

# Lesson 7

Infinite dimensional

Text book 1.7

## Important facts about finite dimensional spaces

- ① They have bases
- ② Any independent set can be extended to a basis
- ③ Any spanning set contains a basis
- ④ All bases have the same number of elements

Examples  $P(\mathbb{R})$

No finite bases, but  $B = \{1, x, x^2, \dots\}$  is a basis

Example  $\mathbb{R}^\infty$

$$S = \{e_1, e_2, e_3, \dots, e_n, \dots\}$$

$$e_i = 0, 0, \dots, 0, \underset{i}{1}, 0, \dots$$

Is  $S$  linearly independent? yes

Does it span  $\mathbb{R}^\infty$  no, for example

$\{x_n\}$   $x_n = 1$  is not in  $\text{span}(S)$

Does  $\mathbb{R}^\infty$  have a basis?

(not a countable one)

Axiom of choice and infinite dimensional vector spaces:

Axiom of Choice: given any family  $\mathcal{F}$  of nonempty sets ( $\mathcal{F} = \{S_x \mid x \in X\}$ ) there is a function  $f: \mathcal{F} \rightarrow \bigcup_{x \in X} S_x$  st  $f(S) \in S$

Maximal principle: Let  $\mathcal{F}$  be a family of sets. If for every chain  $C = \dots S_x \subseteq S_y \subseteq S_z \dots$  of sets in  $\mathcal{F}$  there exists a set in  $\mathcal{F}$  that contains every set in  $C$  then  $\mathcal{F}$  contains a maximal element, that is a set  $S$  that is not properly contained in any set of  $\mathcal{F}$ .

Ex 1 of a family that does not have this property:  $\mathcal{F}$  is a family of subsets of  $\mathbb{R}$   $S \in \mathcal{F}$  if  $S = (0, b)$  with  $b > 0$ . Consider the chain

$(0, 1) \subseteq (0, 1 + \frac{1}{2}) \subseteq (0, 1 + \frac{1}{2} + \frac{1}{4}) \subseteq \dots$  All included in  $(0, 3)$   
but

$(0, 1) \subseteq (0, 2) \subseteq \dots (0, n) \subseteq \dots$

is a problem

Th: The axiom of choice and maximal principle are equivalent.

Th Let  $S$  be a linearly independent subset of a vector space  $V$ , then there exists a maximal linearly independent subset of  $V$  that contains  $S$ . Such maximal linearly independent set  $B$  is a basis for  $V$ .

Proof let  $\mathcal{I}$  be the family of all linearly independent sets of  $V$  containing  $S$ . Given any chain  $C$  in  $\mathcal{I}$   
 $\dots S_x \subset S_y \subset S_z \dots$  Take  $T = \bigcup_{S \in C} S$   $T$  is linearly independent since if  $v_1, \dots, v_n \in T$  then  $v_1, v_2, \dots, v_n$  must be in some set  $S$  in the chain  $C$  and  $S$  is linearly

independent, so if  $k_1 v_1 + k_2 v_2 + \dots + k_n v_n = 0$

we must have  $k_1 = k_2 = \dots = k_n = 0$

so by the maximal principle

$\mathcal{I}$  has a maximal set  $B$ .  $B$  is linear independent and it spans  $V$

since if  $v \notin \text{Span}(B)$  then  $B \cup \{v\}$  would be linearly independent and therefore it would belong to  $\mathcal{I}$ .

Compare with proof for finite dimensional case: if  $S$  is an independent subset of some vector space  $V$ ,

$S = S_1 = \{v_1, v_2, \dots, v_k\}$  we can keep adding vectors until we have a basis.

$$S = S_1 \subseteq S_2 \subseteq \dots \subseteq S_m = B_1$$

$$\subseteq T_2 \subseteq \dots \subseteq T_m = B_2$$

Infinite dimensional

$$S = S_1 \subseteq S_2 \subseteq \dots \subseteq S_n \subseteq \dots \subseteq S_\omega \subseteq S_{\omega+1} \subseteq \dots \quad B_1$$

What maximal principle says is that this process must terminate

Th Let  $V$  be a vector space  
and let  $S \text{ span } V$  then  $S$   
contains a basis for  $V$

Proof: Consider  $\mathcal{F} = \{ T \subseteq S$

$T$  is linearly independent  $\}$

if  $\dots S_x \subseteq S_y \subseteq S_z \subseteq \dots$  is a chain  
of elements of  $\mathcal{F}$  then  $\cup S_x$   
is a subset of  $S$  and it is  
linearly independent (same argument  
as in the previous proof.) so it is in  $\mathcal{F}$

By the maximal principle  $\mathcal{F}$   
contains a maximal element

$B$ .  $B$  is independent since it is in  $\mathcal{F}$  and  
it must span  $V$  since if it  
does not and  $v = k_1 s_1 + \dots + k_m s_m$   
for  $k_i \in F$   $s_i \in S$  and  $v \notin \text{span}(B)$   
then same  $s_i \notin \text{span}(B)$   
so  $B \cup \{s_i\}$  is an independent  
subset of  $S$  bigger than  $B$ .  
Impossible.