

# Noncommutative Auslander theorem for PI algebras

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# Outline

- 1 Commutative and noncommutative Auslander theorem
- 2 Two necessary conditions and main theorem
- 3 The proof of main theorem
- 4 A result beyond PI hypothesis

# Commutative Auslander theorem

## Theorem 1.1 (Auslander theorem I)

*Let  $R$  be a commutative normal domain, and  $G$  be a finite subgroup of  $\text{Aut}(R)$ . If  $R^G \rightarrow R$  is unramified in codimension one, then the natural ring map  $\varphi : R\#G \rightarrow \text{End}_{R^G}(R)$  defined by  $\varphi(r\#\sigma)(x) = r\sigma(x)$  is an isomorphism.*

## Theorem 1.2 (Auslander theorem II)

*Let  $R = \mathbb{k}[x_1, \dots, x_d]$  and  $G$  be a finite subgroup of  $\text{GL}_{\mathbb{k}}(d)$ . Then  $R^G \rightarrow R$  is unramified in codimension one iff  $G$  is small, that is, it contains no pseudo-reflections.*

# Commutative Auslander theorem

## Corollary 1.3 (Auslander theorem III)

*Let  $R = \mathbb{k}[x_1, \dots, x_d]$  and  $G$  be a finite subgroup of  $\mathrm{SL}_{\mathbb{k}}(d)$ . Then the natural map  $\varphi : R \# G \rightarrow \mathrm{End}_{R^G}(R)$  is an isomorphism.*

A complete proof at

**G. Leuschke, R. Wiegand**, Cohen-Macaulay representations, Mathematical Surveys and Monographs, 181., 2012

# Artin-Schelter regular algebras

Let  $\mathbb{k}$  be an algebraically closed field of characteristic zero.

## Definition 1.4

A connected graded algebra  $R$  is called *Artin-Schelter Gorenstein* of dimension  $d$ , if

- (1)  $R$  has left and right injective dimension  $d < +\infty$ ,
- (2) There is an integer  $l$  such that

$$\underline{\mathrm{Ext}}_R^i(\mathbb{k}, R) \cong \underline{\mathrm{Ext}}_{R^{op}}^i(\mathbb{k}, R) \cong \begin{cases} 0 & i \neq d \\ \mathbb{k}(l) & i = d \end{cases}.$$

The integer  $l$  is called *AS index* of  $R$ .

- (3) If further,  $\mathrm{gldim}(R) = d$ ,  
then  $R$  is called *Artin-Schelter regular* of dimension  $d$ .

Throughout, assume that

- (1)  $\mathbb{k}$  is an algebraically closed field of characteristic zero,
- (2)  $R$  is a Noetherian connected graded Artin-Schelter regular  $\mathbb{k}$ -domain with  $\text{GKdim } R = d \geq 2$ ,
- (3)  $H$  is a semisimple Hopf algebra acting on  $R$  inner faithfully and homogeneously,
- (4) Let  $t$  be an integral of  $H$  with  $\varepsilon(t) = 1$ .

(1) The **grade** of a finite  $R$ -module

$$j(M) := \min\{i \mid \operatorname{Ext}_R^i(M, R) \neq 0\}.$$

(2)  $R$  is called a **Cohen-Macaulay algebra**, if for every finite  $R$ -module  $M$ ,

$$\operatorname{GKdim}(M) + j(M) = \operatorname{GKdim}(R).$$

**Definition 1.5 (Bao-He-Zhang, 2019)**

- (1) The *pertinency* of the  $H$ -action on  $R$  is defined to be the number

$$p(R, H) := \text{GKdim}(R) - \text{GKdim}\left(\frac{R \# H}{(1 \# t)}\right).$$

- (2) The  $H$ -action on  $R$  is *homologically small*, if the grade  $j\left(\frac{R \# H}{(1 \# t)}\right) \geq 2$ .



# Noncommutative Auslander theorem I & II

## Theorem 1.6 (Bao-He-Zhang, 2019)

*If  $R$  is a Noetherian connected graded AS regular CM algebra, then the following are equivalent.*

- (1) *The natural map  $\varphi : R \# H \rightarrow \text{End}_{R^H}(R)$  is an isomorphism,*
- (2) *The pertinency  $p(R, H) \geq 2$ ,*
- (3) *The  $H$ -action on  $R$  is homologically small.*

Noncommutative Auslander theorem I: (1)  $\Leftrightarrow$  (2).

Noncommutative Auslander theorem II: (2)  $\Leftrightarrow$  (3).

## Theorem 1.7 (Bao-He-Zhang, 2018)

- (1) Let  $\mathfrak{g}$  be a finite dimensional Lie algebra, and  $G \leq \text{Aut}_{\text{Lie}}(\mathfrak{g})$  a finite small subgroup. Then  $U(\mathfrak{g}) \# G \cong \text{End}_{U(\mathfrak{g})^G}(U(\mathfrak{g}))$ .
- (2) Let  $R = \mathbb{k}_{p_{ij}}[x_1, \dots, x_d]$  be the skew polynomial algebra, and assume that  $p_{ij}$  generic. Let  $G$  be a finite small subgroup of  $\text{Aut}_{\text{gr}}(R)$ . Then  $R \# G \cong \text{End}_{R^G}(R)$ .

## Theorem 1.8 (Gaddis-Kirkman-Moore-Won, 2019)

The natural map  $\varphi : R \# G \rightarrow \text{End}_{R^G}(R)$  is an isomorphism for the following groups acting on the following algebras:

- (1) any subgroup of  $S_3$  acting on Sklyanin algebra  $S(1, 1, -1)$ ,
- (2)  $\langle (1\ 2\ 3) \rangle$  acting on a generic Sklyanin algebra  $S(a, b, c)$ .
- (3) ...

## Theorem 1.9

Let  $R = \mathbb{k}\langle x, y \rangle / (x^2y - \alpha xyx - \beta yx^2, xy^2 - \alpha yxy - \beta y^2x)$  be the graded down-up algebra. Assume that  $\beta \neq 0$ .

(1) (Bao-He-Zhang, 2018)

If  $\beta \neq -1$  or  $(\alpha, \beta) = (2, -1)$ , then  $R \# G \cong \text{End}_{R^G}(R)$  for any finite subgroup  $G$  of  $\text{Aut}_{gr}(R)$ .

(2) (Chen-Kirkman-Zhang, 2020)

If  $H = (\mathbb{k} G)^*$  and  $\text{hdet}$  is trivial, then  $R \# H \cong \text{End}_{R^H}(R)$ .

# Noncommutative cyclic isolated singularities

Let  $A = \mathbb{k}_{-1}[x_1, \dots, x_d]$  be the  $(-1)$ -skew polynomial ring, and  $G := \langle (1\ 2 \cdots d) \rangle$ .

## Theorem 1.10 (Bao-He-Zhang, 2019)

*If  $d = 2^n$  for some  $n \geq 1$ , then  $\text{p}(R, G) = d$ . Consequently,  $R^G$  is a graded isolated singularity.*

## Theorem 1.11 (Chan-Young-Zhang, 2020)

*If  $d$  is divisible by 3 or 5, then  $\text{p}(R, G) < d$ .*

## Corollary 1.12 (Chan-Young-Zhang' conjecture)

*$d$  is not divisible by 3 or 5 if and only if  $\text{p}(R, G) = d$ .*

### Conjecture 1.13 (Chan-Kirkman-Walton-Zhang, 2018)

*If the homological determinant is trivial, then the natural map  $\varphi : R \# H \rightarrow \text{End}_{R^H}(R)$  is an isomorphism.*

### Theorem 1.14 (Chan-Kirkman-Walton-Zhang, 2018)

*If  $R$  is a Noetherian AS regular of dimension 2, then  $\varphi : R \# H \rightarrow \text{End}_{R^H}(R)$  is an isomorphism.*

## Two necessary conditions and main theorem

### Lemma 2.1

*If the map  $\varphi : R \# H \rightarrow \text{End}_{R^H}(R)$  is bijective, then*

- (a)  $\varphi$  is injective,*
- (b)  $\tilde{t} : R \rightarrow R^* (:= \text{Hom}_{R^H}(R, R^H))$ ,  $r \mapsto \hat{t} \cdot r$  is an isomorphism, where  $\hat{t}(x) = t \rightharpoonup x$  for all  $x \in R$ .*

### Theorem 2.2 (Main theorem)

*Suppose that  $R$  is a Noetherian PI connected graded AS regular algebra with GK-dimension  $d \geq 2$ . If the condition (a) and (b) are satisfying, then the natural map*

$$\varphi : R \# H \longrightarrow \text{End}_{R^H}(R)$$

*is bijective.*

## Equivalent conditions for condition (a)

### Lemma 2.3 (Bao-He-Zhang, 2019)

*Suppose that  $R$  is a Noetherian connected graded AS regular CM algebra with GK-dimension  $d \geq 2$ . The following statements are equivalent:*

- ❶  $\varphi : R \# H \rightarrow \text{End}_{R^H}(R)$  is injective,
- ❷  $R \# H$  is prime,
- ❸  $p(R, H) \geq 1$ ,
- ❹  $j(R \# H / (1 \# t)) \geq 1$ .

Let  $Q$  be the quotient division ring  $Q(R)$  of  $R$ .

### Theorem 2.4 (Cohen-Fischman-Montgomery, 1990)

*The following are equivalent:*

- (1)  $Q^H \subseteq Q$  is  $H^*$ -Galois,
- (2)  $Q \# H$  is simple.

### Theorem 2.5 (Cuadra-Etingof, 2017)

*Suppose that  $H = \mathbb{k} G$ . Then the extension  $Q/Q^G$  is  $(\mathbb{k} G)^*$ -Galois.*

### Corollary 2.6

*Suppose that  $R$  is PI, and  $H = \mathbb{k} G$ . If  $\tilde{t}: R \rightarrow R^*$  is bijective, then so is  $\varphi$ .*



# Homological determinant

Jørgensen-Zhang, 2000; Kirkman-Kuzmanovich-Zhang, 2009

To study noncommutative invariant theory of AS-regular algebras, the *homological determinant*  $\text{hdet}$  of graded algebra had defined by using local cohomology.

Definition 2.7 (Jørgensen-Zhang, Kirkman-Kuzmanovich-Zhang)

Let  $e$  be a nonzero element in  $\text{Ext}_R^d(\mathbb{k}, R)$ . Then the *homological determinant*  $\text{hdet}$  of the  $H$ -action on  $R$  is given by

$$h \curvearrowright e = \text{hdet}(S^{-1}h)e$$

for any  $h \in H$ .

## Homological determinant and condition (b)

### Lemma 2.8

*If the homological determinant is trivial, i.e.,  $\text{hdet} = \varepsilon_H$ , then*

(1) (Kirkman-Kuzmanovich-Zhang, 2009)

*$R^H$  is AS Gorenstein with a balanced dualizing complex  $\mu(R^H)(-l)[d]$ ,*

(2) (Yekutieli-Zhang, 1999)

*$R \cong R^* = \text{Hom}_{R^H}(R, R^H)$  as graded  $R^H$ - $R$ -bimodules.*

### Lemma 2.9

*Assume that  $\varphi : R \# H \rightarrow \text{End}_{R^H}(R)$  is injective. If  $\text{hdet}$  is trivial, then  $\tilde{t} : R \rightarrow R^*$  is bijective.*

# A commutative diagram

## Lemma 3.1

*There is a commutative diagram of  $R$ - $R$ -bimodule morphisms*

$$\begin{array}{ccc} R \otimes_{R^H} R & \xrightarrow{\beta} & R \# H \\ \text{id} \otimes \tilde{t} \downarrow & & \downarrow \varphi \\ R \otimes_{R^H} R^* & \xrightarrow{\gamma} & \text{End}_{R^H}(R) \end{array}$$

where  $\beta(x \otimes y) = \sum_{(t)} x(t_1 \rightharpoonup y) \# t_2$ ,  $\gamma(x \otimes f)(r) = xf(r)$ .

If  $\varphi$  is injective and  $\tilde{t}$  is bijective, then there is an exact sequence

$$0 \longrightarrow \text{Coker } \beta = \frac{R \# H}{(1 \# t)} \longrightarrow \text{Coker } \gamma \longrightarrow \text{Coker } \varphi \longrightarrow 0.$$

# PI AS regular algebras

Now, suppose that  $R$  is a Noetherian PI AS regular algebra.

## Theorem 3.2 (Stafford-Zhang, 1994)

- (1)  $R$  is Auslander regular and Cohen-Macaulay.
- (2)  $R$  is a maximal order.
- (3)  $R$  is finite as a module over its center  $Z(R)$ , which is a Noetherian normal domain.

## Lemma 3.3

- (1)  $R^H$  is a finite module over its center  $Z(R^H)$  which is affine.
- (2)  $R_{\mathfrak{p}}^H$  is hereditary, for any  $\mathfrak{p} \in \text{Spec}(Z(R^H))$  with  $\text{ht}(\mathfrak{p}) = 1$ .

## GK-dimension of $\text{Coker } \gamma$

### Lemma 3.4

For any  $\mathfrak{p} \in \text{Spec}(Z(R^H))$  with  $\text{ht}(\mathfrak{p}) \leq 1$ , the map

$$\gamma_{\mathfrak{p}} : R_{\mathfrak{p}} \otimes_{R_{\mathfrak{p}}^H} R_{\mathfrak{p}}^* \longrightarrow \text{End}_{R_{\mathfrak{p}}^H}(R_{\mathfrak{p}})$$

is an isomorphism.

### Lemma 3.5

$$\text{GKdim}(\text{Coker } \gamma)_R = \text{Kdim}(\text{Coker } \gamma)_{Z(R^H)} \leq d - 2.$$

# Noncommutative Auslander theorem III for PI algebras

## Theorem 3.6

*Let  $R$  be a Noetherian PI connected graded AS regular algebra of GK-dimension  $d \geq 2$ , and  $H$  be a semisimple Hopf algebra acting on  $R$  inner faithfully and homogeneously. Suppose that the homological determinant is trivial. If the natural map*

$$\varphi : R \# H \longrightarrow \text{End}_{R^H}(R)$$

*is injective, then it is also surjective.*

J.-W. He and Y.-H. Zhang, 2019

The  $H$ -radical of  $R$  is defined by

$$\mathfrak{r}(R, H) := \beta(R \otimes_{R^H} R) \cap R.$$

Then  $\text{GKdim}(\frac{R}{\mathfrak{r}(R, H)}) = \text{GKdim}(\frac{R \# H}{(1 \# t)})$ .

Combine with some results in

J.T. Stafford, Auslander-regular algebras and maximal orders, J. London Math. Soc., 1994





## Proposition 4.1

*Suppose that  $R$  is connected graded Auslander regular and Cohen-Macaulay with GK-dimension  $d \geq 2$ . If*

- (1)  $\varphi$  is injective and  $\tilde{t}$  is bijective,
- (2)  $\text{GKdim}(\frac{(1 \# t)}{\mathfrak{r}(R, H)(R \# H)}) \leq d - 2$ ,





*then  $\varphi$  is bijective.*

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# Thank You!