

# Tropical Geometry: Viro's patchworking of real algebraic curves

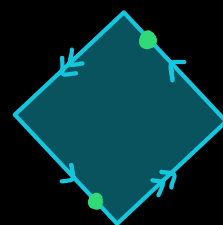
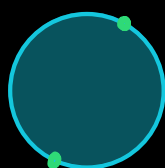
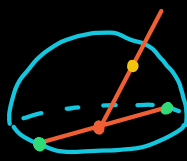
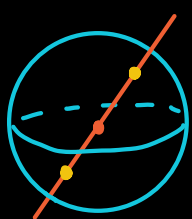
← One of the precursors to trop. geometry (Viro 1980's)

Motivation: topology of real smooth plane curves.

$$\mathbb{P}^2(\mathbb{R}) = \{\text{lines through origin in } \mathbb{R}^3\}$$

$\cong S^2$  with antipodal pts identified

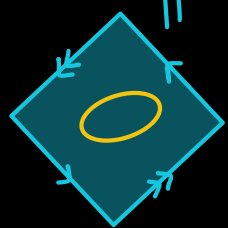
$\cong$  half of  $S^2$  with antipodal pts on boundary identified



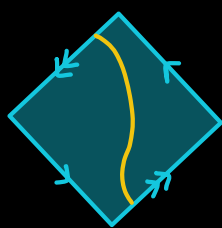
$$f = \sum c_{ij} x^i y^j z^{d-i-j} \rightsquigarrow V(f) \text{ is a curve in } \mathbb{P}^2(\mathbb{R}).$$

$V(f)$  smooth  $\Rightarrow$  homeomorphic to a union of disjoint circles embedded into  $\mathbb{P}^2(\mathbb{R})$

Two types of embedding



"Oval"  
 $\mathbb{P}^2(\mathbb{R}) \setminus C$  disconnected  
(disk + mobius strip)

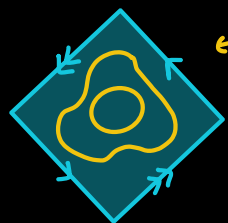


"pseudoline"  
 $\mathbb{P}^2(\mathbb{R}) \setminus C$  connected  
(disk)

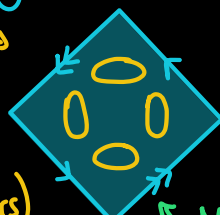
$V(f)$  smooth  $\Rightarrow$  union of ovals + a pseudoline if  $d$  is odd

Hilbert's 16<sup>th</sup> problem: What are the possible topologies of

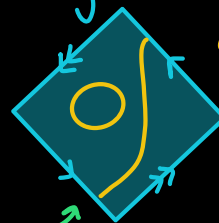
Smooth real alg. curves of degree  $d$  in  $\mathbb{P}^2(\mathbb{R})$ ? Still open for  $d=8!$



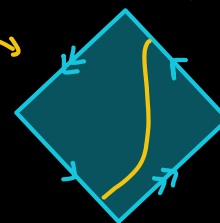
$\leftarrow$  2 possible topologies for  $d=4$  (among others)



$\leftarrow$  M-curves  $\rightarrow$



$\leftarrow$  2 possible topologies for  $d=3$



Thm (Harnack 1876) For  $f \in \mathbb{R}[x, y, z]_d$  with  $V(f)$  smooth,

$$\begin{array}{l} \# \text{ connected components} \\ \text{of } V(f) \subseteq \mathbb{P}^2(\mathbb{R}) \end{array} \leq \binom{d-1}{2} + 1$$

Curves  $V(f)$  with "=" are called M-curves

↑ M for "maximal"

Viro's patchworking (for constructing curves with prescribed topology)

Input: (1) a regular triangulation of  $\Delta_d = \text{conv}\{(0,0), (d,0), (0,d)\}$  with integer vertices

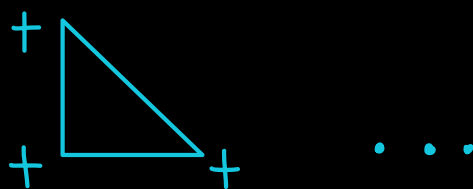
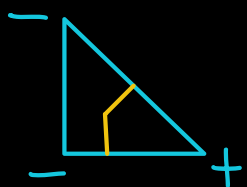
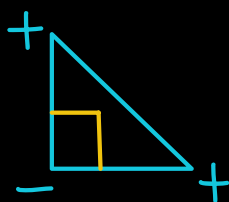
(2) assignment of signs of vertices of the triangulation

Extend to a triangulation of  $\diamond_d = \text{conv}\{(i,j) : i, j \in \mathbb{Z}, |i|+|j| \leq d\}$  by reflecting across coord axes.

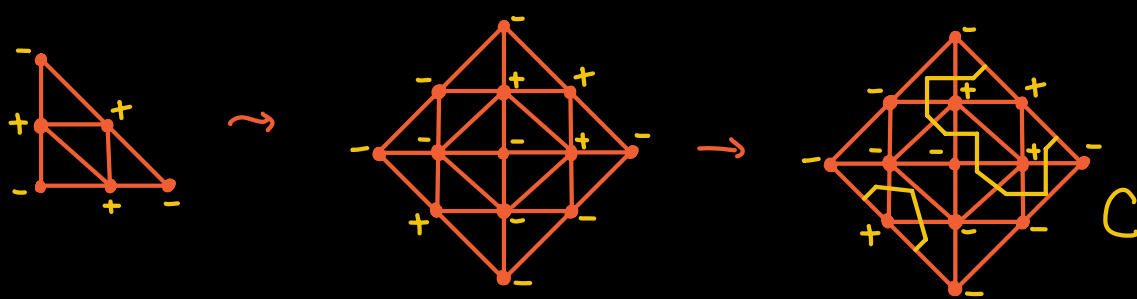
Extend signs by:

$$\begin{aligned} \sigma(-i, j) &= (-1)^i \sigma(i, j) \\ \sigma(i, -j) &= (-1)^j \sigma(i, j) \\ \sigma(-i, -j) &= (-1)^{i+j} \sigma(i, j) \end{aligned} \quad \text{for } i, j \in \mathbb{Z}_{\geq 0}.$$

Let  $C$  be the subset of the dual polyhedral complex that separates + from -.



Ex (d=2)



Identify opposite sides of  $\diamond_d$  gives  $\overline{\diamond_d} \cong \mathbb{P}^2(\mathbb{R})$

Let  $\bar{C}$  be the image of  $C$  in this compactification.

Thm: There is a smooth curve  $V(f) \subset \mathbb{P}^2(\mathbb{R})$  of deg.  $d$  s.t.  $(\bar{C}, \overline{\diamond_d})$  is homeomorphic to  $(V(f), \mathbb{P}^2(\mathbb{R}))$ .

Construction: Let  $w: (\Delta_d \cap \mathbb{Z}^2) \rightarrow \mathbb{R}$  be the height function inducing our regular triangulation of  $\Delta_d$ .

For  $t \in \mathbb{R}_+$ , define

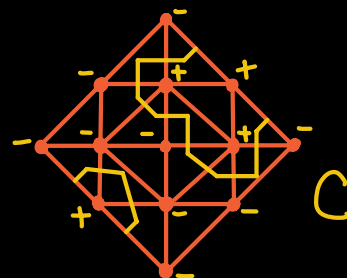
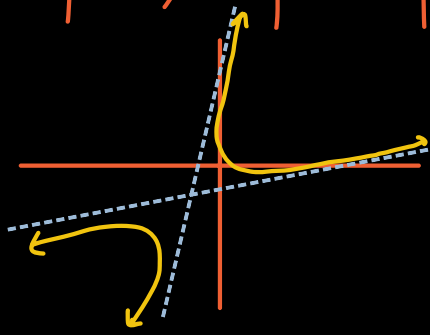
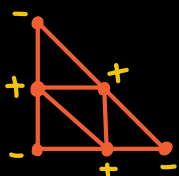
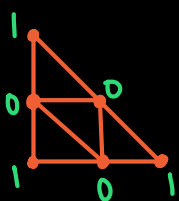
$$f_t = \sum_{(i,j) \in \Delta_d \cap \mathbb{Z}^2} \sigma(i,j) t^{w(i,j)} x^i y^j z^{d-i-j} \in \mathbb{R}[x,y,z]_d.$$

Thm: For sufficiently small  $t > 0$ ,  $(V(f_t), \mathbb{P}^2(\mathbb{R}))$  is homeomorphic to  $(\bar{C}, \overline{\diamond_d})$ .

(Remove coord axes to get topology in  $(\mathbb{R}^*)^2$ !)

Ex (d=2)

$$f_t = -t(x^2 + y^2 + z^2) + xy + xz + yz$$



Thm: If the input to Viro's patchworking is

- (1) a unimodular triangulation (all triangles have area  $\frac{1}{2}$ )
- (2) signs  $\sigma(i,j) = \begin{cases} - & \text{if } i,j \text{ both even} \\ + & \text{o.w.} \end{cases}$

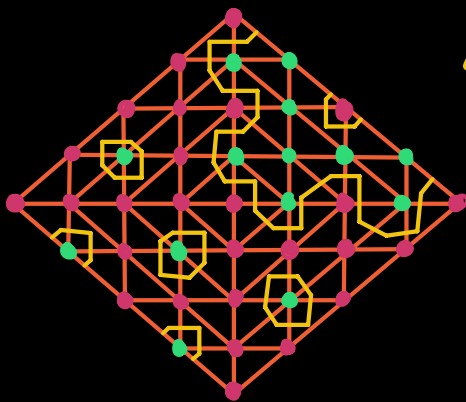
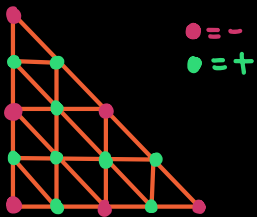
Then the output is an M-curve.

(Idea of proof) For each interior lattice pt of  $\Delta_d$ , there is a unique orthant in which it will have different signs than all of its adjacent vertices.

This gives an oval in  $\bar{C}$  around this pt  $\Rightarrow \binom{d-1}{2}$  ovals.

There is also another conn. component crossing the boundary of the positive orthant  $\Rightarrow +1$  other conn. comp.

Ex ( $d=4$ )



←  $\bar{C}$  has  
 $4 = \binom{4-1}{2} + 1$   
 ovals?

$\Rightarrow V(f_t)$  is an  
 M-curve of deg 4.