

Math 582G – Homework 2

Due on Friday, February 4, 2021

Please indicate any sources you used for a given problem on the solution to that problem. For example, if you worked with another student to get the solution to a problem, please indicate who. You are welcome to work together, but you should try the problems on your own first and write up your own solutions.

Problem 1. The *Newton polytope* of a polynomial $f = \sum_{\alpha} c_{\alpha} x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ is the convex hull of the exponent vectors of f :

$$\text{Newt}(f) = \text{conv}(\{\alpha : c_{\alpha} \neq 0\}) \subset \mathbb{R}^n.$$

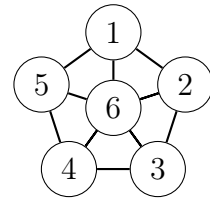
For $w \in \mathbb{R}^n$, it can also be useful to consider the w -initial form of f , $\text{in}_w(f) = \sum_{\alpha \in \mathcal{A}} c_{\alpha} x^{\alpha}$, where $\mathcal{A} = \{\alpha \in \text{Newt}(f) \cap \mathbb{Z}^n : w^T \alpha \geq w^T \beta \text{ for all } \beta \in \text{Newt}(f)\}$.

- (a) Prove that if $f = \sum_{i=1}^k h_i^2$, then $\text{Newt}(h_i) \subseteq \frac{1}{2} \text{Newt}(f)$.
- (b) Show that the Motzkin polynomial $1 - 3x^2y^2 + x^4y^2 + x^2y^4$ is not a sum of squares.

Problem 2. For $d \in \mathbb{Z}_{\geq 0}$, let $\mathcal{C}_d = \{(t, t^2, \dots, t^d) : t \in [-1, 1]\}$.

- (a) Write $\text{conv}(\mathcal{C}_d)$ as a spectrahedron.
- (b) Show that any point on the boundary of $\text{conv}(\mathcal{C}_d)$ can be written as a convex combination of $\lceil \frac{d+1}{2} \rceil$ points of \mathcal{C}_d .
(*Hint: consider a supporting hyperplane and corresponding univariate polynomial.*)
- (c) Show that any point in $\text{conv}(\mathcal{C}_d)$ can be written as a convex combination of $\lceil \frac{d+1}{2} \rceil + 1$ points of \mathcal{C}_d . How does this compare to the bound give by Carathéodory?

Problem 3. Let $G = ([6], E)$ be the wheel graph on six vertices:



- (a) Write the problem

$$\theta_1(G) = \min c \text{ such that } c - \sum_{i=1}^6 x_i \in \text{SOS}_{6,2} + I_G$$

explicitly as a semidefinite program

$$\min \langle C, X \rangle \text{ such that } \langle A_i, X \rangle = b_i \text{ for } i = 1, \dots, m$$

for some m , real symmetric matrices $C, A_1, \dots, A_m \in \mathbb{R}_{\text{sym}}^{7 \times 7}$ and $b \in \mathbb{R}^m$. Here I_G denotes the the ideal generated by $x_i^2 - x_i$ for $i = 1, \dots, 6$ and $x_i x_j$ for $\{i, j\} \in E$.

- (b) Write down the dual semidefinite program.
- (c) How does $\theta_1(G)$ compare to the size $\alpha(G)$ of the maximum stable set of G ?

See <https://sums-of-squares.github.io/sos/exercises.html> for other exercises and <https://sums-of-squares.github.io/sos/> for SOS computational tools.