

Tropical Geometry: The fundamental theorem

K = an alg. closed field with a nontrivial valuation

(e.g. $K = \mathbb{C}[[t]] = \bigcup_{n \in \mathbb{Z}_{\geq 1}} \mathbb{C}((t^{1/n}))$)

Fundamental Theorem: For an ideal $I \subseteq K[x_1, \dots, x_n]$, the following sets coincide:

(1) $V(\text{trop}(I)) = \bigcap_{f \in I} V(\text{trop}(f))$

(2) $\{w \in \mathbb{R}^n : \text{in}_w(I) \text{ does not contain a monomial}\}$

(3) the Euclidean closure of $\text{val}(V(I)) \subseteq \mathbb{R}^n$.

Furthermore, if $V(I)$ is irreducible and

$w \in V(\text{trop}(I)) \cap (\mathbb{P}_{\text{val}})^n$, then $\{y \in V(I) : \text{val}(y) = w\}$

is Zariski-dense in $V(I)$.

Cor: $V(\text{trop}(I))$ depends only on $V(I)$.

For a variety $X = V(I)$, we can define

$$\text{trop}(X) = V(\text{trop}(I)).$$

Proof steps for (2) \subseteq (3): (went through last time for $I = \langle f \rangle$)

(1) monomial change of coordinates to "good" position
to project away last coordinate

(2) choose any $a \in V(\text{in}_w I) \cap (\mathbb{K}^\star)^n$ and, by induction,
choose $y \in V(I \cap K[x_1, \dots, x_{n-1}]) \cap (\mathbb{K}^\star)^{n-1}$ with $\text{val}(y_i) = w_i$,

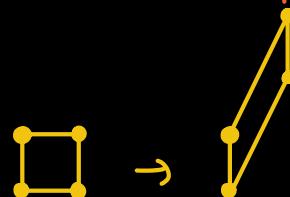
$t^{-w_i} y_i = a_i$ for $i=1, \dots, n-1$. Use $n=1$ case to find y_n

(3) Choice of arbitrary y and dimension count guarantees Zariski-dense ness.

$$\text{Ex: } f = t + 2x + 3y + 5txy \in \mathbb{C}\{t\}[x, y]$$

$$\tilde{f} = f(\phi(x, y)) = t + 2xy^3 + 3y + 5txy^4$$

$$\phi(x, y) = (xy^3, y)$$



$$w = (-1, -2) \in V(\text{trop}(f)) \quad \text{in}_w f = 3y + 5xy$$

$$\tilde{w} = (5, -2) \in V(\text{trop}(\tilde{f})) \quad \text{in}_{\tilde{w}} \tilde{f} = 3y + 5xy^4$$

$$\begin{aligned} \text{Newt}(f) &\rightarrow \text{Newt}(\phi(f)) \\ (\alpha_1, \alpha_2) &\mapsto (\alpha_1, \alpha_2 + 3\alpha_1) \end{aligned}$$

$$a = \left(-\frac{3}{5}, 1\right) \text{ has } \text{in}_w(f)(a) = \text{in}_{\tilde{w}} \tilde{f}(a) = 0$$

Choose any $x \in \mathbb{C}\{t\}$ with $\text{val}(x) = 3$, $\overline{t^{-5}x} = -3/5$ ($x = -\frac{3}{5}t^5 + \text{h.o.t.}$)

Then $g(y) = \tilde{f}(x, y) \in \mathbb{C}\{t\}$ is nonzero

$$= 1 + \left(-\frac{6}{5}t^5 + \dots\right)y^3 + 3y + \left(-3t^6 + \dots\right)y^4$$

$$\text{in}_{(-2)} g = 3y - 3y^4 = 3y(1 - y^3)$$

$$\text{in}_{(-2)} g(1) = 0 \Rightarrow \exists y \in \mathbb{C}\{t\} \text{ with } g(y) = 0, \text{val}(y) = -2, \overline{t^2y} = 1$$

$$\Rightarrow (x, y) = \left(-\frac{3}{5}t^5 + \dots, \overline{t^2} + \dots\right) \in V(\tilde{f})$$

$$\Rightarrow \phi(x, y) = (xy^3, y) = \left(-\frac{3}{5}t^8 + \dots, \overline{t^2} + \dots\right) \in V(f) \text{ has } \overline{\text{val}(x, y)} = (-1, -2) = w$$

$$\overline{t^w(x, y)} = \left(-\frac{3}{5}, 1\right)$$

Useful Lemma (3.2.10) Let X be a d -dim'l subvariety of $(K^*)^n$ defined by an ideal $I \subseteq K[x_1, \dots, x_n]$. Every cell

of the Gröbner complex $\sum(I^{\text{hom}}) \cap \{w_0=0\}$ contained in $V(\text{trop}(I))$ has $\dim \leq d$.

Proof will use:

Lemma (Cor 2.4.10) Let I be a homog. ideal. For any $w, v \in \mathbb{R}^n$, for all sufficiently small $\varepsilon > 0$, $\text{in}_{w+\varepsilon v}(I) = \text{in}_v(\text{in}_w I)$.

(Proof of 3.2.10) Let P be a maximal cell of $\sum(I^{\text{hom}}) \cap \{w_0=0\}$ and take w in the relative interior of P .

Then the affine span of P is $w+L$

where $L \subseteq \mathbb{R}^n$ is a subspace of $k = \dim(P)$.

Since $w \in \text{relint}(P)$, $\text{in}_w(I) = \text{in}_{w+\varepsilon v}(I) = \text{in}_v(\text{in}_w I)$ for all $v \in L$ and sufficiently small $\varepsilon > 0$. Choose a generating set

G of $\text{in}_w I$ so that no elt' of G is a sum of other elt'

of $\text{in}_w I$ with fewer monomials. $\Rightarrow \text{in}_v f = f \quad \forall v \in L$

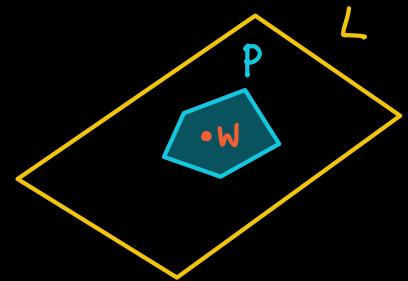
(otherwise $\text{in}_v(f) \in \text{in}_v(\text{in}_w I) = \text{in}_w(I)$, as is $f - \text{in}_v f$, both with

fewer monomials). If $a \in V(\text{in}_w I) \cap (\mathbb{k}^*)^n$, then $t^v \cdot a = (t^{v_1} a_1, \dots, t^{v_n} a_n)$

also belongs to $V(\text{in}_w I) \cap (\mathbb{k}^*)^n$ for any $t \in \mathbb{k}^*$. Let $v^{(1)}, \dots, v^{(k)} \in \mathbb{Z}^n$

be a basis for L . Then for any $t_1, \dots, t_k \in \mathbb{k}^*$, $t_1^{v^{(1)}} \cdots t_k^{v^{(k)}} \cdot a$

belongs to $V(\text{in}_w(I)) \cap (\mathbb{k}^*)^n \Rightarrow k \leq \dim(V(\text{in}_w I)) \leq \dim(X)$



$\text{in}_{(0,w)} I^{\text{hom}}$ and I^{hom} have the same Hilbert series

\Rightarrow varieties in $P^n(K)$ have the same dimension!

Ex: $I = \langle f \rangle$ $f = 1 + x + y + ty^2 + z$

$$P = \{(w_x, w_y, w_z) \in \mathbb{R}^3 : 2w_y + 1 = w_z \leq 0, w_x, w_y\}$$

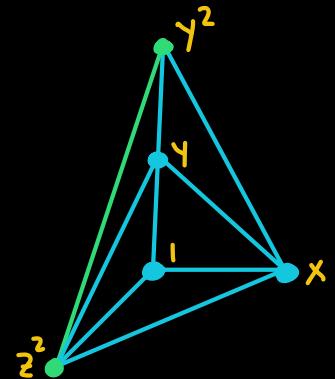
$$w = (0, -2, -3) \quad \text{in}_w f = y^2 + z$$

$$\text{aff}(P) = w + L \text{ with } L = \text{Span}_{\mathbb{R}} \{(1, 0, 0), (0, 1, 2)\}$$

$V(\text{in}_w f) = V(y^2 + z)$ invariant under map

$$(x, y, z) \mapsto t_1^{(1,0,0)} \cdot t_2^{(0,1,2)}(x, y, z) = (t_1 x, t_2 y, t_2^2 z) \text{ for } t_1, t_2 \in (\mathbb{C}^*)^n$$

(In fact, $V(y^2 + z) \cap (\mathbb{C}^*)^3$ is the orbit of a single pt $(x, y, z) = (1, 1, -1)$ under this action of $(\mathbb{C}^*)^2$.)



Thm 3.3.8 Let X be an irreducible variety in $(K^*)^n$ of dim d . Then $\text{trop}(X)$ is the support of a pure $d \cdot \dim' |\Gamma_{\text{val}}|$ -rational polyhedral complex.