## 16.6 Parameterizing Surfaces

Recall that  $\mathbf{r}(t) = \langle x(t), y(t), z(t) \rangle$  with  $a \leq t \leq b$  gives a parameterization for a curve C. In section 16.2-16.4, we learned how to make measurements along curves for scalar and vector fields by using line integrals " $\int_C$ ". We computed these line integrals by first finding parameterizations (unless special theorems apply).

In a similar way, we will parameterize a surface S using

$$\mathbf{r}(u,v) = \langle x(u,v), y(u,v), z(u,v) \rangle,$$

where (u, v) are constrained to some region D in the uv-plane. In section 16.7-16.9, we learned how to make measurements across surfaces for scalar and vector fields by using surface integrals " $\int \int$ ". We

will compute these surface integrals by first finding parameterizations (and later we will learn theorems that apply in special cases).

For now, let's focus on parameterization.

Questions: Find a parameterization for each surface:

- 1. The part of the surface z = 10 that is above the square  $-1 \le x \le 1, -2 \le y \le 2$ .
- 2. The part of the surface x y + z = 4 that is within the cylinder  $x^2 + y^2 = 9$ .
- 3. The part of the surface  $z = x^2 + y^2$  that is above the region in the *xy*-plane given by  $0 \le x \le 1$ ,  $0 \le y \le x^2$ .
- 4. The part of the paraboloid  $y = 9 x^2 z^2$  that is on the positive y side of the xz-plane.
- 5. The part of the circular cylinder  $x^2 + y^2 = 4$  that is between the planes z = 1 and z = 5.
- 6. The upper hemisphere of the sphere  $x^2 + y^2 + z^2 = 9$ .
- 7. The entire sphere  $x^2 + y^2 + z^2 = 16$ .
- 8. The surface of revolution given by rotating the region bounded by  $y = x^3$  for  $0 \le x \le 2$  about the *x*-axis.
- 9. Find the parameterization for all three sides of the solid object within  $x^2 + y^2 = 1$ , above z = 0 and below z = 5 x shown here (ignore the curve):



## Solutions:

- 1. Notes: The parameterization is already given!  $\mathbf{r}(u, v) = \langle u, v, 10 \rangle$ , (I am just letting x = u and y = v). You could also just leave them as x and y and give the parameterization as:  $\mathbf{r}(x, y) = \langle x, y, 10 \rangle$  with  $-1 \le x \le 1, -2 \le y \le 2$ .
- 2. Notes: The surface can easily be solve for z in terms of x and y.  $\mathbf{r}(u, v) = \langle u, v, 4 - u + v \rangle$ , (Letting x = u and y = v, again). Also can be written as:  $\mathbf{r}(x, y) = \langle x, y, 4 - x + y \rangle$  for points (x, y) inside the circular region  $x^2 + y^2 \leq 4$  (which we will do with polar when we get to the integral).
- 3.  $\mathbf{r}(x,y) = \langle x, y, x^2 + y^2 \rangle$  for points (x, y) inside the region given by  $0 \le x \le 1, 0 \le y \le x^2$  (again, we will account for this in the integral later).
- 4. Notes: This time it is easiest to give y in terms of x and z.  $\mathbf{r}(x,z) = \langle x, 9 - x^2 - z^2, z \rangle$  for points (x,z) within the region when  $y \ge 0$  on the surface. That would be when  $9 - x^2 - z^2 \ge 0$  which would be the circular region  $x^2 + z^2 \le 9$ .
- 5. Notes: This is different from the previous cases, because one variable is 'missing' from the surface we wish to describe. That means z can be anything and we should make it one of our parameters. Then we need to find a parameterization for the other two variables. Look to use Sine and Cosine!  $\mathbf{r}(u, v) = \langle 2\cos(u), 2\sin(u), v \rangle$ , (This time, I am letting  $x = 2\cos(u), y = 2\sin(u)$  and z = v). We need  $1 \le v \le 5$  from the given condition. And we need  $0 \le u \le 2\pi$  to go all the way around the cylinder.
- 6. Notes: This could be done in a couple ways. Here are two different parameterizations:

(a) We could just get z in terms of x and y. That would give  $z = \sqrt{9 - x^2 - y^2}$  for the upper hemisphere. Giving the parameterization  $\mathbf{r}(x,y) = \langle x, y, \sqrt{9 - x^2 - y^2} \rangle$ , where (x, y) come from the region that corresponds to  $z \ge 0$ in the surface equation, so  $9 - x^2 - y^2 \ge 0$ , which is the circular region  $x^2 + y^2 \le 9$ .

- (b) We could use spherical coordinators. Notice that the radius of the sphere,  $\rho = 3$ , is fixed.  $\mathbf{r}(\phi, \theta) = \langle 3\sin\phi\cos\theta, 3\sin\phi\sin\theta, 3\cos\phi \rangle$ , where  $(\phi, \theta)$  satisfy  $0 \le \phi \le \pi/2$  and  $0 \le \theta \le 2\pi$ .
- 7. Notes: I would use spherical coordinates here (or break the problem into two parts; upper and lower hemisphere). Again the radius of the sphere,  $\rho = 4$ , is fixed.  $\mathbf{r}(\phi, \theta) = \langle 4 \sin \phi \cos \theta, 4 \sin \phi \sin \theta, 4 \cos \phi \rangle$ , where  $(\phi, \theta)$  would satisfy  $0 \le \phi \le \pi$  and  $0 \le \theta \le 2\pi$ .
- 8. Notes: For a surface of revolution about the x-axis, there is a circle of radius f(x) about each value of x. So we can parameterize each of those circles to get  $\mathbf{r}(u,v) = \langle u, f(u) \cos(v), f(u) \sin(v) \rangle$ , so I am just replacing x = u and then parameterizing the circle. The range of values would be  $0 \le u \le 2$ , and  $0 \le v \le 2\pi$ .
- 9. Here is a parameterization for each side:
  - (a) Bottom:  $\mathbf{r}(x, y) = \langle x, y, 0 \rangle$ , where (x, y) are in the region  $x^2 + y^2 \leq 1$ .
  - (b) Top:  $\mathbf{r}(x,y) = \langle x, y, 5-x \rangle$ , where (x,y) are in the region  $x^2 + y^2 \leq 1$ .
  - (c) Sides:  $\mathbf{r}(u, v) = \langle \cos(u), \sin(u), v \rangle$ , where (u, v) satisfy  $0 \le u \le 2\pi$  and  $0 \le v \le 5 \cos(u)$ . (I got the last bound because z is always between 0 and 5 - x and in this parameterization z = v and  $x = \cos(u)$ ).

## Surface Area

After parameterizing, our next step will be to give an expression for surface area. Way back in 15.6, we already learned that the surface area for a surface parameterized by  $\mathbf{r}(x,y) = \langle x, y, f(x,y) \rangle$  over a region D is given by  $\iint_{\mathbf{r}} 1 \, dS$ , where

$$dS = |r_x \times r_y| dA = \sqrt{(f_x)^2 + (f_y)^2 + 1} \, dA.$$

That was only for those particular parameterizations.

But the same general analysis applies. For a parameterization,  $\mathbf{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$ . We have

 $\mathbf{r}_u = \langle x_u, y_u, z_u \rangle$  = a tangent vector to the surface in the *u*-direction.  $\mathbf{r}_v = \langle x_v, y_v, z_v \rangle$  = a tangent vector to the surface in the *u*-direction.

We then get several facts:

1.  $\mathbf{r}_u$  and  $\mathbf{r}_v$  together determine the tangent plane at a given point (because they are both 'on' this plane). So

 $\mathbf{r}_u \times \mathbf{r}_v$  would be a normal vector for the surface at a given point (and a normal for the tangent plane at that point).

2. If a small change in u and a small change in v are made,  $\Delta u$  and  $\Delta v$ , respectively, then we can estimate the resulting change in surface area by

$$\Delta S = |\mathbf{r}_u \times \mathbf{r}_v| \Delta u \Delta v.$$

As  $\Delta u$  and  $\Delta v$  go to zero, this gets more precise and we write the surface area differential for this relationship as

$$dS = |\mathbf{r}_u \times \mathbf{r}_v| du dv.$$

3. From 15.6, the surface area of the surface is given by

Surface area = 
$$\iint_{D} dS = \iint_{D} |\mathbf{r}_{u} \times \mathbf{r}_{v}| dA$$

- 4. Some shortcuts:
  - (a) For a parameterization of the form  $\mathbf{r}(x, y) = \langle x, y, f(x, y) \rangle$ , we get

$$\mathbf{r}_x \times \mathbf{r}_y = \langle -f_x, -f_y, 1 \rangle$$
  
 $|\mathbf{r}_x \times \mathbf{r}_y| = \sqrt{(f_x)^2 + (f_y)^2 + 1}$ 

(b) For a parameterization of the form  $\mathbf{r}(\phi, \theta) = \langle a \sin \phi \cos \theta, a \sin \phi \sin \theta, a \cos \phi \rangle$ , we get

$$\mathbf{r}_x \times \mathbf{r}_y = \langle a^2 \sin^2 \phi \cos \theta, a^2 \sin^2 \phi \sin \theta, a^2 \cos^2 \phi \rangle$$
$$|\mathbf{r}_\phi \times \mathbf{r}_\theta| = a^2 \sin \phi$$