

Suppose  $a = a(i, j)$  and  $b = b(i, j)$  are two planes of indeterminants. Then for each  $n \geq 0$ , rational functions  $R_n(i, j)$  are defined as follows:

$$\begin{aligned} R_0(i, j; a, b) &= a(i, j) \\ R_1(i, j; a, b) &= b(i, j) \end{aligned}$$

For  $n \geq 2$ , the  $R_n$  are defined recursively by Sylvester's Formula (SF):

$$R_{n+1}(i, j; a, b) = \frac{R_n(i+1, j+1) \cdot R_n(i, j) - R_n(i, j+1) \cdot R_n(i+1, j)}{R_{n-1}(i+1, j+1)}$$

To indicate the dependence of  $R_n(\dots)$  on the level  $n$ , the position  $(i, j)$ , and the variables  $a(i, j)$  and  $b(i, j)$ , the notation should be  $R_n(i, j; a, b)$ . The variables  $a(i, j)$  and  $b(i, j)$  may sometimes be suppressed. Sometimes the indices  $(i, j)$  may be suppressed also. The words “in a and b” stands for “in the indeterminants  $a(i, j)$  and  $b(i, j)$ ”. We want to show that

**Lemma 1** Each  $R_n(i, j; a, b)$  is a Laurent polynomial in a and b. Specifically,  $R_n(i, j; a, b)$  can be expressed as:

$$R_n(i, j; a, b) = \frac{P_n(i, j; a, b)}{Q_n(i, j; a, b)}$$

where  $P_n(i, j; a, b)$  is a polynomial in a and b and  $Q_n(i, j; a, b)$  is a monomial in a and b.  $P_n(i, j; a, b)$  and  $Q_n(i, j; a, b)$  are assumed to have no common factors.

**Proof:** By induction on n. Nothing to prove for  $R_0$  or  $R_1$ . For  $n = 2$ , (SF) shows

$$c(i, j) = R_2(i, j) = \frac{b(i+1, j+1) \cdot b(i, j) - b(i, j+1) \cdot b(i+1, j)}{a(i+1, j+1)}$$

For  $n = 3$ , a direct computation shows that  $R_3(\dots) = \frac{P_3(\dots)}{Q_3(\dots)}$  where:

$$Q_3(i, j) = a(i+1, j+1) \cdot a(i+2, j+2) \cdot a(i+1, j+2) \cdot a(i+2, j+1) \cdot b(i+1, j+1)$$

$$(i) \quad R_{n+1}(i, j; a, b) = \frac{N_{n+1}}{R_{n-1}(i+1, j+1; a, b)}$$

$$(ii) \quad R_{n+1}(i, j; a, b) = R_n(i, j; b, c)$$

Setting (i) equal to (ii), we have

$$\frac{N_{n+1}}{R_{n-1}(i, j; a, b)} = \frac{P_n(i, j; b, c)}{Q_n(i, j; b, c)}$$

In (i) the numerator for the expression for  $R_{n+1}$  is obtained by (SF) as:

$$N_{n+1} = R_n(i+1, j+1) \cdot R_n(i, j) - R_n(i, j+1) \cdot R_n(i+1, j)$$

Each of these  $R_n(\dots)$  is a Laurent polynomial in  $a$  and  $b$  whose denominator is a product of  $a$ 's and  $b$ 's. Combing terms,  $N_{n+1}$  has the form

$$N_{n+1}(i, j; a, b) = \frac{S(a, b)}{T(a, b)}$$

where  $T(a, b)$  is a product of  $a$ 's and  $b$ 's. We also have

$$R_{n-1}(i, j; a, b) = \frac{P_{n-1}(i, j; a, b)}{Q_{n-1}(i, j; a, b)}$$

Therefore [eqn 3]:

$$S(a, b) \cdot Q_n(i, j; b, c) \cdot Q_{n-1}(i, j; a, b) = P_n(i, j; b, c) \cdot P_{n-1}(i, j; a, b) \cdot T(a, b)$$

By the inductive assumption,  $Q_{n-1}(i, j; a, b)$  is a monomial in the  $a$ 's and  $b$ 's. Also  $Q_n(i, j; b, c)$  is a monomial in the  $b$ 's and  $c$ 's. Using the expression for the  $c$ 's, and multiplying by  $M(a)$  (a monomial in the  $a$ 's) we may write

$$Q_n(i, j; b, c) \cdot M(a) = U(b) \cdot V(b)$$

where  $U(b)$  is a monomial in the  $b$ 's, and  $V(b)$  is a product of expressions of the form

$$v(h, k) = b(h, k) \cdot b(h+1, k+1) - b(h+1, k) \cdot b(h, k+1)$$

After multiplying by  $M(a)$ , eqn 3 becomes

$$S(a, b) \cdot U(b) \cdot V(b) \cdot Q_{n-1}(i, j; a, b) = P_n(i, j; b, c) \cdot P_{n-1}(i, j; a, b) \cdot T(a, b)$$

$U(b) \cdot V(b) \cdot Q_{n-1}(i, j; a, b)$  and  $P_{n-1}(i, j; a, b)$  are relatively prime (see Remark). Therefore  $P_{n-1}(i, j; a, b)$  must divide  $S(a, b)$ . Hence  $R_{n+1}(i, j; a, b)$  has the desired form as a Rational function with monomial denominators.

**Remark:** If all the  $a(i, j)$  are specialized to 1, and the  $b$ 's are specialized so that  $b(j, i) = b(i, j)$  each of the  $v(h, k)$  is a Hankel det in the  $b$ 's.  $P_{n-1}(i, j; 1, b)$  is also a Hankel det in the  $b$ 's, necessarily different from the  $v$ 's (because degree 3 or higher). Therefore  $P_{n-1}(i, j; a, b)$  is relatively prime to

$$U(b) \cdot V(b) \cdot Q_{n-1}(i, j; a, b)$$

$$A = \{a_{i,j}\}, \quad i, j \geq 0$$

$$B = \{b_{i,j}\}, \quad i, j \geq 0$$

$$C = \{c_{i,j}\}, \quad i, j \geq 0, \quad \text{where } a_{i+1,j+1} \cdot c_{i,j} = b_{i,j}b_{i+1,j+1} - b_{i,j+1}b_{i+1,j}$$

$$D = \{d_{i,j}\}, \quad i, j \geq 0, \quad \text{where } b_{i+1,j+1} \cdot d_{i,j} = c_{i,j}c_{i+1,j+1} - c_{i,j+1}c_{i+1,j}$$

$$E = \{e_{i,j}\}, \quad i, j \geq 0, \quad \text{where } c_{i+1,j+1} \cdot e_{i,j} = d_{i,j}d_{i+1,j+1} - d_{i,j+1}d_{i+1,j}$$

$F = \dots, \quad i, j \geq 0$  etc

Hales' Identity is:  $e_{0,0}b_{1,1}b_{2,2} + a_{2,2}d_{0,1}d_{1,0} = \det \mathcal{C}$ , where  $\mathcal{C} = \begin{bmatrix} c_{0,0} & c_{0,1} & c_{0,2} \\ c_{1,0} & c_{1,1} & c_{1,2} \\ c_{2,0} & c_{2,1} & c_{2,2} \end{bmatrix}$

To establish this formula, start with:

$$\begin{aligned} e_{0,0}c_{1,1} &= d_{0,0}d_{1,1} - d_{0,1}d_{1,0} \\ e_{0,0}c_{1,1}b_{1,1}b_{2,2}b_{1,2}b_{2,1} &= (c_{0,0}c_{1,1} - c_{0,1}c_{1,0})(c_{1,1}c_{2,2} - c_{1,2}c_{2,1})b_{1,2}b_{2,1} - \\ &\quad (c_{0,1}c_{1,2} - c_{0,2}c_{1,1})(c_{1,0}c_{2,1} - c_{1,1}c_{2,0})b_{1,1}b_{2,2} \\ &= (c_{0,0}c_{1,1} - c_{0,1}c_{1,0})(c_{1,1}c_{2,2} - c_{1,2}c_{2,1})b_{1,2}b_{2,1} - \\ &\quad (c_{0,1}c_{1,2} - c_{0,2}c_{1,1})(c_{1,0}c_{2,1} - c_{1,1}c_{2,0})b_{1,1}b_{2,2} + (c_{0,1}c_{1,2} - c_{0,2}c_{1,1})(c_{1,0}c_{2,1} - c_{1,1}c_{2,0})a_{2,2}c_{1,1} \\ &= (c_{0,0}c_{1,1}c_{1,1}c_{2,2} - c_{0,0}c_{1,1}c_{1,2}c_{2,1} - c_{0,1}c_{1,0}c_{1,1}c_{2,2} + c_{0,1}c_{1,0}c_{1,2}c_{2,1} + \\ &\quad - c_{0,1}c_{1,2}c_{1,0}c_{2,1} + c_{0,1}c_{1,2}c_{1,1}c_{2,0} + c_{0,2}c_{1,1}c_{1,0}c_{2,1} - c_{0,2}c_{1,1}c_{1,1}c_{2,0})b_{1,2}b_{2,1} - \\ &\quad a_{2,2}d_{0,1}d_{1,0}b_{1,2}b_{2,1}c_{1,1} \\ &= \det \mathcal{C} \cdot b_{1,2}b_{2,1}c_{1,1} - a_{2,2}d_{0,1}d_{1,0}b_{1,2}b_{2,1}c_{1,1} \end{aligned}$$

Dividing by  $c_{1,1}b_{1,2}b_{2,1}$  gives Hales' formula.

There is a similar formula with subscripts interchanged:  $e_{0,0}b_{1,2}b_{2,1} + a_{2,2}d_{0,0}d_{1,1} = \det \mathcal{C}$

There is also a similar formula with the octahedron corners  $E_{0,0}$  and  $A_{2,2}$  replaced by corners  $C_{0,0}$  and  $C_{2,2}$ , and another one with corners  $C_{0,2}$  and  $C_{2,0}$ .

Assuming an error of  $\Delta$  at  $C_{1,1}$  The calculation of the error at  $E_{0,0}$

$$\Delta e_{0,0} = \left[ \frac{c_{0,0}d_{1,1}}{b_{1,1}c_{1,1}} + \frac{c_{2,2}d_{0,0}}{b_{2,2}c_{1,1}} + \frac{c_{0,2}d_{1,0}}{b_{1,2}c_{1,1}} + \frac{c_{2,0}d_{0,1}}{b_{2,1}c_{1,1}} - \frac{e_{0,0}}{c_{1,1}} \right] \Delta \quad \text{Let } \delta e_{0,0} = \frac{\Delta e_{0,0}}{\Delta}.$$

$$\begin{aligned}
& (\delta e_{0,0}) \cdot b_{1,1}b_{2,2}b_{1,2}b_{2,1}c_{1,1} = c_{0,0}d_{1,1}b_{2,2}b_{1,2}b_{2,1} + c_{2,2}d_{0,0}b_{1,1}b_{1,2}b_{2,1} + \\
& \quad c_{0,2}d_{1,0}b_{2,1}b_{1,1}b_{2,2} + c_{2,0}d_{0,1}b_{1,2}b_{1,1}b_{2,2} - e_{0,0}b_{1,1}b_{2,2}b_{1,2}b_{2,1} \\
& = \left[ c_{0,0}(c_{1,1}c_{2,2} - c_{1,2}c_{2,1}) + c_{2,2}(c_{0,0}c_{1,1} - c_{0,1}c_{1,0}) \right] \cdot b_{1,2}b_{2,1} + \\
& \quad \left[ c_{0,2}d_{1,0}b_{2,1} + c_{2,0}d_{0,1}b_{1,2} \right] \cdot \left[ c_{1,1}a_{2,2} + b_{1,2}b_{2,1} \right] - \det \mathcal{C} \cdot b_{1,2}b_{2,1} + a_{2,2}d_{0,1}d_{1,0}b_{1,2}b_{2,1} \\
& = \left[ c_{0,0}(c_{1,1}c_{2,2} - c_{1,2}c_{2,1}) + c_{2,2}(c_{0,0}c_{1,1} - c_{0,1}c_{1,0}) \right. + \\
& \quad \left. c_{0,2}(c_{1,0}c_{2,1} - c_{1,1}c_{2,0}) + c_{2,0}(c_{0,1}c_{1,2} - c_{0,2}c_{1,1}) \right] \cdot b_{1,2}b_{2,1} - \det \mathcal{C} \cdot b_{1,2}b_{2,1} + \\
& \quad (c_{0,2}c_{0,2}d_{1,0}b_{2,1} + c_{2,0}d_{0,1}b_{1,2})c_{1,1}a_{2,2} + a_{2,2}d_{0,1}d_{1,0}b_{1,2}b_{2,1} \\
& = (c_{0,0}c_{2,2} - c_{0,2}c_{2,0})b_{1,2}b_{2,1}c_{1,1} + c_{2,0}d_{0,1}b_{1,2}a_{2,2}c_{1,1} + c_{0,2}d_{1,0}b_{2,1}a_{2,2}c_{1,1} + d_{0,1}d_{1,0}a_{2,2}b_{1,2}b_{2,1}
\end{aligned}$$

$$\text{Then } \Delta e_{0,0} = \left[ \frac{c_{0,0}c_{2,2} - c_{0,2}c_{2,0}}{b_{1,1}b_{2,2}} + \frac{c_{2,0}d_{0,1}a_{2,2}}{b_{2,1}b_{1,1}b_{2,2}} + \frac{c_{0,2}d_{1,0}a_{2,2}}{b_{1,2}b_{1,1}b_{2,2}} + \frac{a_{2,2}d_{1,0}d_{0,1}}{c_{1,1}b_{1,1}b_{2,2}} \right] \cdot \Delta$$

$$\text{Start with } \Delta c_{1,1} = \Delta = \frac{b_{1,1}b_{2,2}}{a_{2,2}} \cdot \epsilon, \quad \text{then}$$

$$\Delta e_{0,0} = \left[ \frac{c_{0,0}c_{2,2} - c_{0,2}c_{2,0}}{a_{2,2}} + \frac{c_{2,0}d_{0,1}}{b_{2,1}} + \frac{c_{0,2}d_{1,0}}{b_{1,2}} + \frac{d_{1,0}d_{0,1}}{c_{1,1}} \right] \cdot \epsilon$$

This guarantees that  $\Delta e_{0,0}$  has an expression as a sum of terms, each of which has valuation  $\geq p - q$ , where  $p$  is the precision and  $q = \max$  valuation of  $a_{2,2}, b_{1,1}, b_{2,2}, b_{1,2}, b_{2,1}, c_{1,1}$

The important thing is that  $\Delta e_{0,0} \cdot (b_{1,1}b_{2,2}b_{1,2}b_{2,1}c_{1,1})$  belongs to the ideal generated by

$$b_{1,2}b_{2,1}c_{1,1}, a_{2,2}b_{1,2}c_{1,1}, a_{2,2}b_{2,1}c_{1,1}, a_{2,2}b_{1,2}b_{2,1}, b_{1,1}b_{2,2}c_{1,1}, a_{2,2}b_{1,1}c_{1,1}, a_{2,2}b_{2,2}c_{1,1}, a_{2,2}b_{1,1}b_{2,2}$$

There is a similar formula with denominators  $b_{1,1}$  and  $b_{2,2}$  instead of  $b_{1,2}$  and  $b_{2,1}$ :

$$\Delta e_{0,0} = \left[ \frac{c_{0,0}c_{2,2} - c_{0,2}c_{2,0}}{a_{2,2}} - \frac{c_{0,0}d_{1,1}}{b_{1,1}} - \frac{c_{2,2}d_{1,1}}{b_{2,2}} + \frac{d_{0,0}d_{1,1}}{c_{1,1}} \right] \cdot \epsilon$$