#### A Stratification of the Space of Branched Polymers

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<u>Combinatorics 2010:</u> <u>Advances, Trends and Speculations</u> March 26, 2010

# Outline

- 1. Background on Branched Polymers
- 2. Kenyon-Winkler Algorithm
- 3. Stratification of BP(n) / Rigidity Theory
- 4. Mészáros-Postnikov Theorem/Problem
- 5. Open Problems

New results and conjectures based on joint work with

- Dave Anderson (University of Washington)
- Tom Boothby, Morgan Eichwald, Chris Fox (REU 2009)

#### **Branched Polymers**



#### article discussion edit this page history

#### Branching (chemistry)

From Wikipedia, the free encyclopedia (Redirected from Branched polymer)

In polymer chemistry, **branching** occurs by the replacement of a substituent, e.g., a hydrogen atom, on a monomer subunit, by another covalently bonded chain of that polymer; or, in the case of a graft copolymer, by a chain of another type. In crosslinking rubber by vulcanization, short sulfur branches link polyisoprene chains (or a synthetic variant) into a multiply-branched thermosetting elastomer. Rubber can also be so completely vulcanized that it becomes a rigid solid, so hard it can be used as the bit in a smoking pipe. Polycarbonate chains can be crosslinked to form the hardest, most impact-resistant thermosetting plastic, used in safety glasses.[1]

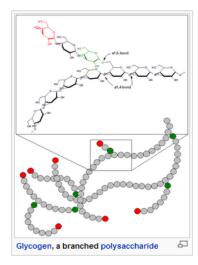
Branch point in a polymer

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Branching may result from the formation of carbon-carbon or various other types of covalent bonds. Branching by ester and amide bonds is typically by a condensation reaction, producing one molecule of water (or HCl) for each bond formed.

Polymers which are branched but not crosslinked are generally thermoplastic. Branching sometimes occurs spontaneously during synthesis of polymers; e.g., by free-radical polymerization of ethylene to form polyethylene. In fact, preventing branching to produce linear polyethylene requires special methods. Because of the way polyamides are formed, nylon would seem to be limited to unbranched, straight chains. But "star" branched nylon can be produced by the condensation of dicarboxylic acids with polyamines having three or more amino groups. Branching also occurs naturally during enzymatically-catalyzed polymerization of glucose to form polysaccharides such as glycogen (animals), and amylopectin, a form of starch (plants). The unbranched form of starch is called amylose.

The ultimate in branching is a completely crosslinked network such as found in Bakelite, a phenol-formaldehyde thermoset resin.



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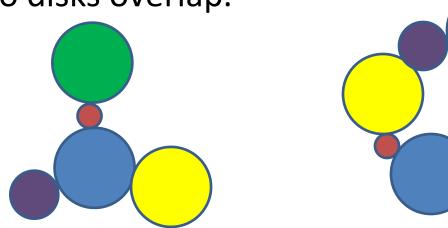
Try Beta

#### **Modeling Branched Polymers**

Given n labeled disks

a branched polymer of order n is a placement of the disks in the plane such that

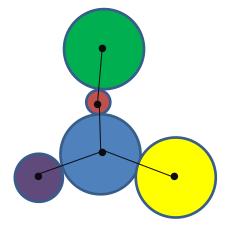
- Disk 1 has its center at (0,0)
- The union forms a connected subset of the plane
- No two disks overlap.



## **Embedding Branched Polymers**

#### Notation:

- R=(r<sub>1</sub>,r<sub>2</sub>,...,r<sub>n</sub>)=list of radii for disks 1,2,...,n
- BP<sub>R</sub> (n)= branched polymers of order n.
- TG(P) = tangency graph of polymer P

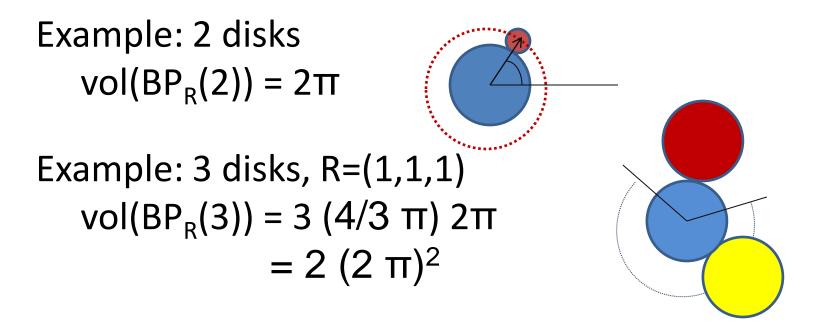


#### Two embeddings of $BP_R$ :

a)  $BP_{R}(n) \longrightarrow \mathbb{C}^{n}$  list centers of disks as complex numbers

b)  $BP_R(n) \longrightarrow \bigcup_{\tau} S^{n-1}$  union over all labeled trees of order n record angles of attachment from each disk to its parent.

#### Volume of BP<sub>R</sub>(n)



Example: 3 disks, R=(1, $\epsilon$ , $\epsilon$ ) vol(BP<sub>R</sub>(3)) = (2 $\pi$ )<sup>2</sup> + <sup>1</sup>/<sub>2</sub> (2 $\pi$ )<sup>2</sup> + <sup>1</sup>/<sub>2</sub>(2 $\pi$ )<sup>2</sup> = 2 (2 $\pi$ )<sup>2</sup>

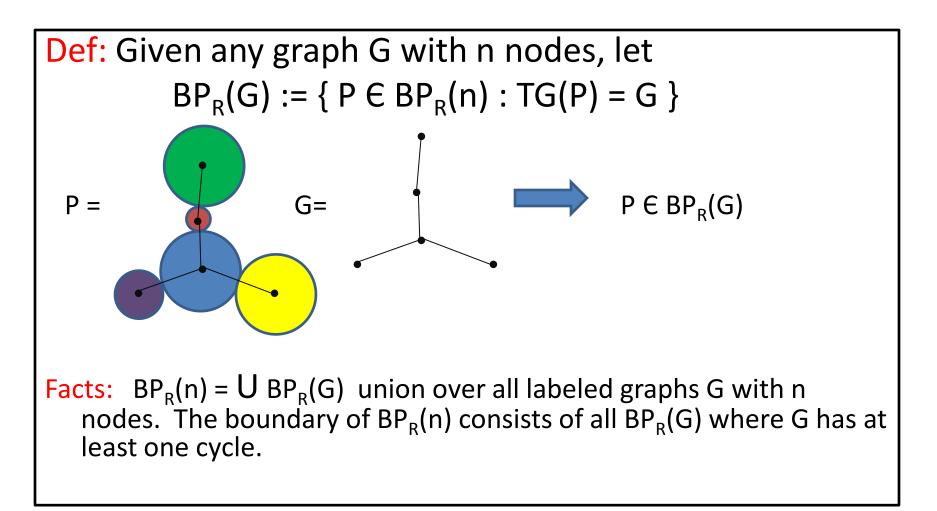
# Volume of BP<sub>R</sub>(n)

Theorem (Brydges-Imbrie) For any choice of radii, the space of branched polymers has volume (n-1)! (2 π)<sup>n-1</sup>

#### Theorem (Brydges-Imbrie) The space of 3dimensional branched polymers has volume $n^{n-1}(2 \pi)^{n-1}$

See also "Branched polymers" by Richard Kenyon and Peter Winkler, Amer. Math. Monthly **116** (2009).

## Stratifying BP<sub>R</sub>(n)



#### Volume of each strata

**Open Problem:** Given radii R=(r<sub>1</sub>,r<sub>2</sub>,...,r<sub>n</sub>), what is vol(BP<sub>R</sub>(T)) for any labeled tree T of order n?

Note:  $vol(BP_R(G)) = 0$  if G contains a cycle.

Question: How can we approximate the volumes for the vol(BP<sub>R</sub>(T))'s ?

#### Kenyon-Winkler Algorithm

Input:  $R = (r_1, r_2, ..., r_n)$ 

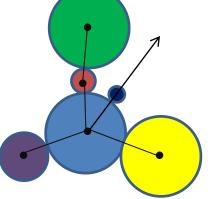
Output: uniformly chosen branched polymer of order n

Start: Place disk 1 centered at origin.

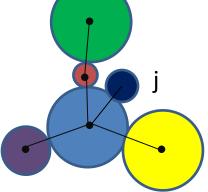
Loop: For each j>1,

- Choose an integer i  $\in$ [1,j) uniformly and a real number  $\Phi$  in [0,2  $\pi$ ) uniformly.
- Place a new disk labeled j with radius 0 at the point on the boundary of disk i specified at the angle Φ.
- Begin to grow the radius of disk j.

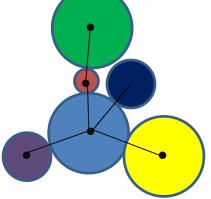
- Increase the radius of disk j while holding constant the tangency graph, the angle vector, and the center of disk 1 until either
  - a) The radius reaches r<sub>i</sub>
  - b) Or collision occurs between two disks in the polymer introducing a cycle into TG(P).



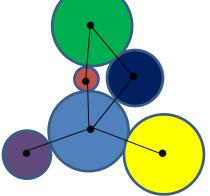
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#### Choosing a spanning tree

If a cycle occurs while growing disk j, we must delete an edge from the tangency graph to continue growing without overlap.

But, which one?

#### Choosing a spanning tree

- Label the edges around the cycle E<sub>1</sub>,..., E<sub>k</sub> in counter clockwise order so that E<sub>1</sub> and E<sub>2</sub> meet at the center of disk j.
- $T_i$  = tree obtained from TG(P) by removing  $E_i$ .
- Among all T<sub>i</sub> such that locally vol(BP(T<sub>i</sub>)) is increasing near P, choose with probability proportional to these positive volume forms.

## Choosing a spanning tree

Miraculously, there is a very simple way to determine the relative local volume changes near P.

- $\Phi_i$  = angle of  $E_i$  measured from the positive horizontal axis.
- U=unit vector with angle  $(\Phi_1 + \Phi_2)/2$ .
- $w_i = (U \cdot E_i)$

 $v_1$  is negative

**Theorem** (Kenyon-Winkler) Let  $v_i$  be the infinitesimal local volume change in BP( $T_i$ ) near P due to a small increase in radius. Then

 $V=(v_1,...,v_k)$  and  $W = (w_1,...,w_k)$ 

only differ by a scalar multiple and v<sub>1</sub> is negative

## Kenyon-Winkler Algorithm

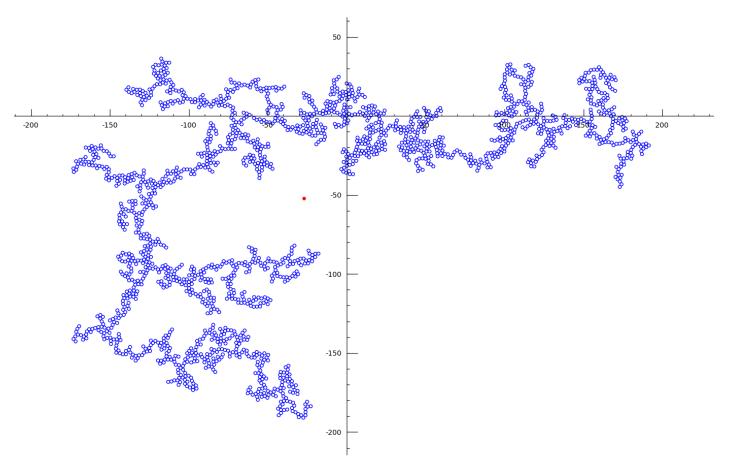
Input:  $R = (r_1, r_2, ..., r_n)$ 

Output: uniformly chosen branched polymer of order n Start: Place disk 1 centered at origin.

Loop: For each j>1,

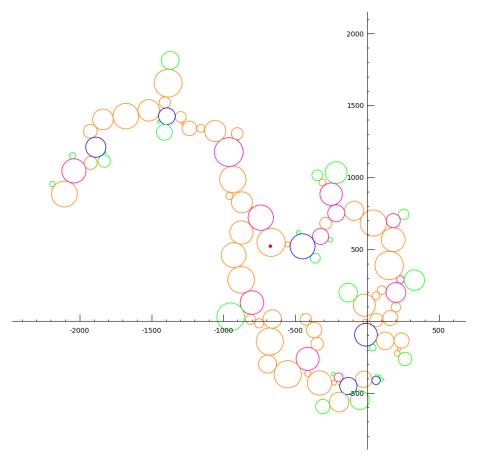
- Choose an integer i E[1,j) uniformly and a real number  $\,\Phi\,$  in [0,2  $\pi$  ) uniformly.
- Place a new disk labeled j with radius 0 at the point on the boundary of disk i specified at the angle  $\Phi.$
- Begin to grow the radius of disk j up to r<sub>j</sub>. When a collision occurs, a cycle forms in the graph. Choose a spanning tree in proportion to the local changes in volume with respect to each tree.

#### Example 2000 disks



http://uw.sagenb.org/home/pub/3/

#### Example 100 disks



http://uw.sagenb.org/home/pub/3/

#### **Data Inspired Conjectures**

#### Fix R=(1,1,...,1)

- Collected data approximating the frequency each tree up to order 10 occurs among uniformly chosen random branched polymers.
- Conjectured distribution on vertex degrees:
   [0.23, 0.56, 0.19, 0.011, 10^-5, 0]
- Conjectured relationship between diameter of T and expected diameter of P in BP(T).

# Stratifying BP<sub>R</sub>(n)

- $BP_R(G) := \{ P \in BP_R(n) : TG(P) = G \}$
- $BP_R(n) = U BP_R(G)$  (manifold with boundary)

#### **Questions:**

Could  $BP_R(n)$  have a cell decomposition? What does each  $BP_R(G)$  look like? What are the "points" in this stratification?

#### **Rigid Graphs**

What are the "points" in this stratification?

$$BP_R(G) = BP_R(G)$$
 iff dim( $BP_R(G)$ )=1 iff

The only continuous motions of the embedding of G which preserve edge lengths are rotations and translations.

Def: G is infinitesimally rigid.

#### Laman's Theorem

Theorem: G is minimally infinitesimally rigid for generic embeddings iff

and for all subgraphs H of G,  $\#E_{H} \le 2 \#V_{H} - 3$ .

Note: Non-generic embeddings of a graph can exist which satisfy Laman's condition but aren't infinitesimally rigid!

#### **Rigid Graphs**

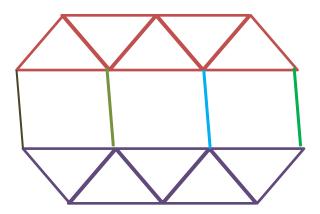
Application: We can use Laman's criterion to identify "points" in  $BP_R(n)$ .

Corollary: For generic radii, dim(BP<sub>R</sub>(G)) =1 iff G contains a spanning subgraph satisfying Laman's condition.

#### **Rigid Components**

**Question:** What is dim(BP<sub>R</sub>(G)) in general?

**Def:** H is a rigid component of G if H is infinitesimally rigid and no other rigid subgraph of G properly contains H.



#### Attachment Graph

Assume G has rigid components  $C_1, ..., C_k$ .

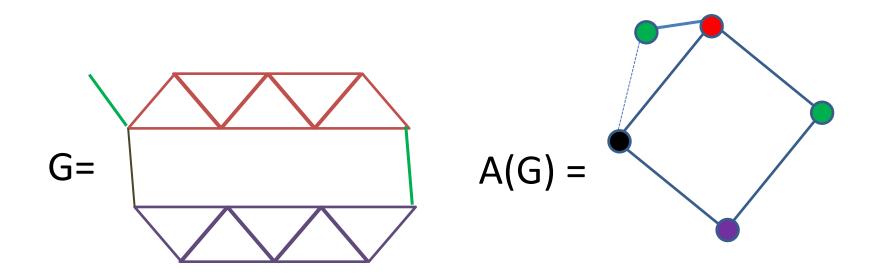
Note: Two rigid components share at most one vertex.



Def: A(G) = attachment graph
Init: V = {1,2,...,k} E= {ij: C<sub>i</sub>, C<sub>j</sub> overlap}
Replace all cliques by spanning trees.

#### Example

Def: A(G) = attachment graph
Init: V = {1,2,...,k} E= {ij: C<sub>i</sub>, C<sub>j</sub> overlap}
Replace all cliques by spanning trees.



#### **Generic Dimension Formula**

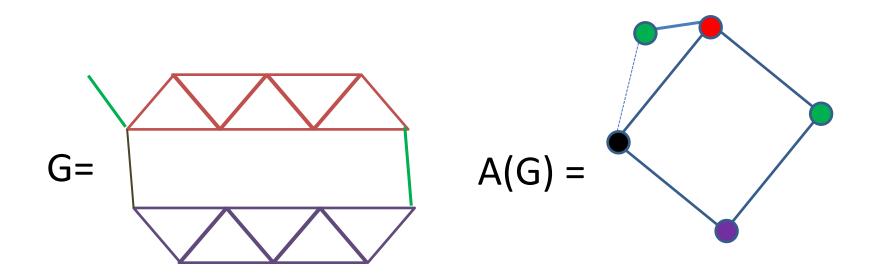
Theorem (Anderson-Billey): For generic radii, each connected component of BP<sub>R</sub>(G) is a manifold of dimension

dim(BP<sub>R</sub>(G)) = 3  $\#V_{A(G)} - 2\#E_{A(G)} - 2$ =  $\#V_{A(G)} - 2 \#$  (bd faces A(G)),

assuming the analogus dimension formula holds for each proper connected subgraph of A(G).

#### Example

#### Here: dim(BP(G)) = $\#V_{A(G)} - 2 \#$ (bd faces A(G)) = $5 - 2^*1 = 3$ .



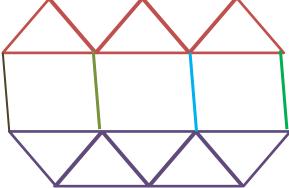
#### **Proof Outline**

- Write equations for BP(G) in terms of translation and rotation of rigid components (3k variables).
- Each edge of A(G) defines 2 equations.
- Compute the Jacobian and apply Submersion Theorem.

#### **General Dimension Formula**

# Theorem (Anderson-Billey): For all radii, BP<sub>R</sub>(G) is a variety of dimension dim(BP<sub>R</sub>(G)) = 3 #V<sub>A(G)</sub> - 2#E<sub>A(G)</sub>-2-corank(CritJ)

Example: take R=(1,1,...,1) and the tangency graph



#### Review

Stratifying BP<sub>R</sub>(n)

- $BP_R(G) := \{ P \in BP_R(n) : TG(P) = G \}$
- $BP_R(n) = U BP_R(G)$

Questions:

What does each BP<sub>R</sub>(G) look like?

Answer: finite number of manifolds with given dimension determined by G (generically).

What are the "points" in this stratification?

Answer: BP(G)'s of dimension -- rigid graphs.

#### Mészáros-Postnikov Theorem

Mészáros-Postnikov (2009) give generalization of BP(n) using the theory of hyperplane arrangements.

- Recall: Given n labeled disks with radii r<sub>1</sub>, ..., r<sub>n</sub>, a branched polymer is a placement of the disks in the plane such that
  - Disk 1 has its center at (0,0)
  - The union forms a connected subset of the plane
  - No two disks overlap.

#### Braid arrangement to BP(n)

Braid arrangement: hyperplanes in V given by

$$h_{ij} = x_i - x_j = 0 \quad \forall \ 1 \le i < j \le n$$
$$V = \mathbb{C}^n / (1, 1 \dots, 1)$$

**Branched polymers:** 

$$BP_n = \{x \in V: |h_{ij}(x)| \ge r_i + r_j\}$$

#### **Generalized Polymers**

Definition: For any central hyperplane arrangement A defined by linear forms h\_i(x)=0 and real numbers r\_i, define

$$BP_A = \{x \in \mathbb{C}^m : |h_i(x)| \ge r_i\}$$

#### **Generalized Polymers**

#### Theorem (Mészáros-Postnikov):

The q-volume of  $BP_A$  is  $(-2\pi)^{rank A}\chi_A(-q)$ and the usual volume is obtained by setting q=0.

Theorem (M-P):  $BP_A \& C_A = \mathbb{C}^r \setminus \bigcup \{x: h_{i(x)} = 0\}$ have the same cohomology ring given by the Orlik-Solomon algebra.

**Open (M-P):** What is  $H^*(BP_R(n))$ ?

#### **Open Problems**

- 1. What is  $vol(BP_R(T))$ ? Open for R=(1,1,...,1).
- Conjectured distribution on vertex degrees:
   [0.23, 0.56, 0.19, 0.011, 10<sup>-5</sup>, 0].
- 3. What is expected diameter of P in  $BP_R(T)$ ?
- 4. Is BP<sub>R</sub>(G) contractible?
- 5. What is  $H^*(BP_R(n))$ ?
  - -- See (Mészáros-Postnikov hyperplane arr.)