{maj}$  on balanced words is

$$C_{n,k}(x, q) = \sum_{w \in \mathcal{W}_{n,k}} x^{\text{des}(w)} q^{\text{maj}(w)}.$$

**Theorem 1.1** ([3, Proposition 2.12]). *The bivariate generating polynomial of  $\text{des}$  and  $\text{maj}$  on balanced words is palindromic, i.e.*

$$C_{n,k}(x^{-1}, q^{-1}) = x^{-n(k-1)} q^{-n^2 \binom{k}{2}} C_{n,k}(x, q).$$

For example, the coefficients of  $C_{2,3}(x, q)$  can be written as the array

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 2 & 5 & 6 & 5 & 2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 6 & 10 & 14 & 10 & 6 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 2 & 5 & 6 & 5 & 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix},$$

and it is clear that rotating the array by  $180^\circ$  leaves it invariant.

We will construct an explicit bijection proving this result in [Section 3](#). The bijection builds on the one constructed for binary words, which we explain first in [Section 2](#). We also show that the latter respects ascents and the comajor index, as well as a property we call mirror-symmetry. The detailed proofs appear in the arXiv version [1].

## 2 Bijection on binary words

A *boolean array* is a word in the letters T and F.<sup>2</sup> If  $\mu$  is a boolean array, let  $\bar{\mu}$  be its *complement*, i.e, the boolean array swapping the T's for F's and vice versa in  $\mu$ . Let  $w \in \mathcal{W}_{n,2}$ . Define the boolean array  $\mu_w$  with respect to the occurrences of 1 in  $w$  as

$$(\mu_w)_i = \begin{cases} \text{T} & \text{if the } i\text{'th 1 in } w \text{ is immediately preceded by 2,} \\ \text{F} & \text{otherwise.} \end{cases}$$

Similarly, define the boolean array  $\nu_w$  with respect to the occurrences of 2 in  $w$  as

$$(\nu_w)_i = \begin{cases} \text{T} & \text{if the } i\text{'th 2 in } w \text{ is followed by 1,} \\ \text{F} & \text{otherwise.} \end{cases}$$

Let  $B_n = \{\text{T}, \text{F}\}^n$  be the set of boolean arrays of length  $n$ . For  $\mu \in B_n$ , let  $n_{\text{T}}(\mu)$  (resp.  $n_{\text{F}}(\mu)$ ) be the number of T's (resp. F's) in  $\mu$ . Let  $B_n^k = \{\mu \in B_n \mid n_{\text{T}}(\mu) = k\}$  be the set of boolean arrays having  $k$  T's. Define

$$P_n = \bigsqcup_{0 \leq k \leq n} B_n^k \times B_n^k.$$

It is clear that the cardinality of  $P_n$  is given by

$$|P_n| = \sum_{k=0}^n \binom{n}{k}^2 = \binom{2n}{n},$$

which is also the cardinality of  $\mathcal{W}_{n,2}$ .

Given  $w \in \mathcal{W}_{n,2}$  with  $\text{des}(w) = d$ , it is clear that  $w$  can be uniquely written in the form

$$w = 1^{p_1} 2^{q_1} 21 1^{p_2} 2^{q_2} 21 \dots 21 1^{p_{d+1}} 2^{q_{d+1}}.$$

The descents are then at positions  $p_1 + q_1 + 1, p_1 + q_1 + 2 + p_2 + q_2 + 1, \dots, p_1 + q_1 + 2 + \dots + p_d + q_d + 1$ . Define a map  $\phi : \mathcal{W}_{n,2} \rightarrow P_n$  by setting  $\phi(w) = (\mu_w, \nu_w)$  where

$$\mu_w = \text{F}^{p_1} \text{T F}^{p_2} \text{T} \dots \text{F}^{p_d} \text{T F}^{p_{d+1}},$$

and

$$\nu_w = \text{F}^{q_1} \text{T F}^{q_2} \text{T} \dots \text{F}^{q_d} \text{T F}^{q_{d+1}}.$$

Clearly  $\phi$  is a bijection that takes  $\text{des}(w)$  to  $n_{\text{T}}(\mu_w)$ . Now define the map  $f_2 : \mathcal{W}_2 \rightarrow \mathcal{W}_2$  by  $f_2(w) = w'$  where  $w'$  is the unique word in  $\mathcal{W}_{n,2}$  having  $\mu_{w'} = \bar{\mu}_w$  and  $\nu_{w'} = \bar{\nu}_w$ . The main result of this section proves [Theorem 1.1](#) for  $k = 2$  and is the following.

<sup>2</sup>We use T and F instead of 1 and 0 respectively to avoid confusion with the symbols in the alphabet.

**Theorem 2.1.** *The map  $f_2$  on  $\mathcal{W}_2$  is an involution (and hence a bijection) satisfying  $\text{des}(f_2(w)) = n - \text{des}(w)$  and  $\text{maj}(f_2(w)) = n^2 - \text{maj}(w)$  for all  $w \in \mathcal{W}_{n,2}$ .*

*Proof of Theorem 2.1.* From the definitions above, it is clear that  $f_2$  is a bijection. Let  $w' = f_2(w)$ . Then,

$$\text{des}(w) + \text{des}(w') = n_{\text{T}}(\mu_w) + n_{\text{T}}(\mu_{w'}) = n_{\text{T}}(\mu_w) + n_{\text{T}}(\bar{\mu}_w) = n.$$

Suppose  $w$  has  $d$  descents at positions  $a_1 < \dots < a_d$  so that  $\text{maj}(w) = a_1 + \dots + a_d$ . Then,

$$a_i = |\{w_j \mid j < a_i, w_j = 1\}| + |\{w_j \mid j < a_i, w_j = 2\}| + 1.$$

Now, write  $\mu_w = \text{F}^{p_1} \text{T} \text{F}^{p_2} \text{T} \dots \text{F}^{p_d} \text{T} \text{F}^{p_{d+1}}$  and  $\nu_w = \text{F}^{q_1} \text{T} \text{F}^{q_2} \text{T} \dots \text{F}^{q_d} \text{T} \text{F}^{q_{d+1}}$ . Then

$$a_i = \left( \sum_{j=1}^{i-1} (p_j + 1) + p_i \right) + \left( \sum_{j=1}^{i-1} (q_j + 1) + q_i \right) + 1$$

and thus,  $a_i$  is the position of the  $i$ 'th T in  $\mu_w$  plus the position of the  $i$ 'th T in  $\nu_w$  minus 1. Said another way, we count each letter in  $\mu_w$  and  $\nu_w$  before the  $i$ 'th T once and then add 1, to obtain  $a_i$ .

Let  $w' = f_2(w)$ . By the definition of  $f_2$ ,  $\mu_{w'} = \bar{\mu}_w$  and  $\nu_{w'} = \bar{\nu}_w$ . Let  $b_1 < \dots < b_{n-d}$  be the positions of the  $n - d$  descents of  $w'$ . By the same argument as above,  $b_i$  counts each letter in  $\bar{\mu}_w$  and  $\bar{\nu}_w$  before the  $i$ 'th T once with a 1 added. Equivalently,  $b_i$  counts each letter in  $\mu_w$  and  $\nu_w$  before the  $i$ 'th F once with a 1 added.

Thus, when we add  $\text{maj}(w)$  and  $\text{maj}(f_2(w))$ , we are counting the  $j$ 'th letter in both  $\mu_w$  and  $\nu_w$  exactly  $(n - j)$  times, plus an extra 1. Therefore,

$$\text{maj}(w) + \text{maj}(f_2(w)) = \sum_{j=1}^n (j - 1) + \sum_{j=1}^n (j - 1) + n = n^2,$$

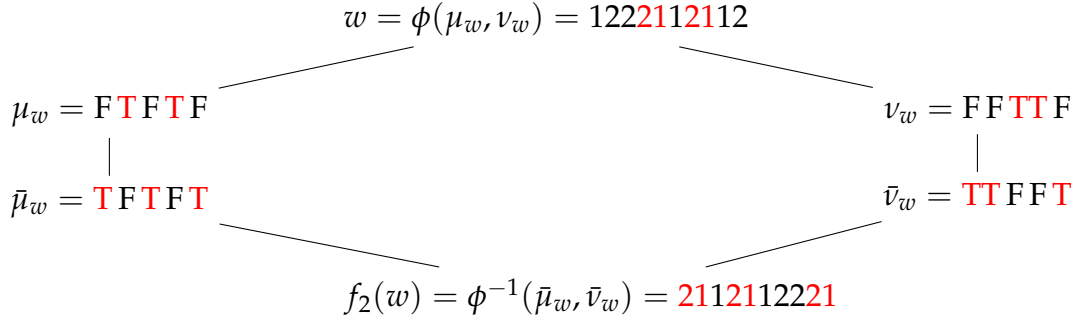
completing the proof. □

See [Figure 1](#) for an example of computing  $f_2(w)$  for a word  $w \in \mathcal{W}_{5,2}$ .

## 2.1 Ascents

While complementation does flip ascents and descents, it does not satisfy [Theorem 2.1](#). However, we show that  $f_2$  satisfies the ascent equivalents of the two lemmas as well, as illustrated in the example below.

**Example 2.2.** *For the example  $w = 1222112112$  in [Figure 1](#),  $\text{asc}(w) = 3$  and  $\text{comaj}(w) = 16$ . Moreover,  $\text{asc}(f_2(w)) = 5 - 3 = 2$  and  $\text{comaj}(f_2(w)) = 25 - 16 = 9$ .*



**Figure 1:** For  $w = 1222112112$ ,  $\text{des}(w) = 2$ ,  $\text{maj}(w) = 11$ ,  $\text{des}(f_2(w)) = 5 - 2 = 3$ , and  $\text{maj}(f_2(w)) = 25 - 11 = 14$ . The T's and the descents in the words have both been written in red to make clear the construction of the boolean arrays.

We start by defining the dual of  $f_2$ , which we call  $f'_2$ , that works on ascents instead of descents. Given  $w \in \mathcal{W}_{n,2}$  with  $\text{asc}(w) = d$ , we can write  $w$  uniquely in the form

$$w = 2^{q_1} 1^{p_1} 12 2^{q_2} 1^{p_2} 12 \dots 12 2^{q_{d+1}} 1^{p_{d+1}}.$$

Define the map  $\phi' : \mathcal{W}_{n,2} \rightarrow P_n$  by setting  $\phi'(w) = (\mu'_w, \nu'_w)$  where

$$\begin{aligned}
 \mu'_w &= \text{F}^{p_1} \text{T F}^{p_2} \text{T} \dots \text{F}^{p_d} \text{T F}^{p_{d+1}}, \\
 \nu'_w &= \text{F}^{q_1} \text{T F}^{q_2} \text{T} \dots \text{F}^{q_d} \text{T F}^{q_{d+1}}.
 \end{aligned}$$

Finally, define the map  $f'_2 : \mathcal{W}_2 \rightarrow \mathcal{W}_2$  by  $f'_2(w) = w'$  where  $w'$  is the word having  $\mu'_{w'} = \bar{\mu}'_w$  and  $\nu'_{w'} = \bar{\nu}'_w$ . It should be clear that  $f'_2$  is a bijection and that the proof of [Theorem 2.1](#) can clearly be repurposed to prove that  $\text{asc}(w) + \text{asc}(f'_2(w)) = n$  and  $\text{comaj}(w) + \text{comaj}(f'_2(w)) = n^2$  for all  $w \in \mathcal{W}_{n,2}$ . We now prove something a little more surprising.

**Theorem 2.3.** For  $w \in \mathcal{W}_{n,2}$ ,  $\text{asc}(w) + \text{asc}(f_2(w)) = n$  and  $\text{comaj}(w) + \text{comaj}(f_2(w)) = n^2$ .

*Sketch of proof.* We can show a reversible mapping between  $(\mu_w, \nu_w)$  and  $(\mu'_w, \nu'_w)$ . In particular,  $(\nu'_w)_1 = (\mu_w)_1^c$  and  $(\mu'_w)_n = (\nu_w)_n^c$ . For instance, if

$$w = 1^{p_1} 2^{q_1} 21 1^{p_2} 2^{q_2} 21 \dots 21 1^{p_{d+1}} 2^{q_{d+1}}$$

and  $p_1 > 0$  i.e.  $(\mu_w)_1 = \text{F}$  and  $q_{d+1} > 0$  i.e.  $(\nu_w)_n = \text{F}$ , we have

$$\begin{aligned}
 w &= 1^{p_1-1} 122^{q_1} 1^{p_2} 122^{q_2} 1^{p_3} 12 \dots 2^{q_d} 1^{p_{d+1}} 122^{q_{d+1}-1}, \\
 \mu' &= \text{F}^{p_1-1} \text{T F}^{p_2} \text{T} \dots \text{F}^{p_{d+1}} \text{T F}^0, \quad \nu' = \text{F}^0 \text{T F}^{q_1} \text{T} \dots \text{F}^{q_d} \text{T F}^{q_{d+1}-1}.
 \end{aligned}$$

□

**Remark 2.4.** For  $k > 2$ , the ascents and descents do not behave well jointly. For instance,  $w = 111222333 \in \mathcal{W}_{3,3}$  is the only word having  $\text{des}(w) = 0$ ,  $\text{maj}(w) = 0$ ,  $\text{asc}(w) = 2$ , and  $\text{comaj}(w) = 9$  but there are no words having  $\text{des}(w) = 6$ ,  $\text{maj}(w) = 27$ ,  $\text{asc}(w) = 4$ , and  $\text{comaj}(w) = 18$ .

## 2.2 Mirror-symmetric words

A balanced word  $w \in \mathcal{W}_{n,2}$  can be drawn as a lattice path in an  $n \times n$  grid that starts at  $(0,0)$  and ends at  $(n,n)$  taking north and east steps. One way to do this is to let a 1 in  $w$  be an east step in the lattice path and to let 2 to be a north step. A lattice path is *mirror-symmetric* if it is reflectively symmetric across the NW-SE diagonal. Let  $\text{rev}(w) = w_{\ell(w)} \dots w_1$  be the reverse of a word  $w$ . A word  $w$  is mirror-symmetric if

$$w_{n+1} \dots w_{2n} = \overline{\text{rev}(w_1 \dots w_n)}.$$

For example,  $w = 1^n 2^n$  is one such path. Note that  $f_2(w) = (21)^n$  is also mirror-symmetric. It is not difficult to see that there are  $2^n$  mirror-symmetric words in  $\mathcal{W}_{n,2}$ .

**Theorem 2.5.** Let  $w \in \mathcal{W}_2$  be mirror-symmetric. Then, so is  $f_2(w)$ .

**Example 2.6.** The word  $w = 12222122212111211112$  is mirror-symmetric. One can verify that  $f_2(w) = 211112121122212122221$  is also mirror-symmetric. Note that  $f_2(w)$  is not obtained from  $w$  by interchanging 1's and 2's.

## 3 Bijection on $\mathcal{W}_k$

We will construct a bijective map  $f_k$  on  $\mathcal{W}_{n,k}$  to prove [Theorem 1.1](#) in this section. The map will be constructed inductively using  $f_{k-1}$ .

### 3.1 Various maps

Here, we will define the maps needed to construct the bijection. We will consider the running example  $w = 325544135121432 \in \mathcal{W}_{3,5}$  throughout this section to illustrate the notation and ideas.

Recall that in [Section 2](#), a word  $w \in \mathcal{W}_{n,2}$  is reversibly encoded as a pair of boolean arrays  $(\mu_w, \nu_w)$ . For  $w \in \mathcal{W}_{n,k}$ , define the word  $w_{-k} \in \mathcal{W}_{n,k-1}$  as the subword of  $w$  with the  $k$ 's deleted. We will reversibly encode  $w$  as a triplet  $(w_{-k}, \mu_w, \nu_w)$  for some boolean arrays  $\mu_w$  and  $\nu_w$ .

**Notation 3.1.** For  $w \in \mathcal{W}_{n,k}$ , let  $\underline{w}$  be the subword of  $w$  obtained by removing all occurrences of  $k$  which do not lead to a change in the number of descents. To be precise, let  $w_i = 0$  for  $i \leq 0$  and

$i > n$ . In particular, let  $w_i$  to  $w_j$  be a sequence of  $k$ 's for  $1 \leq i \leq j \leq n$ . Then, delete  $w_i \dots w_j$  if one of the following is true:

1.  $w_{i-1} > w_{j+1}$  and both  $w_{i-1}, w_{j+1} < k$ , or
2.  $w_{j+1} = k$ ,  $w_{i-1} \leq w_{j+2}$ , and both  $w_{i-1}, w_{j+2} < k$ .

Notice that the order in which disjoint blocks of  $k$ 's are deleted does not matter.

By construction,  $\text{des}(\underline{w}) = \text{des}(w)$ . Define the set of *non-descents* of a (not necessarily balanced) word  $\text{NDes}(w)$  in  $w$  as

$$\text{NDes}(w) = \{0\} \cup \{1 \leq i \leq \ell(w) - 1 \mid w_i \leq w_{i+1}\}. \quad (3.1)$$

The size of  $\text{NDes}(w)$  is  $|\text{NDes}(w)| = \ell(w) - \text{des}(w)$ . For our running example, we obtain  $\underline{w} = 3254413121432$  and  $\text{NDes}(w_{-5}) = \{0, 2, 3, 5, 7, 9\}$ .

**Lemma 3.2.** For  $w \in \mathcal{W}_{n,k}$ , suppose the positions of  $k$  in  $\underline{w}$  are  $a_1 < \dots < a_r$ . Then  $\{a_i - i \mid 1 \leq i \leq r\} \subseteq \text{NDes}(w_{-k})$ .

*Proof.* Note that  $a_i - i$  is the position, in  $w_{-k}$ , of the letter preceding the  $i$ 'th  $k$  in  $\underline{w}$ . The definition of  $\underline{w}$  ensures that it has no consecutive  $k$ 's. By [Notation 3.1](#), the removal of any  $k$  that appears in  $\underline{w}$  must necessarily decrease descents by 1. Therefore, the positions  $a_i - i$  in  $w_{-k}$  are non-descents.  $\square$

Let  $\mathcal{W}_{n,k}^d = \{w \in \mathcal{W}_{n,k} \mid \text{des}(w) = d\}$  be the set of balanced words having  $d$  descents.

**Notation 3.3.** For  $w \in \mathcal{W}_{n,k}^d$ , let  $a_1 < \dots < a_r$  be the positions of  $k$  in  $\underline{w}$  and  $\text{NDes}(w_{-k}) = \{b_1 < \dots < b_s\}$ . Denote by  $\mu_w \in B_{(k-1)n-d+r}^r$  the boolean array given by

$$(\mu_w)_j = \begin{cases} \text{T} & \text{if } b_j \in \{a_i - i \mid 1 \leq i \leq r\}, \\ \text{F} & \text{otherwise.} \end{cases}$$

Note that  $\ell(\mu_w) = |\text{NDes}(w_{-k})| = (k-1)n - d + r$ .

In our running example,  $w \in \mathcal{W}_{3,5}^7$ ,  $r = 1$ ,  $a_1 = 3$  and so  $\mu_w = \text{FTFFFF} \in B_1^1$ . For any subset  $W \subseteq \mathcal{W}_{n,k}$ , let  $\underline{W} = \{\underline{w} \mid w \in W\}$ . Also, let  $\mathcal{W}_{n,k}^{d_1, d_2}$  be the subset of  $\mathcal{W}_{n,k}^d$  such that  $d_1 = \text{des}(w_{-k})$  for  $w \in \mathcal{W}_{n,k}^d$  and  $d_2 = d - d_1$ . Then, the set  $\mathcal{W}_{n,k}$  is partitioned as

$$\mathcal{W}_{n,k} = \bigsqcup_{\substack{0 \leq d_1 \leq (k-2)n \\ 0 \leq d_2 \leq n}} \mathcal{W}_{n,k}^{d_1, d_2}.$$

**Notation 3.4.** For  $u \in \mathcal{W}_{n,k-1}^d$ , write  $\text{NDes}(u) = \{b_1 < \dots < b_{n(k-1)-d}\}$ . Let  $g : \mathcal{W}_{n,k-1}^{d_1} \times B_{(k-1)n-d_1}^{d_2} \rightarrow \mathcal{W}_{n,k}^{d_1, d_2}$  be given by  $g(u, \mu) = v$  where  $v$  is constructed from  $u$  by placing one  $k$  after every  $u_{b_i}$  whenever  $\mu_i = \text{T}$ .

Let  $u = 324413121432 \in \mathcal{W}_{3,4}^6$  and  $\mu = \text{FTFFFF}$ . Then  $v = 3254413121432$  which is exactly  $\underline{w}$  from our running example. It should be clear from Lemma 3.2 that the following proposition is true.

**Proposition 3.5.** *The map  $g$  defined in Notation 3.4 is a bijection. In particular, given  $u \in \underline{\mathcal{W}}_{n,k}^{d_1,d_2}$ ,  $g^{-1}(u) = (u_{-k}, \mu_u)$ .*

Next, we define the *modified descent set*  $\text{Des}_+(w)$  for any word  $w$  as  $\text{Des}_+(w) = \text{Des}(w) \cup \{\ell(w)\}$ , whose size is  $|\text{Des}_+(w)| = \text{des}(w) + 1$ .

**Notation 3.6.** *Let  $w \in \mathcal{W}_{n,k}^{d_1,d_2}$  with  $d = d_1 + d_2$ , and  $\text{Des}_+(w) = \{j_1, \dots, j_{d+1}\}$ . We can write  $w$  in terms of  $\underline{w}$  as*

$$w = \underline{w}_1 \dots \underline{w}_{j_1} k^{p_1} \underline{w}_{j_1+1} \dots \underline{w}_{j_2} k^{p_2} \underline{w}_{j_2+1} \dots \underline{w}_{k_n-d_2} k^{p_{d+1}}$$

for a unique assignment of integers  $p_1, \dots, p_{d+1} \geq 0$  that add up to  $n - d_2$ . Now, let  $v_w \in B_{n+d_1}^{n-d_2}$  be

$$v_w = \text{T}^{p_1} \text{F} \text{T}^{p_2} \dots \text{F} \text{T}^{p_d} \text{F} \text{T}^{p_{d+1}}.$$

From our running example, we see that  $\text{Des}_+(\underline{w}) = \{1, 3, 5, 7, 9, 11, 12, 13\}$  and  $v_w = \text{FTFFFTFFFF}$ .

**Notation 3.7.** *Write  $v \in B_{n+d_1}^{n-d_2}$  as  $v = \text{T}^{p_1} \text{F} \text{T}^{p_2} \dots \text{T}^{p_d} \text{F} \text{T}^{p_{d+1}}$ . Then define  $h : \underline{\mathcal{W}}_{n,k}^{d_1,d_2} \times B_{n+d_1}^{n-d_2} \rightarrow \mathcal{W}_{n,k}^{d_1,d_2}$  by  $h(u, v) = v$ , where  $v$  is constructed from  $u$  by placing  $p_j$   $k$ 's in  $u$  immediately after each position  $j \in \text{Des}_+(u)$ .*

Applying  $h(3254413121432, \text{FTFFFTFFFF})$  gives back our running example  $w = 325544135121432$ .

**Proposition 3.8.** *The map  $h$  defined in Notation 3.7 is a bijection. In particular, given  $u \in \underline{\mathcal{W}}_{n,k}^{d_1,d_2}$ ,  $h^{-1}(u) = (\underline{u}, v_u)$ .*

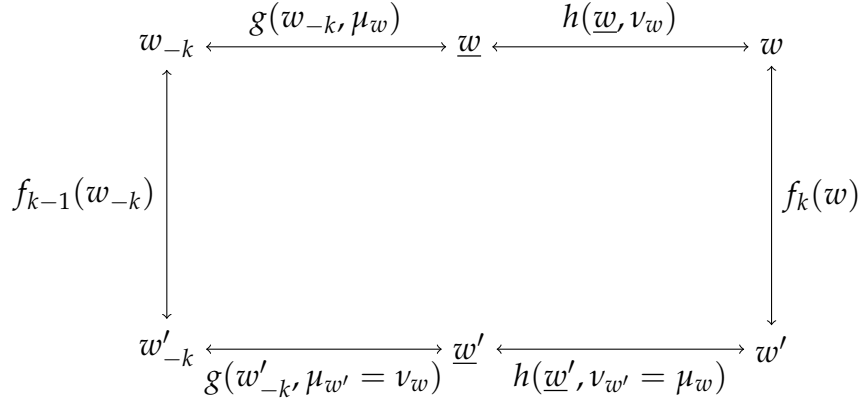
**Notation 3.9.** *For  $k \geq 3$ ,  $n \geq 1$ ,  $0 \leq d_1 \leq (k-2)n$ , and  $0 \leq d_2 \leq n$ , let*

$$R_{n,k}^{d_1,d_2} = \mathcal{W}_{n,k-1}^{d_1} \times B_{(k-1)n-d_1}^{d_2} \times B_{n+d_1}^{n-d_2} \quad \text{and} \quad R_{n,k} = \bigsqcup_{\substack{0 \leq d_1 \leq (k-2)n \\ 0 \leq d_2 \leq n}} R_{n,k}^{d_1,d_2}.$$

Now let  $\Gamma_k : \mathcal{W}_{n,k} \rightarrow R_{n,k}$  be defined by  $\Gamma_k(w) = (w_{-k}, \mu_w, v_w)$ .

**Lemma 3.10.** *The function  $\Gamma_k$  is a bijection.*

*Proof.* Suppose  $\Gamma_k(w) = (w_{-k}, \mu_w, v_w)$ . Then, if  $w \in \mathcal{W}_{n,k}^d$  let  $d_1 = \text{des}(w_{-k})$  and  $d_2 = d - d_1$ . Then  $\mu_w \in B_{(k-1)n-d_1}^{d_2}$ , and so  $g(w_{-k}, \mu_w)$  is well-defined and lives in  $\underline{\mathcal{W}}_{n,k}^{d_1,d_2}$ . Moreover,  $v_w \in B_{n+d_1}^{n-d_2}$  and therefore,  $h(g(w_{-k}, \mu_w), v_w)$  is well-defined and belongs to  $\mathcal{W}_{n,k}^d$ . One then verifies that  $w = h(g(w_{-k}, \mu_w), v_w)$ .  $\square$



**Figure 2:** Schematic for the construction of  $f_k$  for  $k \geq 3$ . In particular,  $f_k(w) = \Gamma_k^{-1}((f_{k-1}(w_{-k}), \nu_w, \mu_w))$ .

### 3.2 Proof of the main result

The idea of the proof is given in Figure 2. Starting with  $w \in \mathcal{W}_{n,k}$ , we obtain  $\underline{w}$  and  $w_{-k}$  as well as  $\mu_w$  and  $\nu_w$ . We then apply  $f_{k-1}$  to get  $w'_{-k}$ . Finally, we use the  $g$  and  $h$  maps along with  $\mu_{w'} = \nu_w$  and  $\nu_{w'} = \mu_w$  to construct the word  $w' \in \mathcal{W}_{n,k}$ . Formally, define the map  $f_k : \mathcal{W}_{n,k} \rightarrow \mathcal{W}_{n,k}$  for  $k \geq 3$  by

$$f_k(w) = \Gamma_k^{-1}((f_{k-1}(w_{-k}), \nu_w, \mu_w)).$$

The base case of this recursion is the function  $f_2$  defined in Section 2. This is the main result.

**Theorem 3.11.** *For  $k \geq 3$ ,  $f_k$  satisfies  $\text{des}(w) + \text{des}(f_k(w)) = (k - 1)n$  and  $\text{maj}(w) + \text{maj}(f_k(w)) = n^2 \binom{k}{2}$  for all  $w \in \mathcal{W}_k$ .*

For our running example, one can check that  $f_4(w_{-5}) = 142422331134$ . Recalling that  $\mu_w = \text{FTFFFF}$  and  $\nu_w = \text{FTFFFTFFFF}$ , we get  $f_5(w) = 15424225331134$  and  $f_5(w) = 154524225331134$ . It is verified that  $\text{des}(f_5(w)) = 12 - 7 = 5$  and  $\text{maj}(f_5(w)) = 90 - 58 = 32$ . It might help to see how  $\Gamma$  and  $f$  act on all of  $S_3$  in Table 1.

**Lemma 3.12.** *Let  $w \in \mathcal{W}_{n,k}$  for  $k \geq 3$ . Then,*

$$\text{maj}(w) - \text{maj}(w_{-k}) + \text{maj}(f_k(w)) - \text{maj}(f_k(w)_{-k}) = (k - 1)n^2.$$

*Proof of Theorem 3.11.* We will prove this by induction on  $k$ . For  $k = 2$ , the result is proved in Theorem 2.1. So suppose  $k > 2$  and let  $w \in \mathcal{W}_{n,k}^{d_1, d_2}$ . Then  $\text{des}(w) = d_1 + d_2$ ,

$w \in S_3$	$\mu_w$	$w_{-3}$	$g(w_{-3}, \mu_w)$	$\nu_w$	$h(g(w_{-3}, \mu_w))$	des	maj
123	FF	12	12	T	123	0	0
132	FT	12	132	F	132	1	2
312	TF	12	312	F	312	1	1
321	T	21	321	FF	321	2	3
213	F	21	21	FT	213	1	1
231	F	21	21	TF	231	1	2

**Table 1:** The action of the map  $\Gamma_3$  on  $S_3$ . The permutations are ordered so that  $f_3$  maps the  $i$ 'th row above the bar to the  $i$ 'th row below the bar. Notice that the roles of  $\mu_w$  and  $\nu_w$  get swapped in these rows.

$\Gamma_k(w) = (w_{-k}, \mu_w, \nu_w)$  from Notation 3.9, and  $f_{k-1}(w_{-k}) \in \mathcal{W}_{n,k-1}^{(k-2)n-d_1}$  by the induction hypothesis.

$$(f_{k-1}(w_{-k}), \nu_w, \mu_w) \in R_{n,k}^{(k-2)n-d_1, n-d_2} = \mathcal{W}_{n,k-1}^{(k-2)n-d_1} \times B_{n+d_1}^{n-d_2} \times B_{(k-1)n-d_1}^{d_2}$$

from Notations 3.3 and 3.6. By Lemma 3.10,  $f_k(w) = \Gamma_k^{-1}((f_{k-1}(w_{-k}), \nu_w, \mu_w))$  and  $f_k(w) \in \mathcal{W}_{n,k}^{(k-2)n-d_1, n-d_2}$ . Therefore,  $\text{des}(f_k(w)) = (k-1)n - d_1 - d_2$ , proving the first part.

Using Lemma 3.12 and by the induction hypothesis,

$$\begin{aligned} \text{maj}(w) + \text{maj}(f(w)) &= \text{maj}(w_{-k}) + \text{maj}(f(w)_{-k}) \\ &\quad + \text{maj}(w) - \text{maj}(w_{-k}) + \text{maj}(f(w)) - \text{maj}(f(w)_{-k}) \\ &= \binom{k-1}{2} n^2 + (k-1)n^2 = \binom{k}{2} n^2, \end{aligned}$$

proving the second part. □

From the proof, we immediately deduce the following.

**Corollary 3.13.** *The bijections satisfy the property  $f_{k-1}(w_{-k}) = f_k(w)_{-k}$  for all  $w \in \mathcal{W}_{n,k}$  for all  $n \geq 1, k \geq 3$ .*

### 3.3 Permutations

Note that permutations are a special case of balanced words with  $n = 1$ . Thus, one can study the map  $f_k$  on the set of permutations,  $S_k = \mathcal{W}_{1,k}$ . We note that the complemen-

tation map sending each letter  $a \mapsto k + 1 - a$  is also sufficient to bijectively prove [Theorem 1.1](#). However, unlike  $f_k$ , this map does not reduce to  $S_{k-1}$  correctly, i.e. does not satisfy [Corollary 3.13](#). For example,  $f_8(46782513) = 76158342$  and  $f_7(4672513) = 7615342$ . We now show that  $f_k$  inverts the set of descents, just like complementation.

**Theorem 3.14.** *Let  $w \in S_k$ . Then  $\text{Des}(f_k(w)) = [k - 1] \setminus \text{Des}(w)$ .*

*Proof.* This is clearly the case when  $k = 2$ . Now suppose  $k > 2$ . Note that  $n_T(\mu_w) + n_T(v_w) = 1$ . Without loss of generality, suppose  $n_T(\mu_w) = 1$  and let  $w_i = k$  (if not, we can work with  $f_k(w)$  instead). Let  $u = w_{-k}$ ,  $u' = f_{k-1}(w_{-k})$ , and  $w' = f_k(w)$ . We have

$$\text{Des}(w) = \text{Des}(u_1 \dots u_{i-1}) \cup \{i - 1 + d \mid d \in \text{Des}(ku_i \dots u_{k-1})\}.$$

Suppose  $T$  is at position  $t$  in  $\mu_w$ ,  $w$  is constructed from  $u$  by inserting  $k$  after the  $(t - 1)$ 'th non-descent (we insert at the beginning when  $t = 1$ ). On the other hand, let  $j$  be the position of  $k$  in  $w'$ , then we construct  $w'$  from  $u'$  by inserting  $k$  after the  $t'$ 'th descent in  $u'$  since  $v_{f_k(w)} = \mu_w$ . However, by our inductive assumption, the position  $j - 1$  in  $u$  is the location of its  $t'$ 'th non-descent. Hence, we can deduce that  $i < j$ ,  $\text{Des}(u_i \dots u_j) = \{1, \dots, j - i - 1\}$ , and  $\text{Des}(u'_i \dots u'_j) = \emptyset$ . Thus,

$$\begin{aligned} \text{Des}(w') &= \text{Des}(u'_1 \dots u'_{j-1}) \cup \{j - 1 + d \mid d \in \text{Des}(ku'_j \dots u'_n)\} \\ &= \text{Des}(u'_1 \dots u'_{i-1}) \cup \{i - 1 + d \mid d \in \text{Des}(u'_i \dots u'_j ku'_{j+1} \dots u'_n)\} \\ &= \text{Des}(u'_1 \dots u'_{i-1}) \cup (\{i - 1 + d \mid d \in \text{Des}(ku'_i \dots u'_n)\} \setminus \{i\}) \\ &= \{1, \dots, k - 1\} \setminus \text{Des}(w). \end{aligned}$$

□

In the case of permutations, we get a simpler way to construct  $f_n(w)$ . The definitions of  $\text{NDes}$  in [\(3.1\)](#) and  $\text{Des}_+$ , as well as [Theorem 3.14](#) lead to the following observations. (1) If  $n$  is right after the  $i$ 'th non-descent in  $w_{-n}$ , then the position of  $n$  in  $f_n(w)$  is one more than the position of the  $(i + 1)$ 'th non-descent in  $w_{-n}$ . If  $i$  is the last non-descent, then  $n$  is at the end of  $f_n(w)$ . (2) If  $n$  is right after the  $i$ 'th descent in  $w_{-n}$ , then the position of  $n$  in  $f_n(w)$  is one more than the position of the  $(i - 1)$ 'th descent in  $w_{-n}$ . If  $i$  is the first descent, then  $n$  is at the beginning of  $f_n(w)$ .

As an example, let us construct  $f_8(w)$ , where  $w = 46872513$ . Start with eight blanks  $- - - - -$ . We see that 8 occurs after the third non-descent in  $w_{-8} = 4672513$ , where we recall from [\(3.1\)](#) that 0 is the first non-descent. Since the fourth non-descent is at position 4, 8 must be added to the fifth blank:  $- - - - 8 - - -$ . Next, ignoring 8 in  $w$ , 7 occurs after the first descent in  $w$  with 7, 8 removed. Hence, it must occur at the first blank:  $7 - - - 8 - - -$ . Likewise, ignoring elements larger than 5 in  $w$ , 6 occurs after the first descent. Hence, it must occur at the first of the remaining blanks:  $76 - - 8 - - -$ . Next, 5 occurs at the second descent. Hence, it must occur at the second blank:  $76 - 58 - - -$ . Continuing this way, we get  $f_8(46872513) = 76158342$ . We have not found this map in the literature.

## 4 Discussion

In this work, we have given a bijection on balanced words that simultaneously flips both the number of descents and the major index. The bijection is recursive on the size of the alphabet. Our bijection has many nice properties. For example, for balanced binary words, it also flips the number of ascents and the comajor index, as well as preserving mirror-symmetric words. For permutations, the bijection behaves nicely with respect to the restriction map.

We note that the inversion generating function of balanced words is also palindromic with an easy bijective proof. However, our bijection does not behave nicely with inversions.

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