

# Quantizing the maximal spectrum

(arXiv:2111.07081)

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Recent Advances and New Directions in the Interplay  
of Noncommutative Algebra and Geometry

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In honor of S. Paul Smith

- 1 Noncommutative spectral theory
- 2 Quantizing the maximal spectrum
- 3 Duals of twisted tensor products

# Motivating question

**Question:** What is the “noncommutative space” corresponding to a noncommutative algebra?

## Motivation:

- Solution would yield a rich invariant for noncommutative rings, which could control “coarser” invariants
- Help us “see” which rings are “geometrically nice”
- Another perspective on the state space of a quantum system

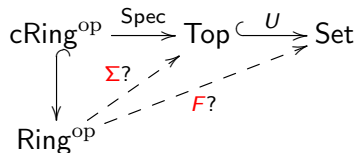
# Modeling all rings via “spaces”?

**Question:** What is the “noncommutative space” corresponding to a noncommutative algebra?

To make this a rigorous problem, we should first set some requirements:

- (A) Keep the classical construction if the ring is commutative.
  - (B) Make it a *functorial* construction.  
(To ensure it's truly geometric, and to aid computation.)
  - (C) Assign a nontrivial spectrum to each nonzero ring.
- 
- Lots of interesting noncommutative spectra satisfy (A) and (C).
  - Fewer satisfy both (A) and (B), and they all tend to fail (C).
  - Sadly, this is not a coincidence. . .

# Obstructions to Spec functors

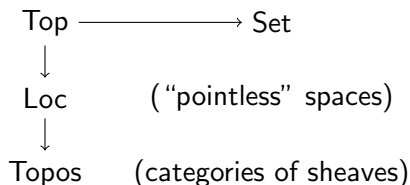


**Theorem (R., 2012):** Any functor  $F: \text{Ring}^{\text{op}} \rightarrow \text{Set}$  whose restriction to the full subcategory  $\text{cRing}^{\text{op}}$  is isomorphic to  $\text{Spec}$  has  $F(\mathbb{M}_n(\mathbb{C})) = \emptyset$  for  $n \geq 3$ . (Same holds for  $C^*$ -algebras.)

- Still holds when replacing  $\mathbb{C}$  by any ring (Ben-Zvi, Ma, R. 2017)
- Proof depends a “no-hidden-variables” theorem from **quantum mechanics!** (Kochen-Specker, 1967)

# Topology without sets

Does the problem lie with points? There are “point-free” approaches to topology. Try a different forgetful functor?



**Theorem (van den Berg, Heunen 2014):** The same type of obstruction holds when viewing  $\text{Spec}$  as a functor to  $\text{Loc}$  or  $\text{Topos}$ .

**Interpretation:** Sets and topologies are “too commutative” to allow for a NC spectrum functor

# A deeper question

**Q:** What objects should be viewed as *noncommutative sets* (i.e., NC discrete spaces) in NCG?

Ideal solution: a fully faithful embedding  $\text{Set} \hookrightarrow \mathfrak{S}$  into a category of NC sets, and a NC spectrum functor  $\Sigma: \text{Ring}^{\text{op}} \rightarrow \mathfrak{S}$  with commuting diagram

$$\begin{array}{ccccc} \text{cRing}^{\text{op}} & \xrightarrow{\text{Spec}} & \text{Top} & \xrightarrow{U} & \text{Set} \\ \downarrow & & & & \downarrow \\ \text{Ring}^{\text{op}} & \xrightarrow{\Sigma} & & & \mathfrak{S} \end{array}$$

**For now:** Replace  $\text{Spec} \rightsquigarrow \text{Max}$ , restrict the class of algebras, and find an approximate solution. . .

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# From sets to “quantum sets”

$$\begin{array}{ccc} \{\text{commutative algebras}\} & \xrightarrow{\text{Spec}} & \{\text{sets}\} \\ \downarrow & & \downarrow \\ \{\text{noncommutative algebras}\} & \longrightarrow & \{\text{???\} \end{array}$$

**Inspired by quantum mechanics:** If  $X$  is our set of “states,” we should also allow **linear combinations** of states:  $X \rightsquigarrow kX = \text{Span}(X)$

This vector space  $Q = kX$  carries the structure of a **coalgebra**:

- Comultiplication  $\Delta: Q \rightarrow Q \otimes Q$  given by  $x \mapsto x \otimes x$
- Counit  $\eta: Q \rightarrow k$  given by  $x \mapsto 1$

Coalgebra maps correspond to set maps:  $\text{Set}(X, Y) \cong \text{Coalg}(kX, kY)$ .  
Gives a full and faithful embedding  $\text{Set} \hookrightarrow \text{Coalg}$ .

# “Quantum sets” for algebras over a field

**Therefore:** We view a coalgebra  $(Q, \Delta, \eta)$  as a “quantum set” (over  $k$ ). Its *algebra of observables* is the dual algebra  $\text{Obs}(Q) = Q^*$ .

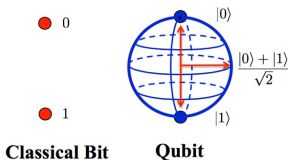
**History:** Coalgebras were considered as “discrete objects” by Takeuchi (1974), and in the noncommutative context by Kontsevich-Soibelman (*noncommutative thin schemes*) and Le Bruyn.

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**Ex:**  $\mathbb{M}^d = \mathbb{M}_d(k)^*$  has “algebra of observables”  $(\mathbb{M}^d)^* \cong \mathbb{M}_d(k)$ . Thus we may view it as a quantum  $d$ -level system or **qudit**.



[http://qoqms.phys.strath.ac.uk/research\\_qc.html](http://qoqms.phys.strath.ac.uk/research_qc.html)

# “Underlying coalgebras” of $k$ -schemes

**Motivating fact:** The underlying set  $|X|$  of a Hausdorff space  $X$  is the directed limit of its finite discrete subspaces.

**Note:** A scheme  $S$  finite over  $k$  is of the form  $S \cong \operatorname{Spec}(B)$  for f.d. algebra  $B$ . The functor  $S \mapsto \Gamma(S, \mathcal{O}_S)^* \cong B^*$  is an equivalence

$$\{\text{finite schemes over } k\} \xrightarrow{\sim} \{\text{f.d. cocomm. coalg's}\}$$

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**Def:** For a  $k$ -scheme  $X$ , the **coalgebra of distributions** is

$$\operatorname{Dist}(X) = \varinjlim \Gamma(S, \mathcal{O}_S)^*,$$

where  $S$  ranges over the closed subschemes of  $X$  that are finite over  $k$ . This gives a functor  $\operatorname{Dist}: \operatorname{Sch}_k \rightarrow \operatorname{Coalg}$ .

# Local nature of distributions

**Affine case:**  $X = \operatorname{Spec}(A)$  with  $A$  an affine commutative  $k$ -algebra.  
Distributions given by the **finite dual** coalgebra

$$\operatorname{Dist}(X) \cong A^\circ := \varinjlim (A/I)^*$$

where  $I$  ranges over all ideals of finite codimension.

**Theorem:** Suppose  $X$  is of finite type over  $k$ , and let  $X_0$  be its set of closed points.

- 1 There is an isomorphism of coalgebras  $\operatorname{Dist}(X) \cong \bigoplus_{x \in X_0} (\mathcal{O}_{X,x})^\circ$
- 2 If  $k = \bar{k}$ , then  $\operatorname{Dist}(X)$  has a subcoalgebra isomorphic to  $kX_0$ .

**Moral:**  $\operatorname{Dist}(X)$  **linearizes** the set of closed points, and includes the **formal neighborhood** of each point.

# The quantized maximal spectrum

Thus for commutative affine  $k$ -algebras  $A$ ,

$$A^\circ \cong \text{Dist}(\text{Spec}(A)) \cong \bigoplus_{\mathfrak{m} \in \text{Max}(A)} (A_{\mathfrak{m}})^\circ$$

**Thesis:** For affine  $k$ -algebras with “many” f.d. rep’s, the functor  $A \mapsto A^\circ$  is a suitable candidate for a **quantized maximal spectrum**.

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We end up with a diagram similar to our goal:

$$\begin{array}{ccccc} \text{cAff}^{\text{op}} & \xrightarrow{\text{Max}} & \text{Top} & \xrightarrow{U} & \text{Set} \\ \downarrow & & & & \downarrow \\ \text{Alg}^{\text{op}} & \xrightarrow{(-)^\circ} & & & \text{Coalg} \end{array}$$

But it **does not** commute! (“Best approximation” in a sense...)



# Fully RFD algebras

What does it mean for  $A$  to have “many” f.d. representations?

**Def:**  $A$  is left **fully residually finite-dimensional** if every f.g. left  $A$ -module is a subdirect product of f.d. left modules.

**Examples:**

- Affine noetherian PI algebras
- In particular, lots of “quantum algebras” at roots of unity

**Thm:**  $A$  is left fully RFD  $\iff A^\circ$  is a cogenerator in  $A\text{-Mod}$ .

We are still left with:

**Problem:** If  $A^\circ$  is an important invariant, how can we compute it?

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# Twisted tensor products

Many concrete examples of NC algebras arise as **twisted tensor products** of algebras  $A$  and  $B$ : Given a suitably “nice” linear map

$$\rho: B \otimes A \rightarrow A \otimes B,$$

$A \#_{\rho} B$  is the vector space  $A \otimes B$  with multiplication

$$m_{\rho} := (m_A \otimes m_B) \circ (\text{id}_A \otimes \rho \otimes \text{id}_B)$$

## Familiar examples:

- Tensor product  $A \otimes B$  (if  $\rho$  is just the “tensor swap”)
- Ore extensions  $A[t; \sigma, \delta] \cong A \#_{\rho} k[t]$
- Smash products  $A \# H$ , where  $H \curvearrowright A$

# Crossed product coalgebras

**Q:** Can we describe  $(A \#_{\rho} B)^{\circ}$  in terms of  $A^{\circ}$  and  $B^{\circ}$ ?

For coalgebras  $C$  and  $D$  and a suitably “nice” linear map

$$\phi: C \otimes D \rightarrow D \otimes C$$

we can form a **crossed product coalgebra**  $C \#^{\phi} D$  in a formally dual manner (Caenepeel, Ion, Militaru, Zhu 2000).

**Naive guess:** Is  $(A \#_{\rho} B)^{\circ} \cong A^{\circ} \#^{\phi} B^{\circ}$  for some map  $\phi$ ?

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**Naive guess:** Is  $(A \#_{\rho} B)^{\circ} \cong A^{\circ} \#^{\phi} B^{\circ}$  for some map  $\phi$ ?

**Not always!** For  $A = k[x]$  and  $B = k[y]$ , choose  $\rho$  so that  $A \#_{\rho} B$  has no f.d. modules. Then  $(A \# B)^{\circ} = 0 \neq A^{\circ} \#^{\phi} B^{\circ}$  for any  $\phi$ !

# Topology to the rescue

**Better guess:**  $(A \#_{\rho} B)^{\circ} \cong A^{\circ} \#^{\phi} B^{\circ}$  whenever  $\phi = \rho^{\circ}$  makes sense.

This hints that we should interpret  $(-)^{\circ}$  as a functor beyond just algebras...

Denote:

- $\text{Top}_k$  the category of linearly topologized  $k$ -vector spaces
- $\text{CF}_k$  full subcategory of spaces with *cofinite* topology (all open subspaces have finite codimension)
- Continuous dual  $(-)^{\circ} := \text{Top}_k(-, k): \text{Top}_k^{\text{op}} \rightarrow \text{Vect}_k$

What justifies the notation?

# The finite dual is a continuous dual

**Monoidal structure:**  $E \otimes^! F$  is the tensor product topologized by the subspaces  $E \otimes F_0 + E_0 \otimes F$  for open  $E_0 \subseteq E$ ,  $F_0 \subseteq F$ .

**Theorem:** The continuous dual forms a lax monoidal functor

$$(-)^\circ: (\mathrm{Top}_k, \otimes^!, k)^{\mathrm{op}} \rightarrow (\mathrm{Vect}_k, \otimes, k)$$

which restricts to a strong monoidal functor on  $(\mathrm{CF}_k, \otimes^!, k)$ .

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**Corollary:** Let  $A$  be an algebra. Equip it with the linear topology whose open ideals are the ideals of finite codimension. Then the continuous dual of  $A$  is the finite dual  $A^\circ$  and

$$\Delta_{A^\circ} = m_A^\circ: A^\circ \rightarrow (A \otimes^! A)^\circ \xrightarrow{\sim} A^\circ \otimes A^\circ.$$



# Duals of twisted tensor products

**Theorem:** Let  $A$  and  $B$  be algebras with a twisting map  $\rho$ . Suppose that  $\rho$  is continuous when viewed as a map

$$\rho: B \otimes^! A \rightarrow A \otimes^! B.$$

Then  $(A \#_{\rho} B)^{\circ} \cong A^{\circ} \#^{\rho^{\circ}} B^{\circ}$ .

**Fact:**  $\rho$  continuous  $\iff A \otimes^! B \xrightarrow{\sim} A \#_{\rho} B$  in  $\text{Top}_k$ .

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When does this happen? A sufficient condition:

**Lem:** For  $\rho: B \otimes A \rightarrow A \otimes B$ , suppose there exist  $A_0 \subseteq A$  and  $B_0 \subseteq B$  finite extensions such that  $\rho$  restricts to the “tensor swap” on  $A_0 \otimes B$  and  $A \otimes B_0$ . Then  $\rho$  is continuous, so  $(A \#_{\rho} B)^{\circ} \cong A^{\circ} \#^{\rho^{\circ}} B^{\circ}$ .

# A few applications

**Ex:**  $\theta \in \text{Aut}(A)$  finite order, then

$$A[t; \theta]^\circ \cong A^\circ \#^\phi k[t]^\circ$$

**Ex:**  $A$  a left Hopf  $H$ -module algebra. Suppose  $A$  is finite over  $A^H$  and  $H \curvearrowright A$  factors through a finite-dimensional Hopf algebra. Then

$$(A \# H)^\circ \cong A^\circ \#^\phi H^\circ$$

**Ex:** Fix bialgebras  $A$  and  $B$  with twisting and cotwisting maps forming a crossed product bialgebra  $H = A \#_\rho^\phi B$ . If  $\rho, \phi$  are continuous with respect to  $A \otimes^! B$  and  $B \otimes^! A$ , then as bialgebras

$$H^\circ \cong A^\circ \#_{\phi^\circ}^{\rho^\circ} B^\circ$$

# “Picturing” the quantum plane

$\mathcal{O}_q(k^2) = k[x] \#_{\rho} k[y]$  where  $\rho = \rho_q: y^j \otimes x^i \mapsto q^{ij} x^i \otimes y^j$ . This is continuous if  $q$  is a root of unity, say of order  $n$ . We get

$$\mathcal{O}_q(k^2)^{\circ} \cong k[x]^{\circ} \#^{\rho^{\circ}} k[y]^{\circ} \cong \text{Dist}(\mathbb{A}_k^1) \#^{\phi_q} \text{Dist}(\mathbb{A}_k^1).$$

How to *visualize* it? Helps to relate it (functorially!) to the center. . .

Assume  $k = \bar{k}$ , set  $G_q = \langle q \rangle^2 \subseteq k^2 = \mathbb{A}_k^2$ , and form the quotient scheme and open subscheme

$$\text{Spec } Z(\mathcal{O}_q(k^2)) \cong \mathbb{A}_k^2 / G_q \supseteq D(xy) / G_q =: U_q.$$

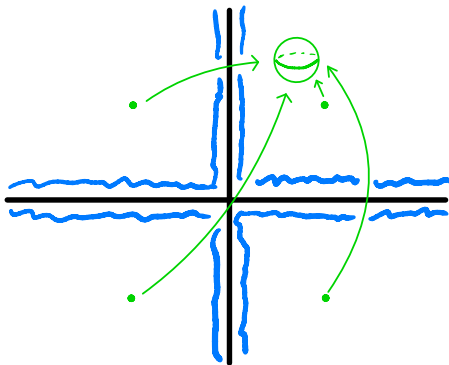
Azumaya locus technique then gives

$$\mathcal{O}_q(k^2)^{\circ} \cong (\mathbb{M}^n \otimes \text{Dist } U_q) \oplus \varinjlim_i (\mathcal{O}_q(k^2) / (xy)^i)^{\circ}$$

# “Picturing” the quantum plane

$$\begin{aligned}\mathcal{O}_q(k^2)^\circ &\cong \text{Dist}(\mathbb{A}_k^1) \#^{\phi_q} \text{Dist}(\mathbb{A}_k^1) \\ &\cong (\mathbb{M}^n \otimes \text{Dist } U_q) \oplus \varinjlim (\mathcal{O}_q(k^2)/(xy)^i)^\circ\end{aligned}$$

Case  $n = 2$ :  $q = -1$  and  $\mathbb{M}^2 = \text{qubit}$



Thank you...  
And congratulations to Paul!